

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C12Q 1/68, 1/70, C12P 19/34, C12N 9/00, C07H 21/04</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 97/45559</b> <b>(43) International Publication Date:</b> 4 December 1997 (04.12.97)
<b>(21) International Application Number:</b> PCT/US97/09012 <b>(22) International Filing Date:</b> 27 May 1997 (27.05.97) <b>(30) Priority Data:</b> 60/018,532 29 May 1996 (29.05.96) US <b>(71) Applicant:</b> CORNELL RESEARCH FOUNDATION, INC. [US/US]; Suite 105, 20 Thornwood Drive, Ithaca, NY 14850 (US). <b>(71)(72) Applicant and Inventor:</b> BELGRADER, Phillip [US/US]; 708 N. Warfield Drive, Mount Airy, MD 21771 (US). <b>(72) Inventors:</b> BARANY, Francis; Apartment 12C, 450 E. 63rd Street, New York, NY 10021 (US). LUBIN, Matthew; Apartment 13M, 600 Columbus Avenue, New York, NY 10024 (US). <b>(74) Agents:</b> GOLDMAN, Michael, L. et al.; Nixon, Hargrave, Devans & Doyle LLP, Clinton Square, P.O. Box 1051, Rochester, NY 14603 (US).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> DETECTION OF NUCLEIC ACID SEQUENCE DIFFERENCES USING COUPLED LIGASE DETECTION AND POLYMERASE CHAIN REACTIONS  <b>(57) Abstract</b>  The present invention relates to the detection of nucleic acid sequence differences using coupled ligase detection reaction and polymerase chain reaction. One aspect of the present invention involves use of a ligase detection reaction coupled to a polymerase chain reaction. Another aspect of the present invention relates to the use of a primary polymerase chain reaction coupled to a secondary polymerase chain reaction coupled to a ligase detection reaction. A third aspect of the present invention involves a primary polymerase chain reaction coupled to a secondary polymerase chain reaction. Such coupling of the ligase detection reaction and the polymerase chain reaction permits multiplex detection of nucleic acid sequence differences.		

**BEST AVAILABLE COPY**

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

- 1 -

**DETECTION OF NUCLEIC ACID SEQUENCE  
DIFFERENCES USING COUPLED LIGASE DETECTION  
AND POLYMERASE CHAIN REACTIONS**

This application claims the benefit of U.S. Provisional Patent Application Serial No. 60/018,532, filed May 29, 1996.

This invention was developed with government funding under National Institutes of Health Grant No. GM41337-06. The U.S. Government may  
5 retain certain rights.

**FIELD OF THE INVENTION**

The present invention relates to the detection of nucleic acid  
10 sequence differences using coupled ligase detection reaction ("LDR") and  
polymerase chain reaction ("PCR"). One aspect of the present invention involves  
use of a ligase detection reaction coupled to a polymerase chain reaction. Another  
aspect of the present invention relates to the use of a primary polymerase chain  
reaction coupled to a secondary polymerase chain reaction coupled to a ligase  
15 detection reaction. A third aspect of the present invention involves a primary  
polymerase chain reaction coupled to a secondary polymerase chain reaction.

**BACKGROUND OF THE INVENTION**

**20 Multiplex Detection**

Large-scale multiplex analysis of highly polymorphic loci is needed  
for practical identification of individuals, e.g., for paternity testing and in forensic  
science (Reynolds et al., Anal. Chem., 63:2-15 (1991)), for organ-transplant  
25 donor-recipient matching (Buyse et al., Tissue Antigens, 41:1-14 (1993) and  
Gyllenstein et al., PCR Meth. Appl., 1:91-98 (1991)), for genetic disease diagnosis,

- 2 -

prognosis, and pre-natal counseling (Chamberlain et al., Nucleic Acids Res., 16:11141-11156 (1988) and L. C. Tsui, Human Mutat., 1:197-203 (1992)), and the study of oncogenic mutations (Hollstein et al., Science, 253:49-53 (1991)). In addition, the cost-effectiveness of infectious disease diagnosis by nucleic acid analysis varies directly with the multiplex scale in panel testing. Many of these applications depend on the discrimination of single-base differences at a multiplicity of sometimes closely spaced loci.

A variety of DNA hybridization techniques are available for detecting the presence of one or more selected polynucleotide sequences in a sample containing a large number of sequence regions. In a simple method, which relies on fragment capture and labeling, a fragment containing a selected sequence is captured by hybridization to an immobilized probe. The captured fragment can be labeled by hybridization to a second probe which contains a detectable reporter moiety.

Another widely used method is Southern blotting. In this method, a mixture of DNA fragments in a sample is fractionated by gel electrophoresis, then fixed on a nitrocellulose filter. By reacting the filter with one or more labeled probes under hybridization conditions, the presence of bands containing the probe sequences can be identified. The method is especially useful for identifying fragments in a restriction-enzyme DNA digest which contains a given probe sequence and for analyzing restriction-fragment length polymorphisms ("RFLPs").

Another approach to detecting the presence of a given sequence or sequences in a polynucleotide sample involves selective amplification of the sequence(s) by polymerase chain reaction. U.S. Patent No. 4,683,202 to Mullis, et al. and R.K. Saiki, et al., Science 230:1350 (1985). In this method, primers complementary to opposite end portions of the selected sequence(s) are used to promote, in conjunction with thermal cycling, successive rounds of primer-initiated replication. The amplified sequence(s) may be readily identified by a variety of techniques. This approach is particularly useful for detecting the presence of low-

- 3 -

copy sequences in a polynucleotide-containing sample, e.g., for detecting pathogen sequences in a body-fluid sample.

More recently, methods of identifying known target sequences by probe ligation methods have been reported. U.S. Patent No. 4,883,750 to N.M. Whiteley, et al., D.Y. Wu, et al., Genomics 4:560 (1989), U. Landegren, et al., Science 241:1077 (1988), and E. Winn-Deen, et al., Clin. Chem. 37:1522 (1991). In one approach, known as oligonucleotide ligation assay ("OLA"), two probes or probe elements which span a target region of interest are hybridized to the target region. Where the probe elements basepair with adjacent target bases, the confronting ends of the probe elements can be joined by ligation, e.g., by treatment with ligase. The ligated probe element is then assayed, evidencing the presence of the target sequence.

In a modification of this approach, the ligated probe elements act as a template for a pair of complementary probe elements. With continued cycles of denaturation, hybridization, and ligation in the presence of pairs of probe elements, the target sequence is amplified linearly, allowing very small amounts of target sequence to be detected and/or amplified. This approach is referred to as ligase detection reaction. When two complementary pairs of probe elements are utilized, the process is referred to as the ligase chain reaction which achieves exponential amplification of target sequences. F. Barany, "Genetic Disease Detection and DNA Amplification Using Cloned Thermostable Ligase," Proc. Nat'l Acad. Sci. USA, 88:189-93 (1991) and F. Barany, "The Ligase Chain Reaction (LCR) in a PCR World," PCR Methods and Applications, 1:5-16 (1991).

Another scheme for multiplex detection of nucleic acid sequence differences is disclosed in U.S. Patent No. 5,470,705 to Grossman et. al. where sequence-specific probes, having a detectable label and a distinctive ratio of charge/translational frictional drag, can be hybridized to a target and ligated together. This technique was used in Grossman, et. al., "High-density Multiplex Detection of Nucleic Acid Sequences: Oligonucleotide Ligation Assay and

Sequence-coded Separation," Nucl. Acids Res. 22(21):4527-34 (1994) for the large scale multiplex analysis of the cystic fibrosis transmembrane regulator gene.

Jou, et. al., "Deletion Detection in Dystrophin Gene by Multiplex Gap Ligase Chain Reaction and Immunochromatographic Strip Technology,"

- 5 Human Mutation 5:86-93 (1995) relates to the use of a so called "gap ligase chain reaction" process to amplify simultaneously selected regions of multiple exons with the amplified products being read on an immunochromatographic strip having antibodies specific to the different haptens on the probes for each exon.

- There is a growing need (e.g., in the field of genetic screening) for  
10 methods useful in detecting the presence or absence of each of a large number of sequences in a target polynucleotide. For example, as many as 400 different mutations have been associated with cystic fibrosis. In screening for genetic predisposition to this disease, it is optimal to test all of the possible different gene sequence mutations in the subject's genomic DNA, in order to make a positive  
15 identification of "cystic fibrosis". It would be ideal to test for the presence or absence of all of the possible mutation sites in a single assay. However, the prior-art methods described above are not readily adaptable for use in detecting multiple selected sequences in a convenient, automated single-assay format.

- Solid-phase hybridization assays require multiple liquid-handling  
20 steps, and some incubation and wash temperatures must be carefully controlled to keep the stringency needed for single-nucleotide mismatch discrimination. Multiplexing of this approach has proven difficult as optimal hybridization conditions vary greatly among probe sequences.

- Developing a multiplex PCR process that yields equivalent amounts  
25 of each PCR product can be difficult and laborious. This is due to variations in the annealing rates of the primers in the reaction as well as varying polymerase extension rates for each sequence at a given  $Mg^{2+}$  concentration. Typically, primer,  $Mg^{2+}$ , and salt concentrations, along with annealing temperatures are adjusted in an effort to balance primer annealing rates and polymerase extension

- 5 -

rates in the reaction. Unfortunately, as each new primer set is added to the reaction, the number of potential amplicons and primer dimers which could form increase exponentially. Thus, with each added primer set, it becomes increasingly more difficult and time consuming to work out conditions that yield relatively  
5 equal amounts of each of the correct products.

Allele-specific PCR products generally have the same size, and an assay result is scored by the presence or absence of the product band(s) in the gel lane associated with each reaction tube. Gibbs et al., Nucleic Acids Res., 17:2437-2448 (1989). This approach requires splitting the test sample among  
10 multiple reaction tubes with different primer combinations, multiplying assay cost. PCR has also discriminated alleles by attaching different fluorescent dyes to competing allelic primers in a single reaction tube (F.F. Chehab, et al., Proc. Natl. Acad. Sci. USA, 86:9178-9182 (1989)), but this route to multiplex analysis is limited in scale by the relatively few dyes which can be spectrally resolved in an  
15 economical manner with existing instrumentation and dye chemistry. The incorporation of bases modified with bulky side chains can be used to differentiate allelic PCR products by their electrophoretic mobility, but this method is limited by the successful incorporation of these modified bases by polymerase, and by the ability of electrophoresis to resolve relatively large PCR products which differ in  
20 size by only one of these groups. Livak et al., Nucleic Acids Res., 20:4831-4837 (1989). Each PCR product is used to look for only a single mutation, making multiplexing difficult.

Ligation of allele-specific probes generally has used solid-phase capture (U. Landegren et al., Science, 241:1077-1080 (1988); Nickerson et al.,  
25 Proc. Natl. Acad. Sci. USA, 87:8923-8927 (1990)) or size-dependent separation (D.Y. Wu, et al., Genomics, 4:560-569 (1989) and F. Barany, Proc. Natl. Acad. Sci., 88:189-193 (1991)) to resolve the allelic signals, the latter method being limited in multiplex scale by the narrow size range of ligation probes. Further, in a multiplex format, the ligase detection reaction alone cannot make enough product

- 6 -

to detect and quantify small amounts of target sequences. The gap ligase chain reaction process requires an additional step -- polymerase extension. The use of probes with distinctive ratios of charge/translational frictional drag for a more complex multiplex will either require longer electrophoresis times or the use of an  
5 alternate form of detection.

The need thus remains for a rapid single assay format to detect the presence or absence of multiple selected sequences in a polynucleotide sample.

### Microsatellite Analysis

10

Tandem repeat DNA sequences known as microsatellites represent a very common and highly polymorphic class of genetic elements within the human genome. These microsatellite markers containing small repeat sequences have been used for primary gene mapping and linkage analysis. Weber, J.L. et al.,  
15 Am. J. Hum. Genet. 44: 388-396 (1989); Weissenbach, J. et al., Nature (London) 359: 794-800 (1992). PCR amplification of these repeats allows rapid assessment for loss of heterozygosity and can greatly simplify procedures for mapping tumor suppressor genes. Ruppert, J.M., et al., Cancer Res. 53: 5093-94 (1993); van der Riet, et al., Cancer Res. 54: 1156-58 (1994); Nawroz, H., et al., Cancer Res. 54:  
20 1152-55 (1994); Cairns, P., et al., Cancer Res. 54: 1422-24 (1994). More recently, they have been used to identify specific mutations in certain inherited disorders including Huntington disease, fragile X syndrome, myotonic dystrophy, spinocerebellar ataxia type I, spinobulbar muscular atrophy, and hereditary dentatorubral-pallidolusian atrophy. The Huntington's Disease Collaborative  
25 Research Group Cell 72: 971-83 (1993); Kremer, E.J., et al., Science 252: 1711-14 (1991); Imbert, G., et al., Nat. Genet. 4: 72-76 (1993); Orr, H.T., et al., Nat. Genet. 4: 221-226 (1993); Biancalana, V., et al., Hum. Mol. Genet. 1: 255-258 (1992); Chung, M.-Y., et al., Nat. Genet. 5: 254-258 (1993); Koide, R., et al., Nat. Genet. 6: 9-13 (1994). These inherited disorders appear to arise from the



- 7 -

expansion of trinucleotide repeat units within susceptible genes. A more widespread microsatellite instability, demonstrated by expansion or deletion of repeat elements in neoplastic tissues, was first reported in colorectal tumors.

- Peinado, M.A., et al. Proc. Natl. Acad. Sci. USA **89**: 10065-69 (1992); Ionov, Y., Nature (London) **363**: 558-61 (1993); Thibodeau, S.N., et al., Science **260**: 816-819 (1993) and later in several other tumor types (Risinger, J.I., Cancer Res. **53**: 5100-03 (1993); Han, H.-J., et al., Cancer Res. **53**: 5087-89 (1993); Peltomäki, P., Cancer Res. **53**: 5853-55 (1993); Gonzalez-Zulueta, M., et al., Cancer Res. **53**: 5620-23 (1993); Merlo, A., et al., Cancer Res. **54**: 2098-2101 (1994)). In hereditary nonpolyposis colorectal carcinoma patients, this genetic instability is apparently due to inherited and somatic mutations in mismatch repair genes. Leach, F., et al., Cell **75**: 1215-1225 (1993); Fishel, R., et al., Cell **75**: 1027-38 (1993); Papadopoulos, N., et al., Science **263**: 1625-29 (1994); Bronner, C.E., et al., Nature (London) **368**: 258-61 (1994).

- 15               PCR is commonly used for microsatellite analysis in identifying both the appearance of new polymorphisms and the loss of heterozygosity in cancer detection. L. Mao, et. al., "Microsatellite Alterations as Clonal Markers for the Detection of Human Cancer," Proc. Nat'l Acad. Sci USA **91**(21): 9871-75 (1994); L. Mao, et. al., "Molecular Detection of Primary Bladder Cancer by  
20   Microsatellite Analysis," Science **271**:659-62 (1996); D. Radford, et. al., "Allelotyping of Ductal Carcinoma in situ of the Breast: Detection of Loci on 8p, 13q, 161, 17p and 17q," Cancer Res. **55**(15): 3399-05 (1995). In using PCR for such purposes, each PCR reaction is run individually and separated on a sequencing gel.

- 25               Although these references demonstrate that PCR has application to diagnosis and prognosis of certain cancers, this type of analysis is deficient, because it does not permit a high throughput and requires size separation. In addition, there are problems with PCR slippage, causing researchers to shift to tri-, tetra-, and higher nucleotide repeat units, making cancer detection more difficult.

Microsatellite markers have also been used for colon cancer detection (L. Cawkwell, et. al., "Frequency of Allele Loss of DCC, p53, RB1, WT1, NF1, NM23, and APC/MCC in Colorectal Cancer Assayed by Fluorescent Multiplex Polymerase Chain Reaction," Br. J. Cancer 70(5): 813-18 (1994)) and for genome mapping (P. Reed, et. al., "Chromosome-specific Microsatellite Sets for Fluorescent-Based, Semi-Automated Genome Mapping," Nat. Genet. 7(3): 390-95 (1994)). However, the key to such multiplex processes is the ability to perform them in a single reaction tube. Conventional multiplex microsatellite marker approaches require careful attention to primer concentrations and amplification conditions. Although PCR products can be pooled in sets, this requires a prerun on agarose gels to insure that the mixture has about equal amounts of DNA in each band.

#### Human Identification

PCR has also been used for human identification, such as paternity testing, criminal investigations, and military personnel identification. A. Syvanen et. al., "Identification of Individuals by Analysis of Biallelic DNA Markers, Using PCR and Solid-Phase Mini-Sequencing" Am. J. Hum. Genet. 52(1): 46-59 (1993) describes a mini-sequencing approach to human identification. The technique requires PCR amplification of individual markers with at most 4 PCR reactions being carried out at a time in a single PCR tube. Mini-sequencing is carried out to determine individual polymorphisms.

#### Coupled Processes

G. Deng, et. al., "An Improved Method of Competitive PCR for Quantitation of Gene Copy Number," Nucl. Acids Res. 21: 4848-49 (1993)

- 9 -

describes a competitive PCR process. Here, two PCR steps are utilized with different sets of primers being used for each gene and its equivalent standard.

T. Msuih, et. al., "Novel, Ligation-Dependent PCR Assay for Detection of Hepatitis C. Virus in Serum," J. Clin Microbio. 34: 501-07 (1996)

5 and Y. Park, et. al., "Detection of HCV RNA Using Ligation-Dependent Polymerase Chain Reaction in Formalin-Fixed Paraffin-Embedded Liver Tissue" (submitted) describe the use of a LDR/PCR process in work with RNA.

### SUMMARY OF THE INVENTION

10

The present invention is directed to the detection of nucleic acid sequence differences using coupled LDR and PCR processes. The present invention can be carried out in one of the following 3 embodiments: (1) LDR coupled to PCR; (2) primary PCR coupled to secondary PCR coupled to LDR; 15 and (3) primary PCR coupled to secondary PCR. Each of these embodiments have particular applicability in detecting certain characteristics. However, each requires the use of coupled reactions for multiplex detection of nucleic acid sequence differences where oligonucleotides from an early phase of each process contain sequences which may be used by oligonucleotides from a later phase of the 20 process.

#### I. Primary PCR/Secondary PCR/LDR Process

One aspect of the present invention relates to a method for 25 identifying two or more of a plurality of sequences differing by one or more single-base changes, insertions, deletions, or translocations in a plurality of target nucleotide sequences. This method involves a first polymerase chain reaction phase, a second polymerase chain reaction phase, and a ligase detection reaction

- 10 -

phase. This process involves analyzing a sample potentially containing one or more target nucleotide sequences with a plurality of sequence differences.

In the first polymerase chain reaction phase, one or more primary oligonucleotide primer groups are provided. Each group comprises one or more  
5 primary oligonucleotide primer sets with each set having a first nucleotide primer, having a target-specific portion and a 5' upstream secondary primer-specific portion, and a second oligonucleotide primer, having a target-specific portion and a 5' upstream secondary primer-specific portion. The first oligonucleotide primers of each set in the same group contain the same 5' upstream secondary primer-  
10 specific portion and the second oligonucleotide primers of each set in the same group contain the same 5' upstream primer-specific portion. The oligonucleotide primers in a particular set are suitable for hybridization on complementary strands of a corresponding target nucleotide sequence to permit formation of a polymerase chain reaction product. However, there is a mismatch which  
15 interferes with formation of such a polymerase chain reaction product when the primary oligonucleotide primers hybridize to any other nucleotide sequence in the sample. The polymerase chain reaction products in a particular set may be distinguished from other polymerase chain reaction products in the same group or groups. The primary oligonucleotide primers, the sample, and the polymerase are  
20 blended to form a primary polymerase chain reaction mixture.

The primary polymerase chain reaction mixture is subjected to two or more polymerase chain reaction cycles involving a denaturation treatment, a hybridization treatment, and an extension treatment, as substantially described above. During hybridization, target-specific portions of the primary  
25 oligonucleotide primers hybridize to the target nucleotide sequences. The extension treatment causes hybridized primary oligonucleotide primers to be extended to form primary extension products complementary to the target nucleotide sequence to which the primary oligonucleotide primers are hybridized.

- 11 -

Although the upstream secondary primer-specific portions of a primary oligonucleotide primer set are not present on the target DNA, their sequences are copied by the second and subsequent cycles of the primary polymerase chain reaction phase. As a result, the primary extension products  
5 produced after the second cycle have the secondary primer-specific portions on their 5' ends and the complement of primer-specific portion on their 3' ends.

Next, there is a second polymerase chain reaction phase. This phase involves providing one or a plurality of secondary oligonucleotide primer sets. Each set has a first secondary oligonucleotide primer containing the same  
10 sequence as the 5' upstream portion of the first primary oligonucleotide primer, and a second secondary oligonucleotide primer containing the same sequence as the 5' upstream portion of the second primary oligonucleotide primer from the same primary oligonucleotide primer set as the first primary oligonucleotide complementary to the first secondary primer. A set of secondary oligonucleotide  
15 primers may be used to amplify all of the primary extension products in a given group. The secondary oligonucleotide primers are blended with the primary extension products and the polymerase to form a secondary polymerase chain reaction mixture.

The secondary polymerase chain reaction mixture is subjected to one  
20 or more polymerase chain reaction cycles having a denaturation treatment, a hybridization treatment, and an extension treatment, as substantially set forth above. During the hybridization treatment, the secondary oligonucleotide primers hybridize to the complementary sequences present on the primary extension products but not to the original target sequence. The extension treatment causes  
25 the hybridized secondary oligonucleotide primers to be extended to form secondary extension products complementary to the primary extension products.

The last phase of this aspect of the present invention involves a ligase detection reaction process. Here, a plurality of oligonucleotide probe sets are provided where each set has a first oligonucleotide probe, having a secondary

extension product-specific portion and a detectable reporter label, and a second oligonucleotide probe, having a secondary extension product-specific portion. The oligonucleotide probes in a particular set are suitable for ligation together when hybridized adjacent to one another on a complementary secondary extension product-specific portion. However, there is a mismatch which interferes with such ligation when the oligonucleotide probes are hybridized to any other nucleotide sequence present in the sample. The ligation product of oligonucleotide probes in a particular set may be distinguished from either probe or other ligation products. The plurality of oligonucleotide probe sets, the secondary extension products, and a ligase are blended to form a ligase detection reaction mixture.

The ligase detection reaction mixture is subjected to one or more ligase detection reaction cycles having a denaturation treatment and hybridization treatment substantially as described above. In the hybridization treatment, the oligonucleotide probe sets hybridize at adjacent positions in a base-specific manner to the respective secondary extension products if present. As a result, adjacent probes ligate to one another to form a ligation product sequence containing the detectable reporter label and the secondary extension product-specific portions connected together. The oligonucleotide probe sets may hybridize to nucleotide sequences other than their respective complementary secondary extension products but do not ligate together due to the presence of one or more mismatches and individually separate during the denaturation treatment. Following the ligase detection reaction cycles, the reporter labels of the ligation product sequences are detected which indicates the presence of one or more target nucleotide sequences in the sample.

The primary PCR/secondary PCR/LDR process of the present invention provides significant advantages over the use of PCR alone in the multiplex detection of single nucleotide and tandem repeat polymorphisms.

As noted above, the use of PCR alone requires heavy optimization of operating conditions in order to conduct multiplex detection procedures.

- 13 -

Moreover, the quantity of oligonucleotide primers must be increased to detect greater numbers of target nucleotide sequences. However, as this occurs, the probability of target independent reactions (e.g., the primer-dimer effect) increases. In addition, the mutations must be known, false positives may be  
5 generated by polymerase extension off of normal template, closely-clustered sites due to interference of overlapping primers cannot undergo multiplex detection, single base or small insertions and deletions in small repeat sequences cannot be detected, and quantification of mutant DNA in high background of normal DNA is difficult. As a result, the number of target nucleotide sequences detected in a  
10 single multiplex PCR process is limited.

Direct sequencing requires enrichment of mutant samples in order to correct sequences, requires multiple reactions for large genes containing many exons, requires electrophoretic separation of products, is time consuming, and cannot be used to detect mutant DNA in less than 5% of background of normal  
15 DNA. When mini-sequencing, the mutation must be known, closely-clustered sites due to interference of overlapping primers cannot undergo multiplex detection, single base or small insertions and deletions in small repeat sequences cannot be detected, and four separate reactions are required. For allele-specific oligonucleotide hybridization ("ASO"), the mutation must be known, hybridization  
20 and washing conditions must be known, cross-reactivity is difficult to prevent, closely-clustered sites due to interference of overlapping primers cannot undergo multiplex detection, and mutant DNA cannot be detected in less than 5% of background of normal DNA. Primer-mediated RFLP requires electrophoretic separation to distinguish mutant from normal DNA, is of limited applicability to  
25 sites that may be converted into a restriction site, requires additional analysis to determine the nature of the mutation, and is difficult to use where the mutant DNA is in a high background of normal DNA. Single strand conformational polymorphism analysis ("SSCP") requires electrophoretic separation to distinguish mutant conformer from normal conformer, misses 30% of possible mutations,

- 14 -

requires additional analysis to determine the nature of the mutation, and cannot distinguish mutations from silent polymorphisms. With dideoxynucleotide finger printing ("ddF"), it is difficult to detect mutations in a high background of normal DNA, electrophoretic separation is required to distinguish mutant conformer from normal conformer, additional analysis must be used to determine the nature of the mutation, and mutations cannot be distinguished from silent polymorphisms. Denaturing gradient gel electrophoresis ("DGGE") must electrophoretically separate mutant conformer from normal conformer, misses 30% of possible mutations, requires additional analysis to determine the nature of the mutation, cannot distinguish mutations from silent polymorphisms, and imposes technical challenges to reproducing previously achieved results. RNase mismatch cleavage requires additional analysis to determine the nature of the mutation, requires analysis of both strands to exclude RNase-resistant mismatches, and imposes difficulty in detecting mutations in a high background of normal DNA. Chemical mismatch cleavage cannot detect mutant DNA in less than 5% of background of normal DNA, and requires an analysis of both strands to detect all mutations. For T4 Endo VII mismatch cleavage, additional analysis is needed to determine the nature of the mutation, mutations cannot be distinguished from silent polymorphisms, endonuclease cleaves control DNA which necessitates careful interpretation of results, and it is difficult to detect mutations in a high background of normal DNA.

These problems are avoided in the primary PCR/secondary PCR/LDR process of the present invention which combines the sensitivity of PCR with the specificity of LDR. The primary PCR phase produces primary extension products with a secondary primer-specific portion. This initial phase is carried out under conditions effective to maximize production of primary extension products without obtaining the adverse effects sometimes achieved in a PCR-only process. In particular, the primary PCR phase of the present invention is carried out with 15 to 20 PCR cycles and utilizes less primer than would be utilized in a PCR-only



- 15 -

process. The primary PCR phase of the present invention produces extension products in a varied and unpredictable way, because some target nucleotide sequences will be amplified well, while others will not. However, in the secondary PCR phase, all of the primary extension products are amplified

5 approximately equally, because they all have the same secondary primer-specific portions. Target nucleotide sequences originally present in the sample will not be amplified by the secondary PCR phase, because such sequences do not contain a secondary primer-specific portion. As a result, the primary PCR/secondary PCR/LDR process of the present invention is able to achieve multiplex detection

10 of hundreds of nucleotide sequence differences in a single tube without undue customization of operating conditions for each particular sample being analyzed. Since the selection of mutant sequences is mediated by LDR rather than PCR, the primary PCR/secondary PCR/LDR process is less susceptible to false-positive signal generation. In addition, the primary PCR/secondary PCR/LDR process

15 allows detection of closely-clustered mutations, detection of single base or small insertions and deletions in small repeat sequences, quantitative detection of less than 1% mutations in high background of normal DNA, and detection of ligation product sequences using addressable arrays. The only significant requirements are that the mutations be known and that a multitude of oligonucleotides be

20 synthesized.

The ability to detect single nucleotide and tandem repeat polymorphisms is particularly important for forensic DNA identification and diagnosis of genetic diseases.

## 25 II. LDR/PCR Process

A second aspect of the present invention relates to a method for identifying one or more of a plurality of sequences differing by one or more single-base changes, insertions, deletions, or translocations in a plurality of target

nucleotide sequences. This method has a ligase detection reaction phase followed by a polymerase chain reaction phase. This method involves providing a sample potentially containing one or more target nucleotide sequences with a plurality of sequence differences.

5                   In the ligase detection reaction phase, one or more oligonucleotide probe sets are provided. Each set has a first oligonucleotide probe, having a target-specific portion and a 5' upstream primer-specific portion, and a second oligonucleotide probe, having a target-specific portion and a 3' downstream primer-specific portion. The oligonucleotide probes in a particular set are suitable  
10 for ligation together when hybridized adjacent to one another on a corresponding target nucleotide sequence. However, there is a mismatch which interferes with such ligation when they are hybridized to any other nucleotide sequence present in the sample. The sample, the plurality of oligonucleotide probe sets, and a ligase are blended together to form a ligase detection reaction mixture.

15                   The ligase detection reaction mixture is subjected to one or more ligase detection reaction cycles. These cycles include a denaturation treatment and a hybridization treatment. In the denaturation treatment, any hybridized oligonucleotides are separated from the target nucleotide sequences. The hybridization treatment causes the oligonucleotide probe sets to hybridize at  
20 adjacent positions in a base-specific manner to their respective target nucleotide sequences if present in the sample. Once hybridized, the oligonucleotide probe sets ligate to one another to form a ligation product sequence. This product contains the 5' upstream primer-specific portion, the target-specific portions connected together, and the 3' downstream primer-specific portion. The ligation  
25 product sequence for each set is distinguishable from other nucleic acids in the ligase detection reaction mixture. The oligonucleotide probe sets hybridized to nucleotide sequences in the sample other than their respective target nucleotide sequences but do not ligate together due to a presence of one or more mismatches and individually separate during the subsequent denaturation treatment.

- 17 -

In the polymerase chain reaction, one or a plurality of oligonucleotide primer sets are provided. Each set has an upstream primer containing the same sequence as the 5' upstream primer-specific portion of the ligation product sequence and a downstream primer complementary to the 3' downstream primer-specific portion of the ligation product sequence, where one primer has a detectable reporter label. The ligase detection reaction mixture is blended with the one or a plurality of oligonucleotide primer sets and the polymerase to form a polymerase chain reaction mixture.

The polymerase chain reaction mixture is subjected to one or more polymerase chain reaction cycles which include a denaturation treatment, a hybridization treatment, and an extension treatment. During the denaturation treatment, hybridized nucleic acid sequences are separated. The hybridization treatment causes primers to hybridize to their complementary primer-specific portions of the ligation product sequence. During the extension treatment, hybridized primers are extended to form extension products complementary to the sequences to which the primers are hybridized. In a first cycle of the polymerase chain reaction phase, the downstream primer hybridizes to the 3' downstream primer-specific portion of the ligation product sequence and is extended to form an extension product complementary to the ligation product sequence. In subsequent cycles the upstream primer hybridizes to the 5' upstream primer-specific portion of the extension product complementary to the ligation product sequence and the downstream primer hybridizes to the 3' downstream portion of the ligation product sequence.

Following the polymerase chain reaction phase of this process, the reporter labels are detected and the extension products are distinguished to indicate the presence of one or more target nucleotide sequences in the sample.

One embodiment of the LDR/PCR process of the present invention achieves improved results over the use of LDR alone in measuring the number of gene copies in a cell (i.e. gene dosage). When LDR alone is utilized, it is

- 18 -

difficult to produce sufficient target copies which are needed ultimately to quantify a plurality of genes.

In another embodiment of the LDR/PCR process of the present invention, the LDR phase ligation product sequences are produced in a ratio proportional to the ratio of the genes from which they were derived within the sample. By incorporation of the same primer-specific portions in the oligonucleotide probes for the LDR phase, the PCR phase amplifies ligation product sequences to the same degree so that their proportionality is maintained. Target sequences originally found in the sample being analyzed are not amplified by the PCR phase, because such target sequences do not contain PCR primer-specific portions. In addition, since only the oligonucleotide primers for the PCR phase have reporter labels, only extension products with those labels will be detected.

Determination of variation in gene dosage is important in a number of biomedical applications.

Males differ in gene dosage from females for those genes located on the X chromosome, women having two copies while men have one copy. Women may be carriers of deletions along the X chromosome. If the deleted region of the X chromosome included one or more genes, then the woman has only one copy of these genes in the corresponding, non-deleted area of the other X chromosome. The result (having one copy of an X-linked gene) is similar to the situation in male cells and is usually tolerated without manifestations of an inherited disorder. However, if the woman's son inherits her deleted X chromosome, he will have no copies of the genes in the deletion region and suffer from the X-linked disorder related to the absence of the gene. The detection of chromosomal deletions, therefore, is one application of the LDR/PCR process of the present invention.

Congenital chromosomal disorders occur when a fertilized egg has an abnormal complement of chromosomes. The most common congenital chromosomal disorder is Down Syndrome, which occurs when there is an

additional chromosome 21 in each cell, designated 47,XX+21 or 47,XY+21. The LDR/PCR process of the present invention can be designed to identify congenital chromosomal disorders.

The LDR/PCR process of the present invention is also useful for  
5 distinguishing polymorphisms in mono-nucleotide and di-nucleotide repeat sequences. It will also be useful in distinguishing minor populations of cells containing unique polymorphisms for detection of clonality.

The LDR/PCR process can be used with both gel and non-gel (i.e. DNA array) technologies. It allows multiplex analysis of many gene  
10 amplifications and deletions simultaneously, allows quantitative analysis, and does not require an external standard. The only relatively minor challenge presented by the LDR/PCR process is that it is difficult to use in determining the boundaries of large deletions in chromosomes.

By contrast, microsatellite marker analysis cannot be used to detect  
15 small regions that are deleted or amplified, is not compatible with simultaneous detection of amplified regions, and depends on the availability of informative markers. Competitive PCR (i.e. differential PCR) cannot be used in a multiplex format to detect several deletions and amplifications simultaneously, and is not particularly accurate. Southern hybridization is time consuming, labor intensive,  
20 is not amenable to multiplexing due to the need for multiple steps for each probe tested, and requires large quantities of DNA. Fluorescent *in situ* hybridization ("FISH") requires specialized expertise, is time consuming, and requires large probes to analyze for suspected deleted or amplified regions. Thus, the LDR/PCR process of the present invention constitutes a significant advance over prior  
25 processes.

### III. Primary PCR/Secondary PCR Process

A third aspect of the present invention also involves a method for identifying two or more of a plurality of sequences differing by one or more single-base changes, insertions, deletions, or translocations in one or more target nucleotide sequences. This method involves subjecting a sample potentially containing one or more target nucleotide sequences with a plurality of sequence differences to two successive polymerase chain reaction phases.

For the first polymerase chain reaction phase, one or more primary oligonucleotide primer groups are provided where each group comprises two or more primary oligonucleotide primer sets. Each set has a first oligonucleotide primer, having a target-specific portion and a 5' upstream secondary primer-specific portion, and a second oligonucleotide primer, having a target-specific portion and a 5' upstream secondary primer-specific portion. The first oligonucleotide primers of each set in the same group contain the same 5' upstream secondary primer-specific portion and the second oligonucleotide primers of each set in the same group contain the same 5' upstream secondary primer-specific portion. The oligonucleotide primers in a particular set are suitable for hybridization on complementary strands of a corresponding target nucleotide sequence to permit formation of a polymerase chain reaction product. However, there is a mismatch which interferes with formation of such a polymerase chain reaction product when the primary oligonucleotide primers hybridize to any other nucleotide sequence present in the sample. The polymerase chain reaction products in a particular set may be distinguished from other polymerase chain reaction products with the same group or other groups. The primary oligonucleotide primers are blended with the sample and the polymerase to form a primary polymerase chain reaction mixture.

The primary polymerase chain reaction mixture is subjected to two or more polymerase chain reaction cycles involving a denaturation treatment, a

hybridization treatment, and an extension treatment, as described above. During the hybridization treatment, the target-specific portion of a primary oligonucleotide primer is hybridized to the target nucleotide sequences. In the extension treatment, the hybridized primary oligonucleotide primers are extended to form  
5 primary extension products complementary to the target nucleotide sequence to which the primary oligonucleotide primer is hybridized.

Although the upstream secondary primer-specific portions of a primary oligonucleotide primer set are not present on the target DNA, their sequences are copied by the second and subsequent cycles of the primary  
10 polymerase chain reaction phase. As a result, the primary extension products produced after the second and subsequent cycles have the secondary primer-specific portions on their 5' ends and the complement of primer-specific portion on their 3' ends.

In the second polymerase chain reaction phase of this aspect of the  
15 present invention, one or a plurality of secondary oligonucleotide primer sets are provided. Each set has a first secondary primer having a detectable reporter label and containing the same sequence as the 5' upstream portion of a first primary oligonucleotide primer, and a second secondary primer containing the same  
20 sequence as the 5' upstream primer of the second primary oligonucleotide primer from the same primary oligonucleotide primer set as the first primary oligonucleotide complementary to the first secondary primer. A set of secondary oligonucleotide primers amplify the primary extension products in a given group. The secondary oligonucleotide primers are blended with the primary extension  
25 products and the polymerase to form a secondary polymerase chain reaction mixture.

The secondary polymerase chain reaction mixture is subjected to one or more polymerase chain reaction cycles involving a denaturation treatment, a hybridization treatment, and an extension treatment, as described above. In the hybridization treatment, the secondary oligonucleotide primers are hybridized to

- 22 -

the primary extension products, while the extension treatment causes the hybridized secondary oligonucleotide primers to be extended to form secondary extension products complementary to the primary extension products. After  
5 polymerase chain reaction cycles, the labelled secondary extension products are detected. This indicates the presence of one or more target nucleotide sequences in the sample.

The primary PCR/secondary PCR process of the present invention provides significant advantages over Southern hybridization, competitive PCR, and  
10 microsatellite marker analysis in detecting nucleotide deletions which cause a loss of heterozygosity. Although Southern hybridization is more accurate than competitive PCR, it is quite labor intensive, requires large amounts of DNA, and neither technique can be multiplexed. Current multiplex microsatellite marker approaches require careful attention to primer concentrations and amplification  
15 conditions.

The primary PCR/secondary PCR process of the present invention overcomes these difficulties encountered in the prior art. In the primary PCR phase, the primary oligonucleotide primers flank dinucleotide or other repeat sequences and include a secondary primer-specific portion. The primary PCR  
20 phase is carried out at low concentrations of these primers to allow several loci to undergo amplification at the same time. The secondary PCR phase causes amplification to continue at the same rate with target-specific secondary primers being selected to space one set of microsatellite markers from the adjacent set. The primary PCR/secondary PCR process can be used to carry out multiplex  
25 detection in a single PCR tube and with single gel lane analysis.

This aspect of the present invention is useful in carrying out a microsatellite marker analysis to identify nucleotide deletions in a gene. Such multiplex detection can be carried out in a single reaction tube. However, the primary PCR/secondary PCR process cannot distinguish amplifications from



deletions, so, when making such distinctions, this process must be used in conjunction with the above-described LDR/PCR process or a differential PCR process.

5

## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a flow diagram depicting a primary PCR/secondary PCR/LDR process for detection of germline mutations, such as point mutations, by electrophoresis or capture on an addressable array. Note that the term "zip-code" which appears in Figure 1 and other drawings refers to a sequence specific to a subsequently used primer or probe but not to either the target sequence or other genome sequences.

Figure 2 is a flow diagram depicting a primary PCR/secondary PCR/LDR process for detection of biallelic polymorphisms by electrophoresis or capture on an addressable array.

Figure 3 is a flow diagram depicting a primary PCR/secondary PCR/LDR process for detection of cancer-associated mutations by electrophoresis or capture on an addressable array.

Figure 4 is a schematic diagram depicting a primary PCR/secondary PCR/LDR process for detection of biallelic polymorphisms.

Figure 5 is a schematic diagram depicting a primary PCR/secondary PCR/LDR process for detection of allelic differences using LDR oligonucleotide probes which distinguish all possible bases at a given site.

Figure 6 is a schematic diagram depicting a primary PCR/secondary PCR/LDR process for detection of the presence of any possible base at two nearby sites using LDR oligonucleotide probes which distinguish all possible bases at a given site.

Figure 7 is a schematic diagram depicting a primary PCR/secondary PCR/LDR process for detection of cancer-associated mutations at adjacent alleles.

Figure 8 is a flow diagram depicting an LDR/PCR process with and without restriction endonuclease digestion using electrophoresis detection.

Figure 9 is a flow diagram depicting an LDR/PCR process using detection on an addressable array using gene-specific addresses.

5                Figure 10 is a schematic diagram depicting an LDR/PCR process for multiplex detection of gene amplifications and deletions.

Figure 11 is a schematic diagram depicting an allele specific problem for an LDR/PCR process.

10              Figure 12 is a schematic diagram depicting a solution for the allele specific problem for an LDR/PCR process which is shown in Figure 11.

Figure 13 is a flow diagram depicting an LDR/PCR process with an intermediate exonuclease digestion phase for detection of biallelic polymorphisms by electrophoresis or capture on an addressable array.

15              Figure 14 is a flow diagram depicting an LDR/PCR process with an intermediate exonuclease digestion phase for detection of cancer-associated mutations by electrophoresis or capture on an addressable array.

Figure 15 is a schematic diagram depicting an LDR/PCR process with an intermediate exonuclease digestion phase for detection of allele specific mutations and polymorphisms.

20              Figure 16 is a schematic diagram depicting an LDR/PCR process with an intermediate exonuclease digestion phase for detection of mononucleotide repeat polymorphisms.

25              Figure 17 is a schematic diagram depicting an LDR/PCR process with an intermediate exonuclease digestion phase for detection of mononucleotide repeat polymorphisms which are in low abundance.

Figure 18 is a flow diagram depicting the detection of polymorphisms using an LDR/PCR process with an intermediate sequence extension phase and a uracil N-glycosylase digestion phase after the LDR phase

and before the PCR phase and with detection by electrophoresis or an addressable array.

Figure 19 is a flow diagram depicting the detection of cancer using an LDR/PCR process with an intermediate sequenase extension phase and a uracil  
5 N-glycosylase digestion phase after the LDR phase and before the PCR phase and with detection by electrophoresis or an addressable array.

Figure 20 is a schematic diagram depicting detection of mononucleotide repeats using an LDR/PCR process with an intermediate  
sequenase amplification phase and a uracil N-glycosylase digestion phase after the  
10 LDR phase and before the PCR phase.

Figure 21 is a schematic diagram depicting detection of mononucleotide repeat polymorphisms which are in low abundance using an LDR/PCR process with an intermediate sequenase amplification phase and a uracil  
N-glycosylase digestion phase after the LDR phase and before the PCR phase.

15 Figure 22 is a flow diagram depicting a primary PCR/secondary PCR process for detection of microsatellite repeats.

Figure 23 is a schematic diagram depicting a primary PCR/secondary PCR process for multiplex detection of insertions and deletions in microsatellite repeats.

20 Figure 24 shows the design of LDR oligonucleotide probes for quantification of gene amplifications and deletions in an LDR/PCR process.

Figures 25A-D show electropherogram results for an LDR/PCR process.

Figures 26A-C show electropherogram results for an LDR/PCR  
25 process of ErbB, G6PD, Int2, p53, and SOD gene segments from normal human female DNA and from DNA of the breast cancer cell line ZR-75-30 and the gastric carcinoma cell line SKGT-2. The ErbB gene is known to be amplified in the cancer cell lines. Target-specific ligation product sequences of 104 bp are generated in 10 cycles (94°C for 30 sec, 65°C for 4 min) of LDR using 500

- 26 -

femtomoles of each ligation primer, 50 ng of genomic DNA, 124 units of *Thermus thermophilus* ("Tth") ligase, 2  $\mu$ l of 10X buffer (0.2 M Tris, pH 8.5 and 0.1 M  $\text{MgCl}_2$ ), 2  $\mu$ l of 10 mM NAD, and 1  $\mu$ l of 200 mM DTT in a volume of 20  $\mu$ l. The ligation products are proportionally amplified in 26 cycles (94°C for 15 sec, 5 60°C for 50 sec) of PCR by the addition of 30  $\mu$ l of a solution containing 5  $\mu$ l 10X Stoffel buffer (Perkin Elmer), 25 picomoles of each oligonucleotide primer, 2.5 units of *Taq* polymerase Stoffel fragment, and 8  $\mu$ l of a solution 5 mM in each dNTP. After amplification, the products are digested with *Hae*III and *Hin*PII to 10 generate FAM-labeled products of 58 bp (ErbB (i.e. HER-2/neu/erbB oncogene)), 61 bp (G6PD), 67 bp (Int2 (i.e. int-2 oncogene)), 70 bp (p53) and 76 bp (SOD). These products are separated and analyzed on a 373A DNA sequencer using the Genescan 672 software package (Applied Biosystems, Inc., Foster City, Calif.). Results are displayed as electropherograms such that peak heights and areas reflect the amounts of the PCR products. In Figure 26A, the gene dosage determination 15 for the five loci in normal human female DNA is shown. The peak heights and areas for G6PD, Int2, p53, and SOD are very similar. The peak height and area for ErbB is consistently small in normal genomic DNA. In Figure 26B, the peak height and area for ErbB are elevated when gene dosage is investigated in ZR-75-30, a cell line with known ErbB amplification. In Figure 26C, the gastric cell 20 line, SKGT-2 shows dramatic amplification of the ErbB gene and a modest amplification of Int2. The G6PD gene peak may be embedded in the large ErbB peak.

Figures 27A-C show electropherogram results for an LDR/PCR process to determine whether amplification of ErbB affected the relative peak 25 heights of the other LDR oligonucleotide probes and PCR oligonucleotide primers for G6PD, Int2, p53, and SOD. In Figure 27A, the gene dosage determination for the four loci in normal human female DNA is shown. Peak heights and areas for G6PD, Int2, p53, and SOD are similar, as they were in the experiment using all five LDR primers. In Figure 27B, G6PD, Int2, and SOD analyzed in the ZR-75-

30 breast cancer cell line show similar relative peak heights, comparable to their appearance in normal female DNA. The peak height for p53 is reduced, suggesting the deletion of this gene in a portion of the cells in this cell line. In Figure 27C, in the gastric carcinoma cell line, SKGT-2, G6PD, and p53 show  
5 comparable peak heights. The Int2 peak height remains relatively high, as it was in the experiment using all five LDR oligonucleotide probes. Thus, the LDR and PCR amplification of each product appears to be independent of the other products during the course of the experiment.

Figures 28A-C show electropherogram results for the PCR phase of  
10 a primary PCR/secondary PCR/LDR process. Multiplex PCR amplification of 12 loci using primary PCR oligonucleotide primers produces approximately equal amounts of product. Over 80 gene regions with single-base polymorphisms were identified from the Human Genome Database. Twelve of these (see Table 10 and Figures 29A-H) were amplified in a primary PCR phase as follows: Long primary  
15 PCR oligonucleotide primers were designed to have gene-specific 3' ends and 5' ends complementary to one of two sets of secondary PCR oligonucleotide primers. The upstream primary PCR oligonucleotide primers were synthesized with either FAM (i.e. 6-carboxyfluorescein; fluorescent dye used in sequencing and mutation detection) or TET (i.e. tetrachlorinated-6-carboxyfluorescein; fluorescent dye used  
20 in sequencing/mutation detection) fluorescent labels. All 24 base long primary PCR oligonucleotide primers were used at low concentration (2 picomole of each primer in 20  $\mu$ l) in a 15 cycle primary PCR phase. After this, the two sets of secondary PCR oligonucleotide primers were added at higher concentrations (25 picomoles of each) and the secondary PCR phase was conducted for an additional  
25 25 cycles. The products were separated on a 373 DNA Sequencer (Applied Biosystems). Panel A shows the electropherogram results for the FAM- and TET-labelled products combined. Panel B shows the FAM-labelled products alone. Panel C shows the TET-labelled products alone. The process produces similar

amounts of multiplexed products without the need to adjust carefully primer concentrations or PCR conditions.

Figures 29A-H show electropherogram results for the LDR phase of a primary PCR/secondary PCR/LDR process in detecting 12 biallelic genes for forensic identification. The primary and secondary PCR phases for the 12 polymorphic genes were performed as described in Fig. 28A-C. However, the secondary PCR oligonucleotide primers were not fluorescently labelled. The secondary PCR process extension products were diluted into a ligase buffer containing 36 LDR oligonucleotide probes (one common and two discriminating primers for each locus). LDR oligonucleotide probe sets were designed in two ways: (i) allele-specific oligonucleotide probes were of the same length but contained either the FAM or TET label; or (ii) the allele-specific oligonucleotide probes were both labelled with HEX (i.e. hexachlorinated-6-carboxyfluorescein; fluorescent dye used in sequencing and mutation detection) but differed in length by two basepairs. After 20 cycles of the LDR phase, the ligation product sequences were resolved using a 10% polyacrylamide sequencing gel on a 373 DNA Sequencer (Applied Biosystems). Panel A and E show the 12 loci PCR/LDR profiles of two individuals. Panels B, C, and D show, respectively, the FAM, TET, and HEX data for the individual in panel A. Panels F, G, and H show, respectively, the FAM, TET, and HEX data for the individual in panel E. The individual in panel A is homozygous only at locus 6 (ALDOB (i.e. aldolase B)) and locus 8 (IGF (i.e. insulin growth factor)). The individual in panel E is heterozygous only at loci 3 (C6 (i.e. complement component C6)), 5 (NF1 (i.e. neurofibromatosis)), 6 (ALDOB), and 8 (IGF). This demonstrates that the primary PCR/primary PCR/LDR process can simultaneously distinguish both homozygous and heterozygous genotypes at multiple positions.

## DETAILED DESCRIPTION OF THE INVENTION

### I. Primary PCR/Secondary PCR/LDR Process

5           One aspect of the present invention relates to a method for identifying two or more of a plurality of sequences differing by one or more single-base changes, insertions, deletions, or translocations in a plurality of target nucleotide sequences. This method involves a first polymerase chain reaction phase, a second polymerase chain reaction phase, and a ligase detection reaction  
10       phase. This process involves analyzing a sample potentially containing one or more target nucleotide sequences with a plurality of sequence differences.

          In the first polymerase chain reaction phase, one or more primary oligonucleotide primer groups are provided. Each group comprises one or more primary oligonucleotide primer sets with each set having a first oligonucleotide  
15       primer, having a target-specific portion and a 5' upstream secondary primer-specific portion, and a second oligonucleotide primer, having a target-specific portion and a 5' upstream secondary primer-specific portion. The first oligonucleotide primers of each set in the same group contain the same 5' upstream secondary primer-specific portion and the second oligonucleotide primers  
20       of each set in the same group contain the same 5' upstream primer-specific portion. The oligonucleotide primers in a particular set are suitable for hybridization on complementary strands of a corresponding target nucleotide sequence to permit formation of a polymerase chain reaction product. However, there is a mismatch which interferes with formation of such a polymerase chain  
25       reaction product when the primary oligonucleotide primers hybridize to any other nucleotide sequence present in the sample. The polymerase chain reaction products in a particular set may be distinguished from other polymerase chain reaction products in the same group or groups. The primary oligonucleotide

primers, the sample, and the polymerase are blended to form a primary polymerase chain reaction mixture.

The primary polymerase chain reaction mixture is subjected to two or more polymerase chain reaction cycles involving a denaturation treatment, a hybridization treatment, and an extension treatment, as substantially described above. During hybridization, target-specific portions of the primary oligonucleotide primers hybridize to the target nucleotide sequences. The extension treatment causes hybridized primary oligonucleotide primers to be extended to form primary extension products complementary to the target nucleotide sequence to which the primary oligonucleotide primers are hybridized.

Although the upstream secondary primer-specific portions of a primary oligonucleotide primer set are not present on the target DNA, their sequences are copied by the second and subsequent cycles of the primary polymerase chain reaction phase. As a result, the primary extension products produced after the second cycle have the secondary primer-specific portions on their 5' ends and the complement of the primer-specific portion on their 3' ends.

Next, there is a second polymerase chain reaction phase. This phase involves providing one or a plurality of secondary oligonucleotide primer sets. Each set has a first secondary oligonucleotide primer containing the same sequence as the 5' upstream portion of the first primary oligonucleotide primer, and a second secondary oligonucleotide primer containing the same sequence as the 5' upstream portion of the second primary oligonucleotide primer from the same primary oligonucleotide primer set as the first primary oligonucleotide complementary to the first secondary primer. A set of secondary oligonucleotide primers may be used to amplify all of the primary extension products in a given group. The secondary oligonucleotide primers are blended with the primary extension products and the polymerase to form a secondary polymerase chain reaction mixture.



- 31 -

The secondary polymerase chain reaction mixture is subjected to one or more polymerase chain reaction cycles having a denaturation treatment, a hybridization treatment, and an extension treatment, as substantially set forth above. During the hybridization treatment, the secondary oligonucleotide primers  
5 hybridize to complementary sequences present on the primary extension products but not to the original target sequence. The extension treatment causes the hybridized secondary oligonucleotide primers to be extended to form secondary extension products complementary to the primary extension products.

The last phase of this aspect of the present invention involves a  
10 ligase detection reaction process. Here, a plurality of oligonucleotide probe sets are provided where each set has a first oligonucleotide probe, having a secondary extension product-specific portion and a detectable reporter label, and a second oligonucleotide probe, having a secondary extension product-specific portion. The oligonucleotide probes in a particular set are suitable for ligation together when  
15 hybridized adjacent to one another on a complementary secondary extension product-specific portion. However, there is a mismatch which interferes with such ligation when the oligonucleotide probes are hybridized to any other nucleotide sequence present in the sample. The ligation product of oligonucleotide probes in a particular set may be distinguished from either individual probes or other  
20 ligation products. The plurality of oligonucleotide probe sets, the secondary extension products, and a ligase are blended to form a ligase detection reaction mixture.

The ligase detection reaction mixture is subjected to one or more  
ligase detection reaction cycles having a denaturation treatment and hybridization  
25 treatment substantially as described above. In the hybridization treatment, the oligonucleotide probe sets hybridize at adjacent positions in a base-specific manner to the respective secondary extension products if present. As a result, adjacent probes ligate to one another to form a ligation product sequence containing the detectable reporter label and the secondary extension product-specific portions

connected together. The oligonucleotide probe sets may hybridize to nucleotide sequences other than the respective complementary secondary extension products but do not ligate together due a presence of one or more mismatches and individually separate during the denaturation treatment. Following the ligation  
5 detection reaction cycles, the reporter labels of the ligation product sequences are detected which indicates the presence of one or more target nucleotide sequences in the sample.

Figures 1, 2, and 3 show flow diagrams of the primary  
PCR/secondary PCR/LDR process of the present invention utilizing either of two  
10 detection procedures. One alternative involves use of capillary electrophoresis or gel electrophoresis and a fluorescent quantification procedure. Alternatively, detection can be carried out by capture on an array of capture oligonucleotide addresses and fluorescent quantification. Figure 1 relates to detection of germline mutations (e.g., a point mutation), while Figure 2 detects biallelic polymorphisms,  
15 and Figure 3 shows the detection of cancer-associated mutations.

Figure 1 depicts the detection of a germline point mutation. In step 1, after DNA sample preparation, multiple exons are subjected to primary PCR amplification using *Taq* (i.e. *Thermus aquaticus*) polymerase under hot start conditions with oligonucleotide primers having a target-specific portion and a  
20 secondary primer-specific portion. At the end of the primary PCR phase, *Taq* polymerase may be inactivated by heating at 100° C for 10 min or by a freeze/thaw step. The products of the primary PCR amplification phase are then subjected in step 2 to secondary PCR amplification using *Taq* polymerase under hot start conditions with the secondary oligonucleotide primers. At the end of the  
25 secondary PCR phase, *Taq* polymerase may be inactivated by heating at 100° C for 10 min or by a freeze/thaw step. In step 3, products of the secondary PCR phase are then diluted 20-fold into fresh LDR buffer containing LDR oligonucleotide probes containing allele-specific portions and common portions. Step 4 involves the LDR phase of the process which is initiated by addition of *Taq*

- 33 -

ligase under hot start conditions. During LDR, oligonucleotide probes ligate to their adjacent oligonucleotide probes only in the presence of target sequence which gives perfect complementarity at the junction site.

The products may be detected in two different formats. In the first  
5 format 5a, fluorescently-labeled LDR probes contain different length poly A or hexaethylene oxide tails. Thus, each ligation product sequence (resulting from ligation of two probes hybridized on normal DNA) will have a slightly different length and mobility such that several ligation product sequences yield a ladder of peaks. Thus, each ligation product (resulting from ligation of two probes  
10 hybridized on normal DNA) will have a slightly different length and mobility such that several ligation product sequences yield a ladder of peaks. A germline mutation would generate a new peak on the electropherogram. Alternatively, the LDR probes may be designed such that the germline mutation ligation product sequence migrates with the same mobility as a normal DNA ligation product  
15 sequence, but it is distinguished by a different fluorescent reporter. The size of the new peak will approximate the amount of the mutation present in the original sample; 0% for homozygous normal, 50% for heterozygous carrier, or 100% for homozygous mutant. In the second format 5b, each allele-specific probe contains e.g., 24 additional nucleotide bases on their 5' ends. These sequences are unique  
20 addressable sequences which will specifically hybridize to their complementary address sequences on an addressable array. In the LDR reaction, each allele-specific probe can ligate to its adjacent fluorescently labeled common probe in the presence of the corresponding target sequence. Ligation product sequences corresponding to wild type and mutant alleles are captured on adjacent addresses  
25 on the array. Unreacted probes are washed away. The black dots indicate 100% signal for the wild type allele. The white dots indicate 0% signal for the mutant alleles. The shaded dots indicate the one position of germline mutation, 50% signal for each allele.

Figure 2 depicts the detection of biallelic polymorphisms. In step 1, after DNA sample preparation, multiple exons are subjected to primary PCR amplification using *Taq* polymerase under hot start conditions with oligonucleotide primers having a target-specific portion and a secondary primer-specific portion.

5 The products of the primary PCR amplification phase are then subjected in step 2 to secondary PCR amplification using *Taq* polymerase under hot start conditions with the secondary oligonucleotide primers. At the end of the secondary PCR phase, *Taq* polymerase may be inactivated by heating at 100° C for 10 min or by a freeze/thaw step. In step 3, products of the secondary PCR phase are then

10 diluted 20-fold into fresh LDR buffer containing LDR oligonucleotide probes containing allele-specific portions and common portions. Step 4 involves the LDR phase of the process which is initiated by addition of *Taq* ligase under hot start conditions. During LDR, oligonucleotide probes ligate to their adjacent oligonucleotide probes only in the presence of target sequence which gives perfect

15 complementarity at the junction site. In the first format 5a, fluorescently-labeled LDR probes contain different length poly A or hexaethylene oxide tails. Each ligation product sequence will have a slightly different length and mobility, such that several LDR products yield a ladder of peaks. Alternatively, the LDR probes may be designed such that the ligation products for polymorphic alleles migrate at

20 the same position but are distinguished by different fluorescent reporter groups. The size of the peaks will approximate the amount of each allele. In the second format 5b, each oligonucleotide probe contains unique addressable sequences with e.g., 24 additional nucleotide bases on their 5' ends. These sequences will

25 specifically hybridize to their complementary address sequences on an array of capture oligonucleotides. In the LDR phase, each allele-specific probe can ligate to its adjacent fluorescently labeled common probe in the presence of corresponding target sequence. Ligation product sequences corresponding to each allele are captured on the array, while unligated oligonucleotide probes are washed away. The black dots indicate that both chromosomes have a given allele, the

- 35 -

white dots show that neither chromosome has that allele, and the shaded dots indicate that one chromosome has a given allele.

Figure 3 depicts the detection of cancer-associated mutation. In step 1, after DNA sample preparation, multiple exons are subjected to primary PCR amplification using *Taq* polymerase under hot start conditions with oligonucleotide primers having a target-specific portion and a secondary primer-specific portion. The products of the primary PCR amplification phase are then subjected in step 2 to secondary PCR amplification using *Taq* polymerase under hot start conditions with the secondary oligonucleotide primers. At the end of the secondary PCR phase, *Taq* polymerase may be inactivated by heating at 100° C for 10 min or by a freeze/thaw step. Fluorescent quantification of PCR products can be achieved using capillary or gel electrophoresis in step 3. In step 4, the products are spiked with a 1/100 dilution of marker DNA (for each of the fragments). This DNA is homologous to wild type DNA, except it contains a mutation which is not observed in cancer cells, but which may be readily detected with the appropriate LDR probes. In step 5, the mixed DNA products in products of the secondary PCR phase are then diluted 20-fold into fresh LDR buffer containing LDR oligonucleotide probes containing allele-specific portions and common portions. Step 6 involves the LDR phase of the process which is initiated by addition of *Taq* ligase under hot start conditions. During LDR, oligonucleotide probes ligate to their adjacent oligonucleotide probes only in the presence of target sequence which gives perfect complementarity at the junction site.

The products may be detected in the same two formats discussed above. In the format of step 7a, products are separated by capillary or gel electrophoresis, and fluorescent signals are quantified. Ratios of mutant peaks to marker peaks give the approximate amount of cancer mutations present in the original sample divided by 100. In the format of step 7b, products are detected by specific hybridization to complementary sequences on an addressable array. Ratios

of fluorescent signals in mutant dots to marker dots give the approximate amount of cancer mutations present in the original sample divided by 100.

As shown in Figure 4, two DNA fragments of interest are treated with the primary PCR/secondary PCR/LDR process of the present invention.

- 5 Initially, the double stranded DNA molecules are denatured to separate the strands. This is achieved by heating to a temperature of 80-105° C. Low concentrations of primary PCR oligonucleotide primers, containing a 3' target-specific portion (shaded area) and 5' secondary primer-specific portion (black area), are then added and allowed to hybridize to the strands, typically at a
- 10 temperature of 50-85° C. A thermostable polymerase (e.g., *Taq aquaticus* polymerase) is also added, and the temperature is then adjusted to 50-85° C to extend the primer along the length of the nucleic acid to which the primer is hybridized. After the extension phase of the polymerase chain reaction, the resulting double stranded molecule is heated to a temperature of 80-105° C to
- 15 denature the molecule and to separate the strands. These hybridization, extension, and denaturation steps may be repeated a number of times to amplify the target to an appropriate level.

- In the secondary PCR phase, the products of the primary PCR phase are blended with secondary PCR oligonucleotide primers and allowed to hybridize
- 20 to one another, typically at a temperature of 50-85° C. The secondary oligonucleotide primers are usually used in higher concentrations than are the primary oligonucleotide primers. *Taq* polymerase is also added, and the temperature is then adjusted to 50-85° C to extend the primer along the length of the primary PCR extension products to which the secondary oligonucleotide primer
- 25 is hybridized. After the extension phase of the polymerase chain reaction, the resulting double stranded molecule is heated to a temperature of 80-105° C to denature the molecule and to separate the strands. These hybridization, extension, and denaturation steps may be repeated a number of times to amplify the target to an appropriate level.

- 37 -

Once the secondary PCR phase of the process is completed, the ligation detection reaction phase begins, as shown in Figure 4. After denaturation of the target nucleic acid, if present as a double stranded DNA molecule, at a temperature of 80-105°C, preferably 94°C, ligation detection reaction

5 oligonucleotide probes for one strand of the target nucleotide sequence are added along with a ligase (for example, as shown in Figure 4, a thermostable ligase like *Thermus aquaticus* ligase). The oligonucleotide probes are then allowed to hybridize to the target nucleic acid molecule and ligate together, typically, at a temperature of 45-85°C, preferably, 65°C. When there is perfect

10 complementarity at the ligation junction, the oligonucleotides can be ligated together. Where the variable nucleotide is T or A, the presence of T in the target nucleotide sequence will cause the oligonucleotide probe with the F1 reporter label to ligate to the common oligonucleotide probe with the 5' poly A tail  $A_n$ , and the presence of A in the target nucleotide sequence will cause the oligonucleotide

15 probe with the F2 reporter label to ligate to the common oligonucleotide probe with  $A_n$ . Similarly, where the variable nucleotide is A or G, the presence of T in the target nucleotide sequence will cause the oligonucleotide probe with F3AA reporter label (i.e. the F3 reporter label coupled to 2 additional bases forming a 5' poly A spacer) to ligate to the common oligonucleotide probe with the 5' poly A

20 tail  $A_{n+4}$ , and the presence of C in the target nucleotide sequence will cause the oligonucleotide probe with the F3 reporter label to ligate to the common oligonucleotide probe with the 5' poly A tail  $A_{n+4}$ . Following ligation, the material is again subjected to denaturation to separate the hybridized strands. The hybridization/ligation and denaturation steps can be carried out through one or

25 more cycles (e.g., 1 to 50 cycles) to amplify target signals. Equimolar ligation of both F3-labeled oligonucleotides indicates the individual is heterozygous for that locus, whereas ligation of only the F2 labeled oligonucleotides indicates the individual is homozygous for the other locus.

In Figure 4, the poly  $A_n$  and poly  $A_{n+4}$  tails are used where quantification is to be carried out by capillary or gel electrophoresis. The tails of differing length cause the corresponding different ligation product sequences to form bands at different locations in the gel or capillary. The presence of these  
5 bands at different locations permit the corresponding nucleotide differences in the DNA being analyzed to be identified. Although ligation product sequences can be distinguished based on the use of different reporter labels, the combination of different reporter labels and different length tails permits greater numbers of nucleotide differences to be distinguished. This is important for multiplex  
10 detection processes.

As noted above with respect to Figures 1 to 3, detection can be carried out on an addressable array instead of with gel or capillary electrophoresis. The use of such addressable arrays require that the poly A tails on the LDR oligonucleotide probe not containing a reporter label (i.e. tails  $A_n$  and  $A_{n+4}$ ) be  
15 replaced with different addressable array-specific oligonucleotide portions. As explained more fully *infra*, a solid support is provided with an array of capture oligonucleotides, some of which are complementary to the different addressable array-specific oligonucleotide portions. Hybridization of these portions to their complementary capture oligonucleotide probes indicates the presence of a  
20 corresponding nucleotide difference.

Figure 5 is a schematic diagram of a primary PCR/secondary PCR/LDR process, in accordance with the present invention, where any possible base in 2 DNA molecules of interest are distinguished. The primary and secondary PCR processes are carried out in substantially the same way as  
25 described for Figure 4. Appearance of fluorescent reporter labels F1, F2, F3, and F4 in conjunction with the left hand DNA molecule indicates the presence of the A, G, C, and T alleles in the DNA molecule, respectively. As shown in Figure 5, equal amounts of the F1 and F3 reporter labels indicates that the individual in question is heterozygous for the A and C alleles. With respect to analysis of the



right hand DNA molecule in Figure 5, the same reporter label is used to indicate the presence of the different alleles; however, on each oligonucleotide probe with the distinguishing bases, there are different 5' poly A tails. More particularly, a 2 unit poly A tail, a 4 unit poly A tail, a 6 unit poly A tail, and an 8 unit poly A tail correspond to the T, C, G, and A alleles in the DNA molecule, respectively. As shown in Figure 5, equal amounts of the F1 reporter label with the A<sub>6</sub> and A<sub>4</sub> tails indicates that the individual in question is heterozygous for the G and C alleles.

Figure 6 is a schematic diagram of a primary PCR/secondary PCR/LDR process, in accordance with the present invention, for detecting the presence of any possible base at two nearby sites in DNA molecules of interest. The primary and secondary PCR phases are carried out in substantially the same way as described for Figure 4. Here, the LDR probes are able to overlap, yet are still capable of ligating provided there is perfect complementarity at the junction. This distinguishes LDR from other approaches, such as allele-specific PCR where overlapping primers would interfere with one another. In Figure 6, the discriminating oligonucleotide probes contain the reporter label with the discriminating base on the 3' end of these probes. The poly A tails are on the 3' end of common oligonucleotide probes. In the left hand DNA molecule, the presence of equal amounts of ligation product sequences with reporter labels F1 and F3 shows that the individual in question is heterozygous for the A and C alleles in the first position. Similarly, in the second position for the left hand DNA molecule, the presence of ligation product sequences with reporter labels F2, F3, and F4 shows that the individual in question is heterozygous for the G, C, and T alleles. Turning to the right hand DNA molecule, the presence of equal amounts of ligation product sequences with reporter label F1 having the A<sub>6</sub> and A<sub>4</sub> tails indicates that at the first position, the individual in question is heterozygous for the G and C alleles. In the second position for the right hand DNA molecule, the presence of the equal amounts of ligation product sequences with reporter label

F1 having the  $A_8$  and  $A_2$  tails indicates that the individual in question is heterozygous for the A and T alleles.

Figure 7 is a schematic diagram depicting the use of the primary PCR/secondary PCR/LDR process of the present invention to detect a low abundance mutation in the presence of an excess of normal sequence. Here, in the left hand DNA molecule is codon 12 of the K-ras gene, sequence GGT, which codes for glycine ("Gly"). A small percentage of the cells contain the G to A mutation in GAT, which codes for aspartic acid ("Asp"). The LDR probes for wild-type (i.e. normal) sequences are missing from the reaction. If the normal LDR probes (with the discriminating base being G) were included, they would ligate to the common probes and overwhelm any signal coming from the mutant target. Instead, as shown in Figure 7, the existence of a ligation product sequence with fluorescent label F1 and the  $A_{n+2}$  tail indicates the presence of the aspartic acid encoding mutant. In the right hand DNA molecule, Figure 7 shows codon 61 of the K-ras gene sequence CAG which codes for glutamine ("Gln"). A small percentage of the cells contain the C to G mutation in GAG, which codes for glutamic acid ("Glu"). Again, the LDR oligonucleotide probes do not include the C and A bases found in the wild type form to avoid overwhelming the mutant signal. For this DNA molecule, the existence of a ligation product sequence with fluorescent label F2 and the  $A_{n+4}$  tail indicates the presence of the glutamic acid encoding mutant.

## II. LDR/PCR Process

A second aspect of the present invention relates to a method for identifying one or more of a plurality of sequences differing by one or more single-base changes, insertions, deletions, or translocations in a plurality of target nucleotide sequences. This method has a ligase detection reaction phase followed by a polymerase chain reaction phase. This method involves providing a sample

- 41 -

potentially containing one or more target nucleotide sequences with a plurality of sequence differences.

In the ligase detection reaction phase, one or more of oligonucleotide probe sets are provided. Each set has a first oligonucleotide probe, having a target-specific portion and a 5' upstream primer-specific portion, and a second oligonucleotide probe, having a target-specific portion and a 3' downstream primer-specific portion. The oligonucleotide probes in a particular set are suitable for ligation together when hybridized adjacent to one another on a corresponding target nucleotide sequence. However, there is a mismatch which interferes with such ligation when they are hybridized to any other nucleotide sequence present in the sample. The sample, the plurality of oligonucleotide probe sets, and a ligase are blended together to form a ligase detection reaction mixture.

The ligase detection reaction mixture is subjected to one or more ligase detection reaction cycles. These cycles include a denaturation treatment and a hybridization treatment. In the denaturation treatment, any hybridized oligonucleotides are separated from the target nucleotide sequences. The hybridization treatment causes the oligonucleotide probe sets to hybridize at adjacent positions in a base-specific manner to their respective target nucleotide sequences if present in the sample. Once hybridized, the oligonucleotide probe sets ligate to one another to form a ligation product sequence. This product contains the 5' upstream primer-specific portion, the target-specific portions connected together, and the 3' downstream primer-specific portion. The ligation product sequence for each set is distinguishable from other nucleic acids in the ligase detection reaction mixture. The oligonucleotide probe sets hybridized to nucleotide sequences in the sample other than their respective target nucleotide sequences but do not ligate together due to a presence of one or more mismatches and individually separate during the denaturation treatment.

In the polymerase chain reaction, one or a plurality of oligonucleotide primer sets are provided. Each set has an upstream primer

containing the same sequence as the 5' upstream primer-specific portion of the ligation product sequence and a downstream primer complementary to the 3' downstream primer-specific portion of the ligation product sequence, where one primer has a detectable reporter label. The ligase detection reaction mixture is  
5 blended with the one or a plurality of oligonucleotide primer sets and the polymerase to form a polymerase chain reaction mixture.

The polymerase chain reaction mixture is subjected to one or more polymerase chain reaction cycles which include a denaturation treatment, a hybridization treatment, and an extension treatment. During the denaturation  
10 treatment, hybridized nucleic acid sequences are separated. The hybridization treatment causes primers to hybridize to their complementary primer-specific portions of the ligation product sequence. During the extension treatment, hybridized primers are extended to form extension products complementary to the sequences to which the primers are hybridized. In a first cycle of the polymerase  
15 chain reaction phase, the downstream primer hybridizes to the 3' downstream primer-specific portion of the ligation product sequence and is extended to form an extension product complementary to the ligation product sequence. In subsequent cycles, the upstream primer hybridizes to the 5' upstream primer-specific portion of the extension product complementary to the ligation product sequence and the  
20 3' downstream primer hybridizes to the 3' downstream portion of the ligation product sequence.

Following the polymerase chain reaction phase of this process, the reporter labels are detected and the extension products are distinguished to indicate the presence of one or more target nucleotide sequences in the sample.

25 Figure 8 is a flow diagram depicting the LDR/PCR process of the present invention with or without restriction endonuclease digestion and using capillary electrophoresis detection. In step 1, a DNA sample is mixed with *Taq* ligase and oligonucleotide probes containing a target-specific portion and a primer-specific portion. The mixture is subjected to an LDR process to produce ligation

- 43 -

product sequences containing the ligated target-specific portions and the primer-specific portions. Step 2 involves mixing the ligation product sequences with *Taq* polymerase and primers and subjecting the mixture to a PCR process. The next step is determined as a function of whether the ligation product sequences are the same or different sizes. Where the ligation product sequences are different sizes, step 3a is selected which involves subjecting the extension products from PCR to capillary electrophoresis or gel electrophoresis, either of which is followed by fluorescent quantification. Step 3b is utilized where the ligation product sequences are the same size and involves subjecting the extension products from the PCR phase to restriction endonuclease digestion. This generates digestion fragments of unique size which can be subjected to capillary electrophoresis or gel electrophoresis, followed by fluorescent quantification, according to step 4b. When step 3a is selected, the curve generated as a result of electrophoresis shows three ligation product sequences migrating at lengths of 104, 107, and 110, with the peak areas representing amplification of the Her-2 gene, loss of heterozygosity of the p53 gene, and the control SOD gene, respectively. The electrophoresis curve where steps 3b and 4b are used involves three ligation product sequence restriction fragments at lengths of 58, 70, and 76, with the peak areas representing amplification of the Her-2 gene, loss of heterozygosity of the p53 gene, and the control SOD gene, respectively.

As an alternative to Figure 8, Figure 9 shows the LDR/PCR process of the present invention where, in step 3, the extension products are captured on an array of capture oligonucleotide addresses. The capture oligonucleotide probes can be complementary to a nucleotide sequence across the ligation junction. The number of gene copies captured on the array of capture oligonucleotides is then determined by fluorescent quantification as compared with known controls. In Figure 8, such analysis of the array indicates ligation product sequences hybridizing to gene-specific addresses, where the fluorescent intensity represents

amplification of the Her-2 gene, loss of heterozygosity of the p53 gene, and the control SOD gene, respectively.

Figure 10 is a schematic diagram depicting an LDR/PCR process for multiplex detection of gene amplifications and deletions. Here, the ratio of the Her-2/neu gene from Chromosome 17q, the p53 gene from Chromosome 17p, and the SOD gene from Chromosome 21q is detected. Following denaturation of DNA at 94° C, pairs of oligonucleotide probes, having a target-specific portion and a primer-specific portion, are allowed to anneal adjacent to each other on target nucleic acids and ligate to one another (in the absence of mismatches). This ligase detection reaction is carried out with *Tth* ligase at a hybridization/ligation temperature of 65° C which is well below the  $T_m$  values of 75° C for the oligonucleotide probes. Next, the ligation product sequences are simultaneously amplified by PCR using *Taq* polymerase and two common primers complementary to the primer-specific portion, one of which is fluorescently labeled. This maintains the proportionality of the target sequences initially present in the sample. The extension products are then digested with *Hae*III and *Hin*pI which releases fluorescently labeled fragments of unique sizes for each target sequence present in the sample. The digestion products are separated and analyzed on an Applied Biosystems, Inc. (Foster City, Calif.) 373A DNA Sequencer. The peak heights and areas are related to the relative copies of genes present in the initial target sample.

Figure 11 is a schematic diagram, depicting a problem which can be encountered with the allele-specific LDR/PCR process. While a PCR/LDR process is very powerful, there may be circumstances where a multiplexed allele-specific LDR/PCR process of the present invention would be preferred. The concept is to have one or more sets of LDR oligonucleotide probes, each set characterized by (a) a first oligonucleotide probe, having a target-specific portion and a 5' upstream primer-specific portion, and (b) a second oligonucleotide probe, having a target-specific portion and a 3' downstream primer-specific portion. As

- 45 -

shown in step 1 of Figure 11, the LDR oligonucleotide probes anneal adjacent to each other on the target sequence. An LDR reaction using thermostable ligase (black dot) would form a ligation product sequence provided there is perfect complementarity at the ligation junction. In step 2, the ligation product sequences are PCR amplified with primer sets, each set characterized by (a) an upstream primer containing the same sequence as the 5' upstream primer-specific portion of a ligation product sequence and (b) a downstream primer complementary to the 3' downstream primer-specific portion of that ligation product sequence. The primers are shown as black lines in step 2. If one primer is fluorescently labeled, it will generate a fluorescent product which may be detected in a variety of detection schemes. For the LDR/PCR process to be specific, a PCR extension product should not be formed in the absence of a ligation event. Unfortunately, the possibility exists for polymerase to extend the first LDR oligonucleotide probe (off normal target), forming a product containing the length of the target sequence, and a primer-specific portion on the 5' end. Meanwhile, polymerase can make several complementary copies of the downstream LDR probe using the downstream primer. In a second amplification cycle, this downstream LDR probe extension product can anneal to the upstream LDR probe extension product off the target sequence, and generate a sequence containing the target region flanked by the two primer-specific sequences. This product will amplify as the LDR product, and thus yield a false positive signal.

Figure 12 is a schematic drawing showing a solution to the allele specific LDR/PCR problem, utilizing an intermediate exonuclease digestion step. Allele-specific LDR/PCR can be achieved while significantly reducing background ligation independent (incorrect) target amplification. To do so, it is necessary to eliminate one or more of the components required for ligation independent PCR amplification, without removing the information content of the ligation product sequence. One solution is to use exonuclease in step 2 to digest unreacted LDR oligonucleotide probes from step 1. By blocking the end which is not ligated, for

- 46 -

example the 3' end of the downstream oligonucleotide probe, one probe can be made substantially resistant to digestion, while the other is sensitive. Only the presence of full length ligation product sequence will prevent digestion of the upstream primer. Blocking groups include use of a thiophosphate group and/or use of 2-O-methyl ribose sugar groups in the backbone. Exonucleases include Exo I (3'-5'), Exo III (3'-5'), and Exo IV (both 5'-3' and 3'-5'), the later requiring blocking on both sides. One convenient way to block both probes is by using one long "padlock" probe (see M. Nilsson et. al., "Padlock Probes: Circularizing Oligonucleotides for Localized DNA Detection," Science 265: 2085-88 (1994), which is hereby incorporated by reference), although this is by no means required. An advantage of using exonucleases, for example a combination of Exo I (single strand specific) and Exo III (double strand specific), is the ability to destroy both target and one LDR probe, while leaving the ligation product sequences substantially undigested. By using an exonuclease treatment prior to PCR, in accordance with steps 3 and 4, either one or both oligonucleotide probes in each set are substantially reduced, and thus hybridization of the remaining oligonucleotide probes to the original target DNA (which is also substantially reduced by exonuclease treatment) and formation of a ligation product sequence which is a suitable substrate for PCR amplification by the oligonucleotide primer set is substantially reduced. In other words, formation of ligation independent labeled extension products is substantially reduced or eliminated.

Figure 13 is a flow diagram showing an allele-specific LDR/PCR process using exonuclease digestion with either size based- or DNA array based-detection. The flow diagram shows the three reactions required for the multiplexed allele-specific LDR/PCR process. In step 1, sets of LDR oligonucleotide probes (wherein the downstream probes are blocked on their 3' ends) are ligated in the presence of the correct allele target using *Taq* DNA ligase. Unreacted upstream probes are digested with exonuclease in step 2, coincidentally, target is also digested. Finally, in step 3, primer sets are used to amplify the



ligation product sequence by hybridizing to the primer specific portions of ligation product sequences. In step 4a, the LDR oligonucleotide probes in a given particular set generate a unique length product, and thus may be distinguished from either oligonucleotide probes or other ligation products. After the PCR

5 reaction, the products are separated by size or electrophoretic mobility. Labels on the PCR primers are detected, and the products are distinguished by size. In step 4b, the LDR oligonucleotide probes in a particular set use may be distinguished from either oligonucleotide probes or other ligation product sequences by differences in the sequences of the PCR primers. By using a plurality of

10 oligonucleotide primer sets, each set characterized by (a) an upstream primer containing the same sequence as the 5' upstream primer-specific portion of a ligation product sequence, and (b) a downstream primer complementary to the 3' downstream primer-specific portion of that ligation product sequence, wherein one primer has a detectable reporter label and the other primer contains an addressable

15 nucleotide sequence linked to the 5' end of that primer such that the addressable nucleotide sequence remains single stranded after a PCR reaction, all the products can be distinguished. The latter may be achieved by using a non-natural base within a PCR primer which polymerase cannot extend through, thus generating PCR products which have single stranded tails. See C. Newton, et. al., "The

20 Production of PCR Products with 5' Single-stranded Tails Using Primers that Incorporate Novel Phosphoramidite Intermediates," Nucl. Acids Res. 21(3): 1155-62 (1993), which is hereby incorporated by reference. By providing a DNA array with different capture oligonucleotides immobilized at different particular sites, where the capture oligonucleotides have nucleotide sequences complementary to

25 the addressable nucleotide sequences on the primers, the PCR extension products can hybridize to the DNA array. Finally, the labels of extension product sequences captured using the addressable array-specific portions immobilized to the DNA array at particular sites can be detected. This indicates the presence of one or more target nucleotide sequences in the sample.

Figure 14 is a flow diagram showing a quantitative allele-specific LDR/PCR process using exonuclease digestion in step 3 with either size based- or DNA array based-detection. The flow diagram shows how one can quantify the amounts of different targets (especially low abundance cancer mutations) by adding marker sequence(s) (step 1) at the start of the LDR reaction (step 2). In this embodiment, the biochemical reactions (i.e. PCR (step 4)) are followed, as described in Figure 13, and the relative amount of mutant product to marker product are quantified using capillary or gel electrophoresis (step 5a) or capture on an addressable array (step 5b). The amount of mutant target present in the original sample can then be determined.

Figure 15 is a schematic drawing showing an allele-specific LDR/PCR process with exonuclease digestion (step 2) for detection of mutations or polymorphisms. Mutations and polymorphisms may be distinguished as described in Figure 12. In this example, in step 1, the upstream LDR oligonucleotide probes, which have the discriminating allele-specific base at the 3' end of the target-specific portion, have *different* 5' upstream primer-specific portions. Thus, different primers (in the PCR amplification step (i.e. step 3)) may be labeled with different fluorescent groups (Fam and Tet) to allow for distinction of products (step 4). An array based detection scheme may also be used, where the upstream (allele-specific) probes have different 5' upstream primer-specific portions, and the different PCR primers contain different addressable nucleotide sequences which remain single stranded after a PCR reaction.

Figure 16 is a schematic drawing showing an allele-specific LDR (step 1)/PCR (step 3) process using exonuclease digestion (step 2) for detection of mononucleotide or dinucleotide repeat polymorphisms. One of the most powerful uses of LDR/PCR is for detecting nucleotide repeat polymorphisms, a task which cannot be achieved by allele-specific PCR (because the 3' nucleotide is always the same), nor easily achieved by observing PCR product size variation (due to *Taq* polymerase slippage during amplification). In Figure 16, the LDR oligonucleotide

- 49 -

probes distinguish between an A<sub>9</sub> and A<sub>10</sub> mononucleotide repeat sequence by virtue of the specificity of thermostable DNA ligase. LDR products are only formed on the correct length target sequence, and thus the presence of that target is distinguished (step 4).

5                   Figure 17 is schematic drawing showing an allele-specific LDR/PCR process using exonuclease digestion (step 2) for detection of low abundance mononucleotide or dinucleotide repeat mutations. Mononucleotide repeat length mutations may be distinguished as described in Figure 12. In Figure 17, the LDR oligonucleotide probes (step 1) distinguish between an A<sub>8</sub>, A<sub>9</sub> (mutants), and A<sub>10</sub> (normal) mononucleotide repeat sequences by virtue of the specificity of thermostable DNA ligase. The two upstream LDR oligonucleotide probes differ in the length of the mononucleotide sequence at their 3' ends of their target specific portion and have different 5' upstream primer-specific portions. Thus, different primers (in the PCR amplification step (step 3)) may be labeled with different fluorescent groups (Fam and Tet) to allow for distinction of products (step 4). This has the distinct advantage of allowing mononucleotide repeat polymorphisms to be distinguished based on fluorescent label instead of size, the latter being susceptible to false positives due to polymerase slippage. An array based detection scheme may also be used, where the upstream (allele-specific) probes have different 5' upstream primer-specific portions, and the different PCR primers contain different addressable nucleotide sequences which remain single stranded after a PCR reaction.

25                   Figure 18 is a flow diagram, showing an allele-specific LDR/PCR process using uracil N-glycosylase selection with either size based- or DNA array based-detection. The flow diagram shows the four reactions required for multiplexed allele-specific LDR/PCR. Sets of LDR oligonucleotide probes (wherein one or both probes contain deoxy-uracil in place of deoxythymidine) are ligated in the presence of the correct allele target using *Taq* DNA ligase in step 1. A complementary copy of the ligation product sequence is made with sequenase in

- 50 -

- step 2. Sequenase is a modified T7 polymerase, with any easily inactivated polymerase (i.e. mesophilic polymerases such as, *E. coli* polymerase) being useful. Both ligation product sequences and unreacted probes are destroyed with uracil N-glycosylase in step 3. The advantage of using uracil N-glycosylase is its proven ability in carry-over prevention for PCR. Finally PCR primer sets are used to amplify the sequenase extension products in step 4. In step 5a, the LDR oligonucleotide probes in a particular set generate a unique length product, and thus may be distinguished from either probes or other ligation products. After the PCR reaction, the products are separated by size or electrophoretic mobility.
- 10 Labels on the PCR primers are detected, and products are distinguished by size. In step 5b, the LDR oligonucleotide probes in a particular set may be distinguished from either probes or other ligation product sequences by differences in the sequences of the primer-specific portions. By using a plurality of oligonucleotide primer sets, each set characterized by (a) an upstream primer containing the same
- 15 sequence as the 5' upstream primer-specific portion of a ligation product sequence, and (b) a downstream primer complementary to the 3' downstream primer-specific portion of that ligation product sequence. One primer has a detectable reporter label, and the other primer contains an addressable array-specific portion linked to the 5' end of that primer such that the addressable array-specific portion remains
- 20 single stranded after a PCR reaction, one can distinguish all the products. By providing a DNA array with different capture oligonucleotides immobilized at different particular sites, wherein the capture oligonucleotides have nucleotide sequences complementary to the addressable array-specific portions on the primers, the PCR extension products can be hybridized to the DNA array.
- 25 Finally, the labels of extension product sequences captured using the addressable nucleotide sequence portions and immobilized to the DNA array at particular sites can be detected to indicate the presence of one or more target nucleotide sequences in the sample.

Figure 19 is a flow diagram showing a quantitative allele-specific LDR/PCR process using uracil N-glycosylase selection with either size based- or DNA array based-detection. The flow diagram shows how one can quantify the amounts of different targets (especially low abundance cancer mutations) by adding marker sequence(s) in step 1 at the start of the LDR phase in step 2. As described in Figure 18, the biochemical reactions (i.e. sequenase treatment (step 3), uracil N-glycosylase selection (step 4), and PCR (step 5)) are preceded with, and the relative amount of mutant product to marker product is quantified using capillary or gel electrophoresis (step 6a) or capture on an addressable array (step 6b).

5 From this information, the amount of mutant target present in the original sample can be determined.

10

Figure 20 is a schematic drawing showing an allele-specific LDR/PCR process using uracil N-glycosylase selection (step 3) (after sequenase treatment (step 2)) for detection of mononucleotide or dinucleotide repeat polymorphisms. One of the most powerful uses of the LDR/PCR process is for detecting nucleotide repeat polymorphisms, a task which cannot be achieved by allele-specific PCR (since the 3' nucleotide is always the same), nor easily achieved by observing PCR product size variation (due to *Taq* polymerase slippage during amplification), as in step 4. In Figure 20, the LDR (step 1) oligonucleotide probes distinguish between an  $A_9$  and  $A_{10}$  mononucleotide repeat sequence by virtue of the specificity of thermostable DNA ligase. Ligation product sequences are only formed on the correct length target sequence, and, thus, the presence of that target is distinguished in step 5.

15

20

Figure 21 is a schematic drawing showing an allele-specific LDR/PCR process using uracil N-glycosylase selection for detection of low abundance mononucleotide or dinucleotide repeat mutations. Mononucleotide repeat length mutations may be distinguished as described in Figure 18. In Figure 21, the LDR oligonucleotide probes distinguish between an  $A_8$ ,  $A_9$  (mutants), and  $A_{10}$  (normal) mononucleotide repeat sequences by virtue of the

25

- 52 -

specificity of thermostable DNA ligase (step 1). Sequenase treatment (step 2) and uracil N-glycosylase selection (step 3) are then carried out. The two upstream LDR oligonucleotide probes differ in the length of the mononucleotide sequence at the 3' ends of their target-specific portion, and have different 5' upstream primer-specific portions. Thus, different primers (in the PCR amplification step (steps 4-5)) may be labeled with different fluorescent groups (Fam and Tet) to allow for distinction of products. This has the distinct advantage of allowing one to distinguish mononucleotide repeat polymorphisms based on fluorescent label instead of size, the latter being susceptible to false positives due to polymerase slippage. An array based detection scheme may also be used, where the upstream (allele-specific) probes have different 5' upstream primer-specific portions, and the different PCR primers contain different addressable array-specific portions which remain single stranded after a PCR reaction.

The LDR/exonuclease/PCR process described with reference to Figures 11 to 17 and the LDR/sequenase/uracil N-glycosylase/PCR process set forth in Figures 18-21 provide the ability to multiplex detect and then PCR amplify many different target sequences and to distinguish multiple single-base or sequence variations, all in a single reaction tube. This is achieved by combining the sensitivity of PCR with the selectivity of LDR. Since the selection of mutant sequences is mediated by LDR rather than PCR, the primary PCR/secondary PCR/LDR process is less susceptible to false-positive signal generation. In addition, the primary PCR/secondary PCR/LDR process allows detection of closely-clustered mutations, detection of single base or small insertions and deletions in small repeat sequences, quantitative detection of less than 1% mutations in high background of normal DNA, and detection of ligation product sequences using addressable arrays. Detection of single base or small insertions and deletions in small and medium repeat sequences may cause "stutter" when the primary amplification is PCR. No other currently-available technique can adequately solve this problem, especially when the target sequence containing the

- 53 -

mononucleotide repeat polymorphism is present in a lower abundance than normal DNA. In fact, analysis of genomic mutations which involve repeat sequence changes is severely hampered by the PCR "stutter" problem. By using the LDR/PCR process of the present invention, it is possible to detect down to 1% mutations in a high background of normal DNA. The only relatively minor challenges presented by this process are that the mutations must be known and that 3 different enzymes/reaction conditions must be utilized.

### III. Primary PCR/Secondary PCR Process

A third aspect of the present invention also involves a method for identifying two or more of a plurality of sequences differing by one or more single-base changes, insertions, deletions, or translocations in one or more target nucleotide sequences. This method involves subjecting a sample potentially containing one or more target nucleotide sequences with a plurality of sequence differences to two successive polymerase chain reaction phases.

For the first polymerase chain reaction phase, one or more primary oligonucleotide primer groups are provided where each group comprises one or more primary oligonucleotide primer sets. Each set has a first oligonucleotide primer, having a target-specific portion and a 5' upstream secondary primer-specific portion, and a second oligonucleotide primer, having a target-specific portion and a 5' upstream secondary primer-specific portion. The first oligonucleotide primers of each set in the same group contain the same 5' upstream secondary primer-specific portion and the second oligonucleotide primers of each set in the same group contain the same 5' upstream secondary primer-specific portion. The oligonucleotide primers in a particular set are suitable for hybridization on complementary strands of a corresponding target nucleotide sequence to permit formation of a polymerase chain reaction product. However, there is a mismatch which interferes with formation of such a polymerase chain

reaction product when the primary oligonucleotide primers hybridize to any other nucleotide sequence present in the sample. The polymerase chain reaction products in a particular set may be distinguished from other polymerase chain reaction products with the same group or other groups. The primary  
5 oligonucleotide primers are blended with the sample and the polymerase to form a primary polymerase chain reaction mixture.

The primary polymerase chain reaction mixture is subjected to two or more polymerase chain reaction cycles involving a denaturation treatment, a hybridization treatment, and an extension treatment, as described above. During  
10 the hybridization treatment, the target-specific portion of a primary oligonucleotide primer is hybridized to the target nucleotide sequences. In the extension treatment, the hybridized primary oligonucleotide primers are extended to form primary extension products complementary to the target nucleotide sequence to which the primary oligonucleotide primer is hybridized.

15 Although the upstream secondary primer-specific portions of a primary oligonucleotide primer set are not present on the target DNA, their sequences are copied by the second and subsequent cycles of the primary polymerase chain reaction phase. As a result, the primary extension products produced after the second cycle have the secondary primer-specific portions on  
20 their 5' ends and the complement of primer-specific portion on their 3' ends.

In the second polymerase chain reaction phase of this aspect of the present invention, one or a plurality of secondary oligonucleotide primer sets are provided. Each set has a first secondary primer having a detectable reporter label and containing the same sequence as the 5' upstream portion of a first primary  
25 oligonucleotide primer, and a second secondary primer containing the same sequence as the 5' upstream primer of the second primary oligonucleotide primer from the same primary oligonucleotide primer set as the first primary oligonucleotide complementary to the first secondary primer. A set of secondary oligonucleotide primers amplify the primary extension products in a given group.



- 55 -

The secondary oligonucleotide primers are blended with the primary extension products and the polymerase to form a secondary polymerase chain reaction mixture.

The secondary polymerase chain reaction mixture is subjected to one  
5 or more polymerase chain reaction cycles involving a denaturation treatment, a hybridization treatment, and an extension treatment, as described above. In the hybridization treatment, the secondary oligonucleotide primers are hybridized to the primary extension products, while the extension treatment causes the hybridized secondary oligonucleotide primers to be extended to form secondary  
10 extension products complementary to the primary extension products. After subjecting the secondary polymerase chain reaction mixture to the two or more polymerase chain reaction cycles, the labelled secondary extension products are detected. This indicates the presence of one or more target nucleotide sequences in the sample.

15 Figure 22 is a flow diagram depicting a primary PCR/secondary PCR process, in accordance with the present invention, for detection of microsatellite repeats. In step 1 (i.e. the primary PCR phase), after DNA sample preparation, multiple exons are amplified using *Taq* polymerase under hot start conditions with oligonucleotide primers having a target-specific portion and a  
20 secondary primer-specific portion. Step 2 involves a secondary PCR phase where *Taq* polymerase is used to amplify the primary PCR extension products with oligonucleotide primers containing the same sequence as the secondary primer-specific portion of the primary PCR primers. The extension products resulting from the secondary PCR phase are subjected in step 3 to capillary electrophoresis  
25 or gel electrophoresis, followed by fluorescent quantification. The electrophoresis results in Figure 22 indicate the presence of both alleles (i.e., chromosomes) containing RB1 and NM23 and loss of heterozygosity (i.e., loss of allele on one chromosome) for p53.

- 56 -

Figure 23 is a schematic diagram depicting a primary PCR/secondary PCR process, according to the present invention, for detection of the loss of heterozygosity due to insertions and deletions in microsatellite repeats. The primary PCR phase in step 1 is initiated by denaturing the sample DNA at 94° C. Long PCR oligonucleotide primers, having 3' ends complementary to unique DNA surrounding microsatellite repeat sequences and 5' ends containing the same sequence as one of two primers utilized in the secondary PCR phase, are then caused to anneal to target DNA at 65° C. The primary PCR phase is carried out for 10-15 cycles. The long primers utilized in the primary PCR phase can be multiplexed as long as they do not amplify alleles with overlapping length ranges. These reactions must be carried out on tumor and corresponding normal DNA to identify informative (i.e heterozygous) loci. In step 2 (i.e secondary PCR amplification), primers complementary to the 5' ends of the primary PCR primers (one fluorescently labeled) are then used to amplify the primary PCR extension products at nearly equal efficiency. The secondary PCR extension products are then separated and analyzed by gel electrophoresis and an Applied Biosystems Inc. 373A DNA Sequencer using the Genescan 672 software package. Areas of loss of heterozygosity at informative loci are identified. The analysis in Figure 23 shows the presence of both alleles (i.e., chromosomes) containing RB1 and NM23 and loss of heterozygosity (i.e., loss of allele on one chromosome) for p53.

#### IV. General Process Information

The ligase detection reaction is described generally in WO 90/17239 to Barany et al., F. Barany et al., "Cloning, Overexpression and Nucleotide Sequence of a Thermostable DNA Ligase-encoding Gene," Gene, 109:1-11 (1991), and F. Barany, "Genetic Disease Detection and DNA Amplification Using Cloned Thermostable Ligase," Proc. Natl. Acad. Sci. USA, 88:189-193 (1991), the disclosures of which are hereby incorporated by reference. In accordance with the

- 57 -

present invention, the ligase detection reaction can use 2 sets of complementary oligonucleotides. This is known as the ligase chain reaction which is described in the 3 immediately preceding references, which are hereby incorporated by reference. Alternatively, the ligase detection reaction can involve a single cycle  
5 which is known as the oligonucleotide ligation assay. See Landegren, et al., "A Ligase-Mediated Gene Detection Technique," Science 241:1077-80 (1988); Landegren, et al., "DNA Diagnostics -- Molecular Techniques and Automation," Science 242:229-37 (1988); and U.S. Patent No. 4,988,617 to Landegren, et al., which are hereby incorporated by reference

10 During ligase detection reaction phases, the denaturation treatment is carried out at a temperature of 80-105° C, while hybridization takes place at 50-85° C. Each cycle comprises a denaturation treatment and a thermal hybridization treatment which in total is from about one to five minutes long. Typically, the ligation detection reaction involves repeatedly denaturing and hybridizing for 2 to  
15 50 cycles. The total time for the ligase detection reaction phase is 1 to 250 minutes.

The oligonucleotide probe sets or primers can be in the form of ribonucleotides, deoxynucleotides, modified ribonucleotides, modified deoxyribonucleotides, modified phosphate-sugar-backbone oligonucleotides,  
20 nucleotide analogs, and mixtures thereof.

In one variation, the oligonucleotides of the oligonucleotide probe sets each have a hybridization or melting temperature (i.e.  $T_m$ ) of 66-70° C. These oligonucleotides are 20-28 nucleotides long.

The oligonucleotide probe sets or primers, as noted above, have a  
25 reporter label suitable for detection. Useful labels include chromophores, fluorescent moieties, enzymes, antigens, heavy metals, magnetic probes, dyes, phosphorescent groups, radioactive materials, chemiluminescent moieties, and electrochemical detecting moieties.

The polymerase chain reaction process is fully described in H. Erlich, et. al., "Recent Advances in the Polymerase Chain Reaction," Science 252: 1643-50 (1991); M. Innis, et. al., PCR Protocols: A Guide to Methods and Applications, Academic Press: New York (1990); and R. Saiki, et. al., "Primer-directed Enzymatic Amplification of DNA with a Thermostable DNA Polymerase," Science 239: 487-91 (1988), which are hereby incorporated by reference.

A particularly important aspect of the present invention is its capability to quantify the amount of target nucleotide sequence in a sample. This can be achieved in a number of ways by establishing standards which can be internal (i.e. where the standard establishing material is amplified and detected with the sample) or external (i.e. where the standard establishing material is not amplified, and is detected with the sample).

In accordance with one quantification method, the signal generated by the reporter label, resulting from capture of ligation product sequences produced from the sample being analyzed, are detected. The strength of this signal is compared to a calibration curve produced from signals generated by capture of ligation product sequences in samples with known amounts of target nucleotide sequence. As a result, the amount of target nucleotide sequence in the sample being analyzed can be determined. This technique involves use of an external standard.

Another quantification method, in accordance with the present invention, relates to an internal standard. Here, a known amount of one or more marker target nucleotide sequences is added to the sample. In addition, a plurality of marker-specific oligonucleotide probe sets are added along with the ligase, the previously-discussed oligonucleotide probe sets, and the sample to a mixture. The marker-specific oligonucleotide probe sets have (1) a first oligonucleotide probe with a target-specific portion complementary to the marker target nucleotide

sequence, and (2) a second oligonucleotide probe with a target-specific portion complementary to the marker target nucleotide sequence and a detectable reporter label. The oligonucleotide probes in a particular marker-specific oligonucleotide set are suitable for ligation together when hybridized adjacent to one another on a corresponding marker target nucleotide sequence. However, there is a mismatch which interferes with such ligation when hybridized to any other nucleotide sequence present in the sample or added marker sequences. The presence of ligation product sequences is identified by detection of reporter labels. The amount of target nucleotide sequences in the sample is then determined by comparing the amount of ligation product sequence generated from known amounts of marker target nucleotide sequences with the amount of other ligation product sequences.

Another quantification method, in accordance with the present invention involves, analysis of a sample containing two or more of a plurality of target nucleotide sequences with a plurality of sequence differences. Here, ligation product sequences corresponding to the target nucleotide sequences are detected and distinguished by any of the previously-discussed techniques. The relative amounts of the target nucleotide sequences in the sample are then quantified by comparing the relative amounts of captured ligation product sequences generated. This provides a quantitative measure of the relative level of the target nucleotide sequences in the sample.

The preferred thermostable ligase is that derived from *Thermus aquaticus*. This enzyme can be isolated from that organism. M. Takahashi, et al., "Thermophilic DNA Ligase," J. Biol. Chem. 259:10041-47 (1984), which is hereby incorporated by reference. Alternatively, it can be prepared recombinantly. Procedures for such isolation as well as the recombinant production of *Thermus aquaticus* ligase (as well as *Thermus thermophilus* ligase) are disclosed in WO 90/17239 to Barany, et. al., and F. Barany, et al., "Cloning, Overexpression and Nucleotide Sequence of a Thermostable DNA-Ligase

Encoding Gene," Gene 109:1-11 (1991), which are hereby incorporated by reference. These references contain complete sequence information for this ligase as well as the encoding DNA. Other suitable ligases include *E. coli* ligase, T4 ligase, and *Pyococcus* ligase.

5           The ligation detection reaction mixture may include a carrier DNA, such as salmon sperm DNA.

          The hybridization step in the ligase detection reaction, which is preferably a thermal hybridization treatment discriminates between nucleotide sequences based on a distinguishing nucleotide at the ligation junctions. The  
10    difference between the target nucleotide sequences can be, for example, a single nucleic acid base difference, a nucleic acid deletion, a nucleic acid insertion, or rearrangement. Such sequence differences involving more than one base can also be detected. Preferably, the oligonucleotide probe sets have substantially the same length so that they hybridize to target nucleotide sequences at substantially  
15    similar hybridization conditions. As a result, the process of the present invention is able to detect infectious diseases, genetic diseases, and cancer. It is also useful in environmental monitoring, forensics, and food science.

          A wide variety of infectious diseases can be detected by the process of the present invention. Typically, these are caused by bacterial, viral, parasite,  
20    and fungal infectious agents. The resistance of various infectious agents to drugs can also be determined using the present invention.

          Bacterial infectious agents which can be detected by the present invention include *Escherichia coli*, *Salmonella*, *Shigella*, *Klebsiella*, *Pseudomonas*, *Listeria monocytogenes*, *Mycobacterium tuberculosis*, *Mycobacterium avium*-  
25    *intracellulare*, *Yersinia*, *Francisella*, *Pasteurella*, *Brucella*, *Clostridia*, *Bordetella pertussis*, *Bacteroides*, *Staphylococcus aureus*, *Streptococcus pneumonia*, B-Hemolytic strep., *Corynebacteria*, *Legionella*, *Mycoplasma*, *Ureaplasma*, *Chlamydia*, *Neisseria gonorrhea*, *Neisseria meningitides*, *Hemophilus influenza*, *Enterococcus faecalis*, *Proteus vulgaris*, *Proteus mirabilis*, *Helicobacter pylori*,

*Treponema palladium*, *Borrelia burgdorferi*, *Borrelia recurrentis*, *Rickettsial* pathogens, *Nocardia*, and *Acitnomycetes*.

Fungal infectious agents which can be detected by the present invention include *Cryptococcus neoformans*, *Blastomyces dermatitidis*, *Histoplasma*  
5 *capsulatum*, *Coccidioides immitis*, *Paracoccidioides brasiliensis*, *Candida albicans*, *Aspergillus fumigautus*, *Phycomycetes* (*Rhizopus*), *Sporothrix schenckii*, *Chromomycosis*, and *Maduromycosis*.

Viral infectious agents which can be detected by the present invention include human immunodeficiency virus, human T-cell lymphocytotropic  
10 virus, hepatitis viruses (e.g., Hepatitis B Virus and Hepatitis C Virus), Epstein-Barr Virus, cytomegalovirus, human papillomaviruses, orthomyxo viruses, paramyxo viruses, adenoviruses, corona viruses, rhabdo viruses, polio viruses, toga viruses, bunya viruses, arena viruses, rubella viruses, and reo viruses.

Parasitic agents which can be detected by the present invention  
15 include *Plasmodium falciparum*, *Plasmodium malaria*, *Plasmodium vivax*, *Plasmodium ovale*, *Onchoverva volvulus*, *Leishmania*, *Trypanosoma* spp., *Schistosoma* spp., *Entamoeba histolytica*, *Cryptosporidium*, *Giardia* spp., *Trichimonas* spp., *Balatidium coli*, *Wuchereria bancrofti*, *Toxoplasma* spp., *Enterobius vermicularis*, *Ascaris lumbricoides*, *Trichuris trichiura*, *Dracunculus*  
20 *medinesis*, trematodes, *Diphyllbothrium latum*, *Taenia* spp., *Pneumocystis carinii*, and *Necator americanis*.

The present invention is also useful for detection of drug resistance by infectious agents. For example, vancomycin-resistant *Enterococcus faecium*, methicillin-resistant *Staphylococcus aureus*, penicillin-resistant *Streptococcus*  
25 *pneumoniae*, multi-drug resistant *Mycobacterium tuberculosis*, and AZT-resistant human immunodeficiency virus can all be identified with the present invention.

Genetic diseases can also be detected by the process of the present invention. This can be carried out by prenatal or post-natal screening for chromosomal and genetic aberrations or for genetic diseases. Examples of

detectable genetic diseases include: 21 hydroxylase deficiency, cystic fibrosis, Fragile X Syndrome, Turner Syndrome, Duchenne Muscular Dystrophy, Down Syndrome or other trisomies, heart disease, single gene diseases, HLA typing, phenylketonuria, sickle cell anemia, Tay-Sachs Disease, thalassemia, Klinefelter Syndrome, Huntington Disease, autoimmune diseases, lipidosis, obesity defects, hemophilia, inborn errors of metabolism, and diabetes.

Cancers which can be detected by the process of the present invention generally involve oncogenes, tumor suppressor genes, or genes involved in DNA amplification, replication, recombination, or repair. Examples of these include: BRCA1 gene, p53 gene, APC gene, Her2/Neu amplification, Bcr/Ab1, K-ras gene, and human papillomavirus Types 16 and 18. Various aspects of the present invention can be used to identify amplifications, large deletions as well as point mutations and small deletions/insertions of the above genes in the following common human cancers: leukemia, colon cancer, breast cancer, lung cancer, prostate cancer, brain tumors, central nervous system tumors, bladder tumors, melanomas, liver cancer, osteosarcoma and other bone cancers, testicular and ovarian carcinomas, head and neck tumors, and cervical neoplasms.

In the area of environmental monitoring, the present invention can be used for detection, identification, and monitoring of pathogenic and indigenous microorganisms in natural and engineered ecosystems and microcosms such as in municipal waste water purification systems and water reservoirs or in polluted areas undergoing bioremediation. It is also possible to detect plasmids containing genes that can metabolize xenobiotics, to monitor specific target microorganisms in population dynamic studies, or either to detect, identify, or monitor genetically modified microorganisms in the environment and in industrial plants.

The present invention can also be used in a variety of forensic areas, including for human identification for military personnel and criminal investigation, paternity testing and family relation analysis, HLA compatibility typing, and screening blood, sperm, or transplantation organs for contamination.



- 63 -

In the food and feed industry, the present invention has a wide variety of applications. For example, it can be used for identification and characterization of production organisms such as yeast for production of beer, wine, cheese, yogurt, bread, etc. Another area of use is with regard to quality control and certification of products and processes (e.g., livestock, pasteurization, and meat processing) for contaminants. Other uses include the characterization of plants, bulbs, and seeds for breeding purposes, identification of the presence of plant-specific pathogens, and detection and identification of veterinary infections.

Desirably, the oligonucleotide probes are suitable for ligation together at a ligation junction when hybridized adjacent to one another on a corresponding target nucleotide sequence due to perfect complementarity at the ligation junction. However, when the oligonucleotide probes in the set are hybridized to any other nucleotide sequence present in the sample, there is a mismatch at a base at the ligation junction which interferes with ligation. Most preferably, the mismatch is at the base adjacent the 3' base at the ligation junction. Alternatively, the mismatch can be at the bases adjacent to bases at the ligation junction.

As noted *supra*, detection and quantification can be carried out using capillary or gel electrophoresis or on a solid support with an array capture oligonucleotides.

The use of capillary and gel electrophoresis for such purposes is well known. See e.g., Grossman, et. al., "High-density Multiplex Detection of Nucleic Acid Sequences: Oligonucleotide Ligation Assay and Sequence-coded Separation," Nucl. Acids Res. 22(21): 4527-34 (1994), which is hereby incorporated by reference.

The use of a solid support with an array of capture oligonucleotides is fully disclosed in pending provisional U.S. Patent Application Serial No. 60/011,359, which is hereby incorporated by reference. When using such arrays, the oligonucleotide primers or probes used in the above-described coupled PCR

and LDR phases, respectively, have an addressable array-specific portion. After the LDR or PCR phases are completed, the addressable array-specific portions for the products of such processes remain single stranded and are caused to hybridize to the capture oligonucleotides during a capture phase. C. Newton, et al., "The  
5 Production of PCR Products With 5' Single-Stranded Tails Using Primers That Incorporate Novel Phosphoramidite Intermediates," Nucl. Acids Res. 21(5):1155-62 (1993), which is hereby incorporated by reference.

During the capture phase of the process, the mixture is contacted with the solid support at a temperature of 45-90° C and for a time period of up to  
10 60 minutes. Hybridizations may be accelerated by adding cations, volume exclusion or chaotropic agents. When an array consists of dozens to hundreds of addresses, it is important that the correct ligation product sequences have an opportunity to hybridize to the appropriate address. This may be achieved by the thermal motion of oligonucleotides at the high temperatures used, by mechanical  
15 movement of the fluid in contact with the array surface, or by moving the oligonucleotides across the array by electric fields. After hybridization, the array is washed sequentially with a low stringency wash buffer and then a high stringency wash buffer.

It is important to select capture oligonucleotides and addressable  
20 nucleotide sequences which will hybridize in a stable fashion. This requires that the oligonucleotide sets and the capture oligonucleotides be configured so that the oligonucleotide sets hybridize to the target nucleotide sequences at a temperature less than that which the capture oligonucleotides hybridize to the addressable array-specific portions. Unless the oligonucleotides are designed in this fashion,  
25 false positive signals may result due to capture of adjacent unreacted oligonucleotides from the same oligonucleotide set which are hybridized to the target.

The capture oligonucleotides can be in the form of ribonucleotides, deoxyribonucleotides, modified ribonucleotides, modified deoxyribonucleotides,

- 65 -

peptide nucleotide analogues, modified peptide nucleotide analogues, modified phosphate-sugar backbone oligonucleotides, nucleotide analogues, and mixtures thereof.

Where an array is utilized, the detection phase of the process

5 involves scanning and identifying if LDR or PCR products have been produced and correlating the presence of such products to a presence or absence of the target nucleotide sequence in the test sample. Scanning can be carried out by scanning electron microscopy, confocal microscopy, charge-coupled device, scanning tunneling electron microscopy, infrared microscopy, atomic force

10 microscopy, electrical conductance, and fluorescent or phosphor imaging. Correlating is carried out with a computer.

## EXAMPLES

### *LDR/PCR Process*

#### 5 Example 1 - Genomic DNA Preparation

Genomic DNA was prepared from the blood of two normal human volunteers, one male and one female, according to standard techniques. Briefly, approximately 12 ml of blood was obtained in EDTA-containing blood collection  
10 tubes. Red blood cells were lysed by mixing the blood samples with 4 volumes of lysis buffer (10 mM Tris pH 8.0, 10 mM EDTA). After 10 min on ice with occasional agitation, the suspensions were centrifuged and the supernatants were decanted. The white blood cell pellets were resuspended in 20 ml of lysis buffer, and the above process was repeated. Each cell pellet was then suspended in 15 ml  
15 of digestion buffer (50 mM Tris pH 8.0, 5 mM EDTA, 100 mM NaCl, 1% SDS) and 3 mg (0.2 mg/ml) of proteinase K was added. The cells were digested at 37° C for 5 hours. The digests were extracted twice with equal volumes of phenol, then once with equal volumes of a 1:1 phenol:chloroform mixture and finally once with equal volumes of chloroform, each time centrifuging the mixture  
20 and removing the aqueous phase for the next extraction. After the final extraction and removing the aqueous phases, one tenth volume of 3 M sodium acetate, pH 6.5, was added. Two volumes of ice cold 100% EtOH were then added to each solution to precipitate the genomic DNAs, which were spooled out of solution on glass pipettes. The DNA precipitates were washed twice in 0.75 ml volumes of  
25 70% EtOH, briefly centrifuging each time to allow removal of the supernatants. After removing the supernatants for the second time, the remaining EtOH was allowed to evaporate and the DNA was suspended in 0.5 ml of TE (10mM Tris-HCl pH 8.0 containing 1mM EDTA) solution. A fifth dilution of each DNA solution was also prepared in TE.

- 67 -

To determine the concentrations of the one fifth DNA solutions, 1, 2, and 4  $\mu$ l aliquots of each were loaded on a 1% agarose gel with a known amount of *Hind*III digested lambda DNA as a control. The gel was run at 150 Volts for 2 hours with ethidium bromide in the electrophoresis buffer. After  
5 photographing the gel and comparing the intensities of the DNA bands, the one fifth dilutions were judged to have concentrations of approximately 100 ng/ml. DNA solutions extracted from various tumor cell lines were the generous gifts of other laboratories. The concentrations of these solutions were checked in a similar fashion and solutions of 100 ng/ml in TE were prepared.

10 To digest the genomic DNAs with *Taq* I, 25  $\mu$ l of the 100 ng/ $\mu$ l solutions was mixed with 5  $\mu$ l of 10X medium salt buffer (0.5 M NaCl, 0.1 M  $MgCl_2$ , 0.1 M Tris, pH 8.0), 20  $\mu$ l of water-ME (i.e. water containing 6mM ME (i.e., mercaptoethanol)), and 400 U of *Taq* I restriction endonuclease. The digests were covered with mineral oil and incubated at 65° C for 1 hour. The reactions  
15 were stopped by adding 1.2  $\mu$ l of 500 mM EDTA and heating the specimens to 85° C for 10 min. Complete digestion of the DNAs was checked by electrophoresing aliquots on a 1% agarose gel.

#### Example 2 - Oligonucleotide Preparation for LDR Probes and PCR Primers

20 All oligonucleotides were synthesized on a 394A DNA Synthesizer (Applied Biosystems Division of Perkin-Elmer Corp., Foster City, CA.). Oligonucleotides labeled with 6-FAM were synthesized using the manufacturer's suggested modifications to the synthesis cycle (Applied Biosystems Inc., 1994) and  
25 were subsequently deprotected at 55° C for 4 hr. LDR oligonucleotides were purified by ethanol precipitation after overnight deprotection at 55° C. The primer-specific portions of the oligonucleotides used for PCR amplification were purified by polyacrylamide gel electrophoresis on 10% acrylamide/7M urea gels. Oligonucleotides were visualized after electrophoresis by UV shadowing against a

- 68 -

lightening screen and excised from the gel (Applied Biosystems Inc., 1992). They were then eluted overnight at 64° C in TNE (i.e. Tris-sodium EDTA) buffer (100 mM Tris/HCl pH 8.0 containing 500 mM NaCl and 5 mM EDTA) and recovered from the eluate using Sep Pak cartridges (Millipore Corp, Milford, MA.)

5 following the manufacture's instructions.

Oligonucleotides were resuspended in 100 µl TE (i.e. 10 mM Tris-HCl pH 8.0 containing 1mM EDTA). Typical concentrations of these original LDR probe solutions are about 1 µg/µl or approximately 74 pm/µl as determined by the following formula:

10

$$[\text{concentration } (\mu\text{g}/\mu\text{l}) \times 10^6] / [\text{length (nt)} \times 325] = \text{pm}/\mu\text{l}$$

The concentrations of the LDR probes are given in Table 1. The concentrations of oligonucleotides complementary to the oligonucleotide probes of  
15 the ligase detection reaction were higher. ZipALg1F was 3.75 µg/µl and ZipBLg2R was 2.01 µg/µl or 524 pm/µl and 281 pm/µl, respectively, as determined by the formula above.

Table 1

	Primer	Length	$\mu\text{g}/\mu\text{l}$	$\text{pm}/\mu\text{l}$	Vol = 100pm	l = 200pm
5	G6PDEx6-3L	48 nt	0.86	55.1	1.81 $\mu\text{l}$	3.63 $\mu\text{l}$
	G6PDEx6-4R	48	0.65	41.7	2.4	4.8
	ErbBEx1-5L	48	0.95	60.9	1.64	3.28
	ErbBEx1-5R	48	1.4025	89.9	1.11	2.22
	Int2Ex3-7L	50	1.6005	98.5	1.02	2.03
10	Int2Ex3-8R	46	1.306	87.4	1.14	2.29
	p53Ex8-9L	2	1.036	61.3	1.63	3.26
	p53Ex8-10R	44	1.164	81.4	1.23	2.46
	SODEx3-11L	49	1.287	80.8	1.24	2.48
	SODEx3-12R	47	1.2045	78.9	1.27	2.53

15

As a prerequisite for the LDR phase, the downstream LDR oligonucleotides probes were phosphorylated with T4 polynucleotide kinase.

Aliquots of the 5 downstream oligonucleotides equivalent to 200 pm (see Table 1) were combined with 10  $\mu\text{l}$  of 10X kinase buffer (500 mM Tris/HCl pH 8.0, 100 mM  $\text{MgCl}_2$ ), 10  $\mu\text{l}$  of 10 mM ATP, 20 U T4 kinase, and sufficient water-ME to give a final volume of 100  $\mu\text{l}$ . Phosphorylation was carried out at 37° C for 30 min followed by incubation for 10 min at 85° C to inactivate the T4 enzyme. The resulting concentration of the kinased LDR probe solution was 2 pm/ $\mu\text{l}$  or 2000 fm/ $\mu\text{l}$  in each probe.

25

- 70 -

The kinase reaction is summarized as follows:

	4.8	$\mu$ l	G6PDEx6-4R
	2.2	$\mu$ l	ErbBEx1-5R
5	2.3	$\mu$ l	Int2Ex3-8R
	2.5	$\mu$ l	p53Ex8-10R
	2.5	$\mu$ l	SODEx3-12R
	10	$\mu$ l	10 x Kinase Buffer
	10	$\mu$ l	10 mM ATP
10	<u>65.7</u>	<u><math>\mu</math>l</u>	<u>HOH + ME</u>
	100	$\mu$ l	Total

+ 2  $\mu$ l = 20 units T4 Kinase

15 37° C for 30 min.  
Heat kill kinase, 85° C, 10 min.  
Final concentration = 2 pm/ $\mu$ l = 2000 fm/ $\mu$ l

20 The solutions of the LDR and PCR oligonucleotides were adjusted to convenient concentrations. The kinased LDR probe solution was diluted fourfold in water to yield a concentration of 500fm/ $\mu$ l. A solution of the upstream LDR probes was made by combining volumes of the probes equivalent to 200 pm (see Table 1) with sufficient water to give a final volume of 400  $\mu$ l. This created a

25 solution 500 fm/ $\mu$ l in each of the upstream LDR probes. Aliquots (20  $\mu$ l) of the kinased and unkinased LDR probes were frozen for subsequent use. Standard solutions of the PCR primers (10 pm/ $\mu$ l) were prepared from their original solutions by combining 9.5  $\mu$ l of ZipALg1F and 17.8  $\mu$ l of ZipBLg2R with sufficient water to achieve a total volume of 500  $\mu$ l. These solutions were frozen

30 for use in the LDR/PCR process.



- 71 -

Unkinased probes were prepared according to the following:

	200	pm	ea Primer
5	3.62	$\mu$ l	G6PDEx6-3L
	3.28	$\mu$ l	ErbBEx1-5L
	2.04	$\mu$ l	Int2Ex3-7L
	3.26	$\mu$ l	p53Ex8-9L
	2.48	$\mu$ l	SODEx3-11L
10	<u>385.32</u>	$\mu$ l	<u>HOH</u>
	400	$\mu$ l	Total Vol

Final concentration =  $0.5 \text{ pm}/\mu\text{l} = 500 \text{ fm}/\mu\text{l}$

Table 2 - Sequences

	Gene	Location	Probe (length in nt)				Ligation Position
			Upstream		Downstream		
20	erb	17q12-q21	erbBEx1-5L	(48)	erbBEx1-6R	(48)	exon "1" P40
	G6PD	Xq28	G6PDEx6-3L	(48)	G6PDEx6-4R	(48)	exon 6 W1145
	Int2	11q13	Int2Ex3-7L	(50)	Int2Ex3-8R	(46)	exon 3 W135
	p53	17p13.1	p53Ex8-9L	(52)	p53Ex8-10R	(44)	exon 8 P51
	SOD	21q22.1	SODEx3-11L	(49)	SODEx3-12R	(47)	exon 3 P355

25

Figure 24 shows the design of LDR oligonucleotide probes for quantification of gene amplifications and deletions in the LDR/PCR process.

These oligonucleotide probes were designed to recognize exon 8 in the p53 tumor suppressor gene (on chromosome 17p), exon 3 of int-2 (on chromosome 11q), an internal exon in HER-2/neu (i.e. HER-2/neu/erbB oncogene) (on chromosome 17q), exon 3 in SOD (i.e. super oxide dimutase) (on chromosome 21q), and exon 6 in G6PD (i.e. glucose 6-phosphate dehydrogenase) (on chromosome Xq). Each pair of LDR oligonucleotide probes has the following features: (i) The left oligonucleotide probe contains from 5' to 3' an 18 base sequence identical to the fluorescently labeled secondary oligonucleotide primer (black bar), an "adjustment

30

35

- 72 -

sequence" (white bar), and a target-specific sequence of from 22 to 28 bases with a  $T_m$  of 75°C (patterned bar); (ii) The right oligonucleotide probe contains from 5' to 3' a target-specific sequence of 20-25 bases with a  $T_m$  of 75°C (patterned bar), a single *Hae*III or *Hin*P1I restriction site at slightly different positions within the target-specific sequence, and an "adjustment sequence" (white bars). The two oligonucleotide probes are designed such that their combined length is exactly 96 bases, with 50 G+C bases and 46 A+T bases. The position of each unique restriction site generates a product which differs by at least 2 bases from the other products. Each oligonucleotide probe set has an exon-specific region chosen to ligate the junction sequence of (A, T)C↓C(A, T). This junction sequence corresponds to either a proline residue (codon CCN) or the complementary sequence of a tryptophan residue (TGG). These sequences were chosen to minimize differences in ligation rates and the chance of a polymorphism at the ligation junction.

#### LDR Probe Sequences

G6PDEx6-3L 5'CAC GCT ATC CCG TTA GAC ATT GTC AAG CAG GCG ATG TTG TCC CGG TTC 3'  
 G6PDEx6-4R 5'CAG ATG GGG CCG AAG ATC CTG TTA TTG ATA CAT AGT GCG GTA GTT GGC 3'  
 20 erbBEx1-5L 5'CAC GCT ATC CCG TTA GAC ATC GCC CTG ATG GGG AGA ATG TGA AAA TTC 3'  
 erbBEx1-6R 5'CAG TGG CCA TCA AAG TGT TGA GGG AGC GTA CAT AGT GCG GTA GTT GGC 3'  
 In2Ex3-7L 5'CAC GCT ATC CCG TTA GAC ATT CAT AAC CCT TGC CGT TCA CAG ACA CGT AC 3'  
 In2Ex3-8R 5'CAC AGT CTC TCG GCG CTG GGC AAT AAT ACA TAG TGC GGT AGT TGG C 3'  
 p53Ex8-9L 5'CAC GCT ATC CCG TTA GAC ATC TTA GTA ATT GAG GTG CGT GTT TGT GCC TGT C 3'  
 25 p53Ex8-10R 5'CTG GGA GAG ACC GGC GCA CAT TAC TAC ATA GTG CGG TAG TTG GC 3'  
 SODEx-3-11L 5'CAC GCT ATC CCG TTA GAC ATC TGT ACC AGT GCA GGT CCT CAC TTT AAT C 3'  
 SODEx-3-12R 5'CTC TAT CCA GAA AAC ACG GTG GGC CGC TAC ATA GTG CGG TAG TTG GC 3'

#### PCR Primers:

30 ZipALg1F 5' Fam-GGA GCA CGC TAT CCC GTT AGA C 3' ( $T_m$  = 71°C)  
 ZipBLg2R 5' CGC TGC CAA CTA CCG CAC TAT G 3' ( $T_m$  = 72°C)

(Underlined sequences are common between LDR probes and ZipALg1F or the complement of ZipBLg2R.)

**Example 3 - Buffers and Reagents**

A. LDR Buffers/Reagents - the following LDR buffers and reagents were selected:

- 5  
10X ST ligase buffer (0.2 M Tris pH 8.5, 0.1 M MgCl<sub>2</sub>) [This was also tested with Tris at pH 7.6.]  
10 x TT ligase buffer (0.2 M Tris pH 7.6, 0.5 M KCl, 0.1 M MgCl<sub>2</sub>, 5mM EDTA)  
10 NAD (10 mM)  
DTT (200 mM)  
LDR primer solution containing one tenth concentration of each of the LDR primer mixtures (50 fm of each LDR primer per  $\mu$ l)  
15 *Tth* DNA Ligase (625 U/ $\mu$ l)

B. PCR Buffers/Reagents - the following PCR buffers and reagents were selected:

- 10X Stoffel buffer (0.1 M KCl, 0.1M Tris-HCl pH 8.3 Perkin Elmer)  
20 dNTP solution (100mM total, 25mM of each dNTP Perkin Elmer), diluted 5 fold in dHOH to a final concentration of 5mM of each dNTP  
ZipALg1F (10 pm/ $\mu$ l)  
ZipBLg2R (10 pm/ $\mu$ l)

25 **Example 4 - LDR/PCR Process**

Four LDR/PCR processes were performed for each DNA to be tested with reaction tubes PCR amplified to 22, 24, 26, and 30 cycles to assure that one reaction would be halted in the exponential phase. Each LDR reaction (20  
30  $\mu$ l) was thermal cycled and then a PCR mix (30  $\mu$ l) containing primers with a portion complementary to the primer-specific portion of the LDR probes was added to each specimen to allow exponential amplification. To minimize differences between reaction tubes, master mixes of LDR and PCR reagents were made.

- 74 -

A master mix of LDR reagents was constructed with a volume sufficient for all reaction tubes. Proportions and volumes for a single reaction were as follows:

5	<u>Reagent</u>	<u>Volume</u>
	10X ST Ligase Buffer	2 $\mu$ l
	NAD (10 mM)	2 $\mu$ l
	DTT (200 mM)	1 $\mu$ l
	<u>dHOH</u>	<u>5 <math>\mu</math>l</u>
10	Total	10 $\mu$ l
	<i>Tth</i> DNA Ligase	0.2 $\mu$ l (=125 U)

Mixes of target DNA and LDR probes for each reaction tube were constructed with the following proportions:

	<u>Reagent</u>	<u>Volume</u>
	DNA ( <i>Taq</i> I digested)	1 $\mu$ l (=50 ng)
	LDR Probe Mix	4 $\mu$ l (200 fm each primer)
20	<u>dHOH</u>	<u>5 <math>\mu</math>l</u>
	Total	10 $\mu$ l

For each reaction, 10  $\mu$ l was placed in a thin-walled PCR tube, rapidly mixed with 10  $\mu$ l of LDR reagent mix (including ligase), overlayed with mineral oil, and placed in a Perkin Elmer 9600 thermal cycler.

LDR was initiated by holding at 96° C for 2 minutes to denature the DNA followed by 10 cycles of 94° C for 30 seconds and 65° C for 4 minutes.

- 75 -

PCR reagent mixes for each reaction tube were constructed with the following proportions:

	<u>Reagent</u>	<u>Volume</u>
5	10X Stoffel buffer	5 $\mu$ l
	dNTP solution	8 $\mu$ l (= 0.8 mM each dNTP in final reaction)
	ZipALg1F (10 pm/ $\mu$ l)	2.5 $\mu$ l (= 25 pm per reaction)
	ZipBLg2R (10 pm/ $\mu$ l)	2.5 $\mu$ l (= 25 pm per reaction)
	<u>dHOH</u>	<u>12 <math>\mu</math>l</u>
10	Total	30 $\mu$ l
	Stoffel Fragment	0.25 $\mu$ l (= 2.5 U)

At the completion of the LDR reaction, the tubes were held at 94° C, while 30  $\mu$ l of PCR reagent mix (including Stoffel fragment) were added to each tube. PCR amplification was accomplished by thermal cycling at 94° C for 15 seconds followed by 60° C for 50 seconds. At 22, 24, 26, and 30 cycles, respectively, one of four identical reaction tubes of each DNA specimen was removed and quenched in a slurry of dry ice and ETOH.

20

#### Example 5 - Agarose Gel Evaluation

Ten microliter aliquots of the 26 and 30 cycle reaction specimens were evaluated on a 2% agarose gel. Ethidium bromide staining revealed bands of the expected size (104 bp).

25

#### Example 6 - Digestion of Products, Preparation of Dilutions, and Loading on GeneScanner

To separate the gene-specific LDR/PCR products, 10  $\mu$ l aliquots of the 22, 24, and 26 cycle reactions were digested by adding 10  $\mu$ l of a solution containing 5 U each of *Hae*III and *Hin*PII restriction enzymes (both from New England BioLabs), 2  $\mu$ l of 10X restriction enzyme buffer number 2 (New England BioLabs), and 8  $\mu$ l of dHOH (i.e. distilled water). The digests were incubated at

30

37° C for one hour and then stopped by the addition of 1  $\mu$ l of 0.5 M EDTA, pH 8.0. The restriction digests were a one half dilution of the original LDR/PCR products. A 10 fold dilution of each sample was also prepared by adding 5  $\mu$ l of each restriction digest to 20  $\mu$ l of TE buffer.

5                   Before loading samples on the ABI 373A DNA Sequencer (Applied Biosystems) a 1:5 mixture of 50 mM EDTA pH 8.0 containing 2% blue dextran and de-ionized formamide was made. To 5  $\mu$ l of the EDTA-Blue Dextran solution, 5  $\mu$ l of digested LDR/PCR product dilution and 1  $\mu$ l of GENESCAN 1000 ROX marker (Applied Biosystems) were added. These solutions were heated to 85° C  
10 for 10 minutes and snap chilled on ice, before 5.5  $\mu$ l were loaded on the denaturing gel.

                  Samples were analyzed in an Applied Biosystems 373A DNA sequencer on a 0.4 mm thick, 10% polyacrylamide/7M urea gel with a well-to-read distance of 12 cm. The gel matrix was buffered with 1.2x TBE (106 mM  
15 Tris-borate and 2.4 mM EDTA pH 8.3) and the electrophoresis chamber buffer contained 0.6x TBE (53.4 mM Tris-borate and 1.2 mM EDTA pH 8.3). The gel was pre-run prior to sample loading at 1600 V for 30 minutes with the electrode polarity reversed (anode in the chamber with sample wells at the top of the gel). After loading, the gene-specific LDR/PCR products were electrophoresed at 1200  
20 V and the primary data was captured using the ABI 672 Data Collection Software V1.1 (Applied Biosystems)

                  Using the ABI 672 GeneScan Analysis Software V1.2.2 (Applied Biosystems), the resulting data were displayed as electropherograms, with peak heights and peak areas calculated.

25                   In the normal female, the ErbB2 peak is lower, and the p53 peak is slightly lower than the remaining 3 peaks. See Figures 25A-D. In different experiments, it was observed that the ErbB2 peak is always lower, the G6PD, Int-2, p53, and SOD peak areas would vary somewhat, but all 5 peaks would retain the same relative profile from one sample to the next for a given

- 77 -

experiment. When comparing male with female DNA, the G6PD peak was about half the area of other peaks, consistent with a single X-chromosome in males, while the other peaks were essentially the same. The ErB2 peak for the NM10 Breast Cancer cell line is slightly elevated, while that in cell line SKBR3 is several fold greater than the normal female control, reflecting the known ErbB-2 gene amplification in these two cell lines. In addition, cell line NM10 appears to have undergone LOH (i.e. a loss of heterozygosity) of p53, while cell line SKBR3 appears to have undergone LOH of G6PD and p53. Some of the cells in cell line SKBR3 may have lost both copies of the p53 gene. Repeating these amplifications in the absence of the ErbB-2 primers was used to confirm the presence of these additional gene deletions (see below).

These results can be quantified by comparing the ratio of peak areas in each peak to a standard (the SOD peak area) for that experiment. The raw data and ratio of peak areas are given below:

Table 3 - Raw Peak Area Data

		Genes				
		ErB	G6PD	Int2	p53	SOD
20	Male	9954	21525	45688	36346	62506
	Female	8340	39309	39344	30270	54665
	NM10	20096	55483	67083	17364	84339
	SKBR3	106650	19120	50103	2888	48119

25

Table 4 - Ratio of Peak Areas to SOD Peak Area

	ErbB/SOD	G6PD/SOD	Int2/SOD	p53/SOD
Male	0.16	0.34	0.73	0.58
5 Female	0.15	0.72	0.72	0.55
NM10	0.24	0.66	0.80	0.21
SKBR3	2.22	0.40	1.04	0.06

10 Although the ratios differ for each gene, (due to different efficiencies of LDR/PCR for each gene,) the ratios are generally consistent between the male and female sample, *except* for the G6PD/SOD ratio. The G6PD for the female is about twice the value as the male, accurately reflecting the presence of two and one X chromosome, respectively. One can quantify the

15 amount of ErbB2 amplification by comparing the ratio of peak area ratios between normal DNA and cancer cell lines.

Table 5 - Ratio of Peak Areas Ratios

	ErbB/2	G6PD	Int2	p53
20 Female/Male	0.96	2.09	0.98	0.95
NM10/Male	1.50	1.91	1.09	0.35
SKBR3/Male	13.92	1.15	1.42	0.10

25

From these ratios, it can be determined that the normal male and female have the same number of genes on chromosomes 17q (ErbB), 17p (p53), and 11q (Int 2), but that the female has twice as many G6PD genes, or X



- 79 -

chromosomes. Likewise, cell line NM10 showed slight amplification of the ErbB-2 gene, and LOH at p53, while cell line SKBR3 shows significant amplification of the ErbB-2 gene, LOH at G6PD and p53. To confirm additional gene amplifications and deletions, primer pairs causing massive amplifications may be removed from the LDR/PCR reaction (see below).

In the normal female, the ErbB2 peak is lower than the remaining 4 peaks. In different experiments, it was observed that the G6PD, Int-2, p53, and SOD peak areas would vary somewhat, but would retain the same relative profile from one sample to the next. See Figures 26A-C. The ErbB2 peak was consistently lower, and slight shoulders were observed on the G6PD and SOD peaks, for unknown reasons. The ErbB-2 peak in both cell line samples is several fold greater than the normal female control, reflecting the known ErbB-2 gene amplification in these two cell lines. In addition, the ZR-75-30 line appears to show LOH of p53, while the SKGT-2 cell line appears to have a slight amplification of the Int-2 region. By repeating these LDR/PCR experiments in the absence of the ErbB-2 primers, it was demonstrated that these results are not artifacts of the massive levels of ErbB-2 amplification. See Figures 27A-C. Both gene amplifications and deletions for multiple genes using the LDR/PCR format have been demonstrated. See Figures 26A-C and 27A-C.

Again, these results can be quantified by comparing the ratio of peak areas in each peak to a standard (the SOD peak area) for that experiment. The raw data and ratio of peak areas are given below:

Table 6 - Raw Peak Area Data

		Genes				
		ErbB	G6PD	Int2	p53	SOD
5	Female; 4 Primer Sets	NA	9577	8581	9139	8128
	ZR7530; 4 Primer Sets	NA	8452	7904	4168	7996
	SKGT2; 4 Primer Sets	NA	15915	28614	13116	12478
	Female; 5 Primer Sets	3955	9436	8066	9304	8848
10	ZR7530; 5 Primer Sets	66748	11105	8812	4163	9303
	SKGT2; 5 Primer Sets	263254	21877	31887	13630	13480

15

Table 7 - Ratio of Peak Areas to SOD Peak Area

		ErbB/SOD	G6PD/SOD	Int2/SOD	p53/SOD
	Female; 4 Primer Sets	NA	1.18	1.06	1.12
	ZR7530; 4 Primer Sets	NA	1.06	0.99	0.52
20	SKGT2; 4 Primer Sets	NA	1.28	2.29	1.05
	Female; 5 Primer Sets	0.45	1.97	0.91	1.05
	ZR7530; 5 Primer Sets	7.17	1.19	0.95	0.45
	SKGT2; 5 Primer Sets	19.53	1.62	2.37	1.01

25

The ratios are remarkably consistent between the four primer set and the five primer set experiments. The only exception is the G6PD peak for the SKGT2 cell line, where the huge peak for ErbB-2 may have added to the G6PD peak.

5 One can quantify the amount of ErbB2 and Int-2 amplification as well as p53 deletion by comparing the ratio of peak area ratios between normal DNA and cancer cell lines, as shown in Table 8. In addition, the ratios from using 4 sets of primers can be compared with 5 sets of primers to ascertain the internal consistency of this technique.

10

**Table 8 - Ratio of Peak Area Ratios**

		ErbB	G6PD	Int2	p53
15	Female; 4/5	NA	1.10	1.16	1.07
	ZR7530; 4/5	NA	0.89	1.04	1.16
	SKGT2; 4/5	NA	0.79	0.97	1.04
	ZR7530/Female; 4/4	NA	0.90	0.94	0.46
	ZR7530; Female; 5/5	16.05	1.12	1.04	0.43
20	SKGT2/Female; 4/4	NA	1.08	2.17	0.93
	SKGT2; Female; 5/5	43.69	1.52	2.59	0.96

The values for the top half of Table 8 should all be close to 1.0 if the LDR/PCR technique is internally consistent, when using 4 or 5 primers. All values are very close to 1.0. Again, the value for G6PD for SKGT2 is a bit low  
25 for the reasons mentioned.

The values on the bottom half of Table 8 show the extent of ErbB-2 amplification. The numbers are quite consistent for the 4 primer and 5 primer amplifications (with the exception of SKGT2-G6PD noted above). The ZR7530

cell line demonstrates a clear LOH for p53, while the SKGT2 cell line shows amplification of the Int-2 region, and both p53 genes present.

*Primary PCR/Secondary PCR/LDR Process*

5

**Example 7 - Oligonucleotide Synthesis**

Oligonucleotides were assembled by standard phosphoramidite chemistry on an Expedite DNA synthesizer (Perseptive Biosystems, Framingham, MA). Oligonucleotides 5'-end labeled with 6-FAM, TET, and HEX were synthesized using the appropriate dye phosphoramidites (Perkin Elmer-Applied Biosystems) and purified with Oligonucleotide Purification Cartridges (Perkin Elmer-Applied Biosystems) following the manufacturer's protocol (Applied Biosystems Division-Perkin Elmer Corp., "Synthesis and Purification of Fluorescently Labeled Oligonucleotides Using Dye Phosphoramidites," User Bulletin, number 78, Applied Biosystems Division, Foster City, CA, (1994)), which is hereby incorporated by reference. All oligonucleotides were checked for purity on an Applied Biosystems 270-HT capillary electrophoresis instrument using a mPAGE-3 column (J&W Scientific, Folsom, CA). Only oligonucleotides that were greater than 95% pure were used for the experiments. Oligonucleotides were resuspended in 250 µl TE (10 mM Tris/HCl and 5 mM EDTA pH 8.0). Typical concentrations were 300-500 µM for crude stock solutions and 100-200 µM for OPC (i.e. Oligonucleotide Purification Columns available from Applied Biosystems) purified stock solutions. For PCR and LDR, oligonucleotides were diluted to working solutions of 10 µM (10 pmoles/ml) or 5 µM (5 pmoles/ml).

10

15

20

25

**Example 8 - Phosphorylation of LDR Oligonucleotides**

The 12 LDR common oligonucleotides were phosphorylated at the 5' end to permit ligation to the fluorescent labeled oligonucleotides. The 5 oligonucleotides are shown below in Table 9.

Table 9 - LDR Oligonucleotide Sequences

Locus	Allele-Specific Oligonucleotide (5' → 3')	Common Oligonucleotide (5' → 3')
1	FAM-AGCTTCAATGATGAGAACCTGC TET-AGCTTCAATGATGAGAACCTGT	P-GCATAGTGGTGGCTGACCTGTT <u>CATAT</u>
2	FAM-CTCCATGGGCCAGCC TET-CTCCATGGGCCAGCT	P-AGCACTGGTGCCCTGTGAG
3	FAM-GGGGACAGCCATGCACTGA TET-GGGGACAGCCATGCACTGC	P-GCCTCTGGTAGCCTTTCAACCATA
4	FAMTTAGAAATCATCAAGCCTAGGTCAT TET-TTAGAAATCATCAAGCCTAGGTCAG	P-CACCTTTTAGCTTCCTGAGCAATGAT
5	HEX-GGTTGTATTTGTCAACCATATTAATTA HEX- <u>ATG</u> GTTGTATTTGTCAACCATATTAATTG	P-ATTTTCTCTATTGTTTTCATCTTTCAGGA
6	FAM-GGGCCAAGAAGGTATCTACCA TET-GGGCCAAGAAGGTATCTACCG	P-ATAGTGTCTATTAGGCATTTGAAAATGTG <u>TAT</u>
7	FAM-ACACAGCAGCTTACTCCAGAGG TET-ACACAGCAGCTTACTCCAGAGA	P-TCAAGTCCAAGGCCATTGGCTTATA
8	FAM-CCAGCAAAGAGAAAAGAAGGG TET-CCAGCAAAGAGAAAAGAAGGA	P-CCCCAGAAATCACAGGTGGGCTAT
9	FAM-ATGATATTAGAGCTCACTCATGTCCA TET-ATGATATTAGAGCTCACTCATGTCCG	P-TCAGTTTGAAAAAGACAAAGAATTC
10	HEX-TGCTGTCTTCCAGGAATCTGTT HEX- <u>ATT</u> GCTGTCTTCCAGGAATCTGTG	P-CAACTCTCTCGAAGCCATGTTCA <u>CAA</u>
11	HEX-GGACATAGTGACCGTGCAGGTC HEX- <u>ATG</u> GACATAGTGACCGTGCAGGTT	P-CTTCCCAGTGTGAGTGCCGTA
12	HEX-CTATGACACCGTCATCAGCAGG HEX- <u>TACT</u> ATGACACCGTCATCAGCAGA	P-GACATCCAGGCCCCCGAC

The allele-specific oligonucleotides are 5' end labeled with either FAM, TET, or HEX. All the common oligonucleotides are phosphorylated at the 5' end. Underline denotes tails that are not complementary to the target sequence. LDR primer sets were designed in two ways: (i) allele-specific primers were of the same length but contained either FAM or TET label; or (ii) the allele-specific primers were both labeled with HEX but differed in length by two bases.

- 85 -

This was accomplished either during the synthesis with Phosphate-ON (Clontech Laboratories, Palo Alto, CA) according to the manufacturer's instructions or post-synthesis, using T4 polynucleotide kinase (Boehringer Mannheim, Indianapolis, IN) . In the latter, a common oligomer was diluted into  
 5 50  $\mu$ l of kinase buffer (50 mM Tris/HCl, 10 mM  $MgCl_2$ , and 1 mM ATP) to a final concentration of 1 mM (500 pmol in 50  $\mu$ l ). Ten units of T4 kinase was added, and the reaction was incubated at 37°C for 30 min. The T4 kinase was inactivated by heating at 95°C for 10 min.

10 The kinase reaction was carried out as follows:

10  $\mu$ l 50 mM common oligo

5  $\mu$ l 10x kinase buffer

5  $\mu$ l 10 mM ATP

30  $\mu$ l H<sub>2</sub>O

15 50  $\mu$ l Total

+ 1  $\mu$ l T4 Kinase (10 units)

37°C for 30 min.

95°C for 10 min.

20 Final concentration = 10 mM

### Example 9 - Multiplex PCR Amplification

Twelve gene regions (2-13) were chosen for simultaneous PCR  
 25 amplification based on information available in the Human Genome Database, as shown below in Table 10.

Table 10 - List of Polymorphic Sites Analyzed

Site Number	Locus Symbol	Locus Name	Chr. Location	Nucleotide Position	Variation	Het.	Ref.
5	1	CYP2D6	cytochrome P450 IID622q13.1	4469 (M33388)	C,T	.38	2
	2	AT3	antithrombin III	1q23-q25.1	7987 (X68793)	C,T	.46 3
	3	C6	complement component C6	5p14-p12	185 (X72179)	A,C	.47 4
	4	IL1A	interleukin 1 alpha	2q13	6282 (X03833)	T,G	.34 5
10	5	NF1	neurofibromatosis	17q11.2	63683 (L05367)	A,G	.47 6
	6	ALDOB	aldolase B	9q22.3-q31	1087 (M15656)	A,G	.50 7
	7	A2M	alpha 2 macroglobulin	12p13.3-p12.3	153 (X68731)	G,A	.42 8
	8	IGF2	insulin growth factor	11p15.5	820 (X07868)	G,A	.46 9
	9	PROS1	protein S alpha	3p11-cen	183 (M36564)	A,G	.50 10
15	10	LIPC	triglyceride lipase	15q21-q23	113 (M29189)	T,G	.49 11
	11	CD18	integrin B-2 subunit	21q22.3	109 (X64081)	C,T	.50 12
	12	LDLR	low density lipoprotein receptor	9p13.2	70 (L00344)	G,A	.50 13

20 The site numbers are specific single point variations located within the respective genes. All variations indicated are defined on the sense strand. Genbank accession numbers are indicated in parentheses. Chr., chromosome; Het., heterozygosity.

#### References in Table 10

- 25 2 M. Armstrong, et al., "A Polymorphic Cfo I Site In Exon 6 of the Human Cytochrome P450 CYP2D6 Gene Detected by the Polymerase Chain Reaction," Human Genetics 91:616-17 (1993), which is hereby incorporated by reference.
- 3 S.C. Bock, et al., "Antithrombin III Utah: Proline-407 to Leucine Mutation in a Highly Conserved Region Near the Inhibitor Reactive Site," Biochemistry 28:3628 (1991), which is hereby incorporated by reference.
- 30 4 G. Dewald, et al., "Polymorphism of Human Complement Component C6: An Amino Acid Substitution (glu/ala) Within the Second Thrombospondin Repeat Differentiates Between the Two Common Allotypes C6 A and C6 B," Biochem. Biophys. Res. Commun. 194:458-64 (1993), which is hereby incorporated by reference.
- 35 5 P.A. Velden, et al., "Amino Acid Dimorphism in IL1A is Detectable by PCR Amplification," Hum. Mol. Genet. 2:1753 (1993), which is hereby incorporated by reference.



- 6 R.M. Cawthon, et al., "Identification and Characterization of Transcripts From Theneurofibromatosis 1 Region: The Sequence and Genomic Structure of EV12 and Mapping of Other Transcripts," Genomics 7:555-65 (1990), which is hereby incorporated by reference.
- 5 7 C.C. Brooks, et al., "Association of the Widespread A149P Hereditary Fructose Intolerance Mutation With Newly Identified Sequence Polymorphisms in the Aldolase B Gene," Am. J. Human Genetics 52:835-40 (1993), which is hereby incorporated by reference.
- 10 8 W. Poller, et al., "Sequence Polymorphism in the Human Alpha-2-Macroglobulin (A2M) Gene," Nucleic Acids Res. 19:198 (1991), which is hereby incorporated by reference.
- 15 9 T. Gloudemans, "An Ava II Restriction Fragment Length Polymorphism in the Insulin-Like Growth Factor II Gene and the Occurrence of Smooth Muscle Tumors," Cancer Res. 53:5754-58 (1993), which is hereby incorporated by reference.
- 10 C.M. Diepstraten, et al., "A CCA/CCG Neutral Dimorphism in the Codon for Pro 626 of the Human Protein S Gene PSa (PROS1)," Nucleic Acids Res. 19:5091 (1991), which is hereby incorporated by reference.
- 20 11 M. Reina, et al., "SSCP Polymorphism in the Human Hepatic Triglyceride Lipase (LIPC) Gene," Hum. Mol. Genet. 1:453 (1992), which is hereby incorporated by reference.
- 12 S. Mastuura, et al., "Investigation of the Polymorphic AvaII Site by a PCR-based Assay at the Human CD18 Gene Locus," Human Genetics 93:721 (1994), which is hereby incorporated by reference.
- 25 13 L. Warnich, et al., "Detection of a Frequent Polymorphism in Exon 10 of the Low-Density Lipoprotein Receptor Gene," Human Genetics 89:362 (1992), which is hereby incorporated by reference.

Each region was well characterized and harbored a single-base variation with only two known alleles. PCR amplifications were performed using genomic DNA isolated from whole blood using the Purgene DNA Isolation Kit (Gentra Systems, Inc., Minneapolis, MI) according to the manufacturer's instructions.

A volume of 25  $\mu$ l of PCR buffer (10 mM Tris/HCl pH 8.3, 10 mM KCl, 4 mM MgCl<sub>2</sub>, 0.4 mM each dNTP), 10-100 ng of genomic target DNA, PCR hybrid primer pairs 1-12 (2 pmol of each primer), and 1.3 units of AmpliTaq DNA polymerase Stoffel fragment (Applied Biosystems) was placed in a thin-walled MicroAmp reaction tube (Applied Biosystems). Each hybrid primer consisted of a gene-specific 3' region (16-29 bases) and a 5' region (22 bases) corresponding to one of two sets of universal (i.e. the portions which are primer-specific) primers (See Figure 4, where F1=Tet, F2=Fam, and F3=Het). These primers are shown in Table 11.

TABLE 11 - Primary PCR Primer Sequences

5	Number	Primer
	or Name	(5'-->3')
	1	F <u>GGAGCACGCTATCCCGTTAGACAGCCAAGGGGAACCTGAGAG</u> R <u>CGCTGCCAACTACCGCACTATGATCGTGGTCGAGGTGGTCACCATC</u>
	2	F <u>CCTCGTTGCGAGGCGTATTCTGTATTTCTCTTCTGTAAAAGGGAAGTTTGT</u> R <u>GCGACCTGACTTGCCGAAGAACATGTCCCATCTCCTCTACCTGATAC</u>
10	3	F <u>GGAGCACGCTATCCCGTTAGACTAAAGATCTGTCTTGCGTCCCAGTCA</u> R <u>CGCTGCCAACTACCGCACTATGTATCAATTTGCAGAGCTTAGATGGAATG</u>
	4	F <u>CCTCGTTGCGAGGCGTATTCTGTAGCACTTGTGATCATGGTTT TAGAAATC</u> R <u>GCGACCTGACTTGCCGAAGAAGTATCGTATTTGATGATCCTCATAAAGTTG</u>
15	5	F <u>GGAGCACGCTATCCCGTTAGACATCAGCCACTTGGAAGGAGCAAAAC</u> R <u>CGCTGCCAACTACCGCACTATGATGGACCATGGCTGAGTCTCCTTTAG</u>
	6	F <u>CCTCGTTGCGAGGCGTATTCTGAACCAACACGGAGAAGCATTGTTTTC</u> R <u>GCGACCTGACTTGCCGAAGAAGTATTAGCCTCAATCCTCATACTGACCTCTAC</u>
20	7	F <u>GGAGCACGCTATCCCGTTAGACATCTCCTAACATCTATGTACTGGATTATCTAAATG</u> R <u>CGCTGCCAACTACCGCACTATGATCTTACTCAAGTAATCACTCACCAGTGTTG</u>
	8	F <u>CCTCGTTGCGAGGCGTATTCTGAATGAGTCAAATTGGCCTGGACTTG</u> R <u>GCGACCTGACTTGCCGAAGAAGTAAATTCCCGTGAGAAGGGAGATG</u>
	9	F <u>CCTCGTTGCGAGGCGTATTCTGAAGGATCTGGATGAAGCCATTCTAAAC</u> R <u>GCGACCTGACTTGCCGAAGAAGTTGAAAAGGTATTATAAGCAGAGAAAAGATG</u>
25	10	F <u>GGAGCACGCTATCCCGTTAGACAGGACCGCAAAAGGCTTTCATC</u> R <u>CGCTGCCAACTACCGCACTATGTAGCACCCAGGCTGTACCCAATTAG</u>
	11	F <u>CCTCGTTGCGAGGCGTATTCTGATCGGGCGCTGGGCTTCAC</u> R <u>GCGACCTGACTTGCCGAAGAAGATCAGATGCCGCACTCCAAGAAG</u>
30	12	F <u>GGAGCACGCTATCCCGTTAGACATAAGAGCCCACGGCGTCTCTTC</u> R <u>CGCTGCCAACTACCGCACTATGTAAGAGACAGTGCCCAGGACAGAGTC</u>
	ZipALg1	F <u>GGAGCACGCTATCCCGTTAGAC</u>
	ZipBLg2	R <u>CGCTGCCAACTACCGCACAT G</u>

ZipCLg3 F CCTCGT GCGAGGCGTATTCT G

ZipDLg4 R GCGACCTGACTTGCCGAAGAAC

Solid underline denotes the ZipALg1 and ZipBLg2 sequences. Dotted underline denotes the ZipCLg1 and ZipDLg2 sequences. Linker sequences are indicated in bold. F=forward, R=reverse.

5

Forward and reverse hybrid primers for loci 1,3,5,7,10, and 12 contained 5' end regions identical to universal primers ALg1 and BLg2 respectively. Forward and reverse primers to loci 2,4,6,8,9, and 11 contained 5' end regions identical to universal primers CLg3 and DLg4, respectively. The rationale for using a low  
10 concentration of the hybrid primers in the PCR phase was to deplete the hybrid primers during the reaction. This would theoretically allow products with low amplification efficiencies to "catch up with" those that had high amplification efficiencies. Amplification was attained by thermal cycling for 1 cycle of 96°C for 15 sec to denature, then 15 cycles of 94°C for 15 sec to denature and 65°C for 60  
15 sec to anneal and extend.

An equal volume of PCR buffer containing a high concentration of the two pairs of universal primers (25 pmol of each primer; Table 2) and 1.3 units of Amplitaq DNA polymerase Stoffel fragment was added to the Microamp reaction tube, and thermal cycling was performed for another 25 cycles with the  
20 annealing temperature lowered to 55°C. The upstream universal primers ALg1 and CLg3 were fluorescently labeled with 6-FAM and TET, respectively. All thermal cycling was achieved with a GeneAmp PCR System 9600 thermal cycler (Applied Biosystems).

- 90 -

The primary PCR process was carried out under the following conditions:

	5.9	$\mu$ l	H <sub>2</sub> O
	5	$\mu$ l	primer pairs 1-12 (2 pmol each primer)
5	2.5	$\mu$ l	10X Stoffel fragment
	4	$\mu$ l	25 mM MgCl <sub>2</sub>
	5	$\mu$ l	2 mM dNTP stock (each)
	2.5	$\mu$ l	genomic DNA (10 ng)
	<u>0.13</u>	$\mu$ l	Stoffel Frag. (1.3 units)
10	25	$\mu$ l	Total

The primary PCR cycling conditions were as follows:

	96 °C 15''
15	94 °C 15'', 65 °C 1' x 15
	65 °C Hold

The secondary PCR process was carried out under the following conditions:

20	13.37	$\mu$ l	H <sub>2</sub> O
	5	$\mu$ l	zip primer pairs (25 pmol each primer)
	2.5	$\mu$ l	10X Stoffel fragment
	4	$\mu$ l	25 mM MgCl <sub>2</sub>
	<u>0.13</u>	$\mu$ l	Stoffel Frag. (1.3 units)
25	25	$\mu$ l	Total

The secondary PCR cycling conditions were as follows:

30	94 °C 15'', 55 °C 1' x 25
	4 °C Hold

The PCR products were separated on an Applied Biosystems 373 DNA sequencer. A 3 ml aliquot of PCR sample was mixed with 3 ml of formamide containing fluorescently labeled Genescan-2500 [TAMRA] size standard (Applied Biosystems). The formamide/standard solution was prepared by adding 50 ml Genescan-2500 size standard [TAMRA] to 450 ml of formamide. The sample was heated at 95°C for 2 min, quick cooled in ice, and electrophoresed through a denaturing 8% polyacrylamide gel in an Applied

- 91 -

Biosystems 373 DNA sequencer running Genescan version 1.2 software. The sizes of the fluorescently labeled products were automatically computed by the Genescan analysis software using the local Southern method. The electropherograms clearly showed 12 distinct products (Figure 28). The uniform amount of each product was attributed to the similar size of each amplicon and the use of the primary primers with portions complementary to the secondary primers, which annealed with identical affinities to the 12 amplicons without the need to carefully adjust reaction conditions. The computed sizes of the products, which ranged from 135 to 175 bp, matched exactly to their actual sizes (see Table 12).

10

Table 12 - List of PCR and LDR Products

Site Number	PCR Products		LDR Product				
	Label	Size (bp)	Label	Size (bp)	Variation	Allele	
5	1	6-FAM	143	6-FAM TET	49	C T	A B
	2	TET	145	6-FAM TET	35	C T	A B
	3	6-FAM	147	6-FAM TET	43	A C	A B
	4	TET	149	6-FAM TET	51	T G	A B
	5	6-FAM	155	HEX	56 58	A G	A B
10	6	TET	157	6-FAM TET	53	A G	A B
	7	6-FAM	159	6-FAM TET	47	G A	A B
	8	TET	161	6-FAM TET	45	G A	A B
	9	TET	165	6-FAM TET	55	A G	A B
	10	6-FAM	167	HEX	48 50	T G	A B
15	11	TET	173	HEX	44 46	C T	A B
	12	6-FAM	175	HEX	40 42	G A	A B

The dual labeling approach (Table 12) made it much easier to distinguish the products on the electropherograms (Figure 28, compare panel A with panels B and

**Example 10 - Multiplex Ligase Detection Reaction**

To avoid any possibility of labeled PCR product interfering with the detection of ligation product sequences, PCR product amplified using unlabeled

- 5 PCR universal primers served as the target for the LDR. The polymerase in the PCR was inactivated by either freeze-thawing or adding EDTA/proteinase K to a final concentration of 5 mM and 100 mg/ml, respectively, and heating to 37°C for 30 min and 95°C for 10 min.

- 10 Proteinase K digestion was carried out under the following conditions:

$$\begin{array}{rcl} & 20 & \mu\text{l PCR product} \\ + & \underline{2.5} & \mu\text{l 500 mg/ml proteinase K} \\ & 25 & \mu\text{l Total} \end{array}$$

15

60°C for 60 min.

95°C for 10 min to heat kill proteinase K.

- Four microliters of PCR product was diluted in 20  $\mu\text{l}$  of LDR mix
- 20 containing 50 mM Tris/HCl pH 8.5, 50 mM KCl, 10 mM  $\text{MgCl}_2$ , 1 mM  $\text{NAD}^+$ , 10 mM DTT, LDR oligonucleotide sets 1-12 (200 fmol of each oligonucleotide), and 10 units of *Thermus aquaticus* DNA ligase (Barany, F. and Gelfand, D., "Cloning, Overexpression, and Nucleotide Sequence of a Thermostable DNA Ligase-Encoding Gene," Gene, 109:1-11 (1991), which is hereby incorporated by
- 25 reference). Each LDR oligonucleotide probe set consisted of two allele-specific oligonucleotides and a common oligonucleotide. Each pair of discriminating allele-specific oligonucleotide probes in the LDR oligonucleotide probe sets 1,2,3,4,6,7,8, and 9 were the same size, with one oligonucleotide labeled with 6-FAM and the other labeled with TET. For LDR oligonucleotide probe sets 5, 10,
- 30 11, and 12, each pair of allele-specific oligonucleotides differed by 2 bases (the larger oligonucleotide had a 5' tail that was not complementary to the target sequence), and both oligonucleotides were labeled with HEX. Thermal cycling

- 94 -

was performed for 1 cycle of 95°C for 2 min to denature, then 20 cycles of 95°C for 30 sec to denature, and 65°C for 4 min to ligate.

The LDR process was carried out as follows:

5		4 $\mu$ l	PCR product
		2 $\mu$ l	LDR oligo sets 1-12 (200 fmol each oligo)
		2 $\mu$ l	10X T. ligase buffer
		2 $\mu$ l	10 mM NAD <sup>+</sup>
10		1 $\mu$ l	200 mM DTT
		9 $\mu$ l	H <sub>2</sub> O
		20 $\mu$ l	Total
	+	1 $\mu$ l	Taq Ligase (10 units)

15 Stock of Ligase: 0.5  $\mu$ l of 625 u/ml + 3.2  $\mu$ l 10X buffer + 27.5  $\mu$ l H<sub>2</sub>O

LDR cycling conditions were as follows:

20 94 °C 2'  
94 °C 30'', 65 °C 4' x 20  
4 °C Hold

A 3  $\mu$ l aliquot of LDR sample was mixed with 3  $\mu$ l of formamide containing fluorescently labeled Genescan-2500 [TAMRA] size standard (Applied Biosystems). The sample was heated at 95°C for 2 min, quick cooled in ice, and electrophoresed through a denaturing 10% polyacrylamide gel in an Applied Biosystems 373 DNA sequencer running Genescan version 1.2 software. The sizes of the fluorescently labeled products were automatically computed by the Genescan analysis software using the local Southern method. LDR profiles of two individuals are shown in Figure 29. When each fluorescent dye was analyzed independently (Figure 29, panels B-D and F-H), it was very easy to determine the alleles present for each locus. A simple "A" or "B" code was assigned to each LDR product (Table 12) and used to score the genotypes. See Table 13 as follows:

35



**Table 13 - Genotypes Determined by PCR-LDR for 5 Individuals**

Individual Site Number												
	1	2	3	4	5	6	7	8	9	10	11	12
1	AB	AB	AB	AB	AB	AB	AB	AA	AA	AB	AB	AB
2	AA	BB	AB	BB	AB	AB	BB	AA	AB	AA	AA	AA
3	AB	AB	AB	BB	AA	BB	BB	AA	AA	AB	AB	AA
4	AA	AB	AA	AB	AB	AA	AB	AA	AB	BB	AB	AA
5	AA	AA	AA	AB	AB	AB	AB	AB	AA	AB	AB	BB

The first individual (Figure 29A-D; Table 13, individual 1) was heterozygous at polymorphic sites 1-7, and 10-12. Heterozygosity at sites 1-4 and 6-7 was indicated by the detection of both 6-FAM and TET labeled products (Figure 29, panels B and C) at the respective positions on the electropherograms.

- 5 Heterozygosity at sites 5 and 11-12 was indicated by the presence of two HEX labeled products, differing in size by 2 bases, for each of these loci (Figure 29, panel D). In contrast, the one product detected at sites 8 and 9 (Figure 29, panels B and C) established that each of these loci was homozygous. The second individual (Figure 29E-F and G-H; Table 13, individual 2) was heterozygous only  
 10 at sites 3,5,6, and 9 and homozygous at sites 1,2,4,7,8, 10-12. There was a total of 8 differences in the genotypes at these positions between the two persons. Three additional individuals were typed, and all 5 persons had distinct genotypes based on the 12 loci (Table 13).

- 15 Although the invention has been described in detail for the purpose of illustration, it is understood that such details are solely for that purpose. The variations can be made therein by those skilled in the art without departing from the spirit of the scope of the invention which is defined by the following claims.

**WHAT IS CLAIMED:**

1. A method for identifying one or more of a plurality of sequences differing by one or more single-base changes, insertions, deletions, or translocations in a plurality of target nucleotide sequences comprising:
  - 5 providing a sample potentially containing one or more target nucleotide sequences with a plurality of sequence differences;
  - providing one or more oligonucleotide probe sets, each set characterized by (a) a first oligonucleotide probe, having a target-specific portion and a 5' upstream primer-specific portion and (b) a second oligonucleotide probe,  
10 having a target-specific portion and a 3' downstream primer-specific portion, wherein the oligonucleotide probes in a particular set are suitable for ligation together when hybridized adjacent to one another on a corresponding target nucleotide sequence, but have a mismatch which interferes with such ligation when  
15 hybridized to any other nucleotide sequence present in the sample;
  - providing a ligase;
  - blending the sample, the plurality of oligonucleotide probe sets, and the ligase to form a ligase detection reaction mixture;
  - subjecting the ligase detection reaction mixture to one or  
20 more ligase detection reaction cycles comprising a denaturation treatment, wherein any hybridized oligonucleotides are separated from the target nucleotide sequences, and a hybridization treatment, wherein the oligonucleotide probe sets hybridize at adjacent positions in a base-specific manner to their respective target nucleotide sequences, if present in the sample, and ligate to one another to form a ligation  
25 product sequence containing (a) the 5' upstream primer-specific portion, (b) the target-specific portions connected together, and (c) the 3' downstream primer-specific portion with the ligation product sequence for each set being distinguishable from other nucleic acids in the ligase detection reaction mixture, and, wherein the oligonucleotide probe sets may hybridize to nucleotide sequences

- 97 -

in the sample other than their respective target nucleotide sequences but do not ligate together due to a presence of one or more mismatches and individually separate during the denaturation treatment;

5 providing one or a plurality of oligonucleotide primer sets,  
each set characterized by (a) an upstream primer containing the same sequence as the 5' upstream primer-specific portion of the ligation product sequence and (b) a downstream primer complementary to the 3' downstream primer-specific portion of the ligation product sequence, wherein one of the primers has a detectable reporter label;

10 providing a polymerase;

blending the ligase detection reaction mixture with the one or a plurality of oligonucleotide primer sets, and the polymerase to form a polymerase chain reaction mixture;

15 subjecting the polymerase chain reaction mixture to one or  
more polymerase chain reaction cycles comprising a denaturation treatment, wherein hybridized nucleic acid sequences are separated, a hybridization treatment, wherein the primers hybridize to their complementary primer-specific portions of the ligation product sequence, and an extension treatment, wherein the hybridized primers are extended to form extension products complementary to the  
20 sequences to which the primers are hybridized, wherein, in a first cycle, the downstream primer hybridizes to the 3' downstream primer-specific portion of the ligation product sequence and extends to form an extension product complementary to the ligation product sequence, and, in subsequent cycles, the upstream primer hybridizes to the 5' upstream primer-specific portion of the extension product  
25 complementary to the ligation product sequence and the 3' downstream primer hybridizes to the 3' downstream portion of the ligation product sequence;

detecting the reporter labels; and

distinguishing the extension products to indicate the presence of one or more target nucleotide sequences in the sample.

2. A method according to claim 1, wherein one of the oligonucleotide probes in the set contains a restriction site, said method further comprising:

restriction digesting each extension product at the restriction  
5 sites to produce labelled extension product fragments, wherein the restriction site is positioned in each of the oligonucleotide probe sets to produce an extension product fragment with a unique length so that it can be distinguished from other nucleic acids in the polymerase chain reaction mixture after said restriction digesting; and  
10 separating the extension product fragments by size or electrophoretic mobility, wherein said distinguishing differentiates the extension product fragments which differ in size.

3. A method according to claim 1, wherein the ligation product  
15 sequence of the oligonucleotide probes in a particular set produces an extension product of unique length so that it can be distinguished from other nucleic acids in the polymerase chain reaction mixture, said method further comprising:

separating the extension products by size or electrophoretic  
mobility, wherein said distinguishing differentiates the extension products which  
20 differ in size.

4. A method according to claim 1, wherein the oligonucleotides  
are configured so that the sequence of their ligation products across the ligation  
junction of each set is unique and can be distinguished from other nucleic acids in  
25 the polymerase chain reaction mixture, said method further comprising:

providing a solid support with different capture  
oligonucleotides immobilized at different particular sites, wherein the capture  
oligonucleotides have nucleotide sequences complementary to the unique nucleotide  
sequences across the ligation junctions of given probe sets;

- 99 -

contacting the polymerase chain reaction mixture, after said  
subjecting it to one or more polymerase chain reaction cycles, with the solid  
support under conditions effective to hybridize the extension products to the  
capture oligonucleotides in a base-specific manner, thereby capturing the extension  
5 products on the solid support at the site with the complementary capture  
oligonucleotide, wherein said detecting indicates the presence of extension  
products captured using the unique nucleotide sequence portions surrounding the  
ligation junction and immobilized to the solid support at particular sites, thereby  
indicating the presence of one or more target nucleotide sequences in the sample.

10

5. A method according to claim 1, wherein, in each primer set,  
one primer has a detectable reporter label and the other primer contains an  
addressable array-specific portion which is linked to the 5' end of that primer and  
remains single stranded after said subjecting the polymerase chain reaction mixture  
15 to one or more polymerase chain reaction cycles, said method further comprising:

providing a solid support with different capture  
oligonucleotides immobilized at different particular sites, wherein the capture  
oligonucleotides have nucleotide sequences complementary to the addressable  
array-specific portions;

20

contacting the polymerase chain reaction mixture, after said  
subjecting it to one or more polymerase chain reaction cycles, with the solid  
support under conditions effective to hybridize the extension products to the  
capture oligonucleotides in a base-specific manner, thereby capturing the  
addressable array-specific portions to the solid support at the site with the  
25 complementary capture oligonucleotide, wherein said detecting indicates the  
presence of extension products captured using the addressable array-specific  
portions and immobilized to the solid support at particular sites, thereby indicating  
the presence of one or more target nucleotide sequences in the sample.

- 100 -

6. A method according to claim 1, wherein the relative amounts of two or more of a plurality of sequences, differing by one or more single-base changes, insertions, deletions, or translocations and present in a sample in unknown amounts with a plurality of target nucleotide sequences being quantified and a set of oligonucleotide primers being useful in amplifying all the ligation product sequences formed by the oligonucleotide probe sets in a particular probe group, the oligonucleotide probe sets forming a plurality of oligonucleotide probe groups, each group comprised of two or more of the oligonucleotide probe sets, wherein oligonucleotide probe sets in the same group contain the same 5' upstream primer-specific portion and the same 3' downstream primer-specific portion, said method further comprising;

quantifying the relative amount of the extension products, after said distinguishing and comparing relative amounts of the extension products generated to provide a quantitative measure of the relative level of the two or more target nucleotide sequences in the sample.

7. A method according to claim 6, wherein one of the oligonucleotide probes in each set contains a restriction site, said method further comprising:

restriction digesting the extension products at the restriction sites to produce labelled extension product fragments, wherein the restriction site is positioned in each of the oligonucleotide probe sets to produce an extension product fragment with a unique length so that it can be distinguished from other nucleic acids in the polymerase chain reaction mixture after said restriction digesting; and

separating the extension product fragments by size or electrophoretic mobility, wherein said distinguishing is carried out by size differences in the labeled extension product fragments.

- 101 -

8. A method according to claim 6, wherein oligonucleotide probe sets in the same group contain the same 5' upstream primer-specific portion and the same 3' downstream primer-specific portion, and the ligation product sequences of oligonucleotide probes in a particular set have a unique length  
5 product so that they can be distinguished from other nucleic acids in the polymerase chain reaction mixture, said method further comprising:

separating the extension products by size or electrophoretic mobility, wherein said detecting and said distinguishing are carried out by size differences in the labeled extension products.

10

9. A method according to claim 6, wherein the ligation product sequences of oligonucleotide probes in a particular set contain unique sequences across the ligation junction so that they can be distinguished from other nucleic acids in the polymerase chain reaction mixture, said method further comprising:

15 providing a solid support with different capture oligonucleotides immobilized at different particular sites, wherein the capture oligonucleotides have nucleotide sequences complementary to the unique nucleotide sequences across the ligation junctions of given probe sets;

20 contacting the polymerase chain reaction mixture, after said subjecting it to one or more polymerase chain reaction cycles, with the solid support under conditions effective to hybridize the extension products to the capture oligonucleotides in a base-specific manner, thereby capturing the extension products on the solid support at the site with the complementary capture oligonucleotide, wherein said detecting indicates the presence of extension  
25 products captured using the unique nucleotide sequence portions across the ligation junction and immobilized to the solid support at particular sites.

10. A method according to claim 1, wherein one or both oligonucleotide probes in a particular set have blocking groups at their non-ligating

ends with blocking group rendering the ligation product of the oligonucleotide probes in a particular set substantially resistant to exonuclease digestion, said method further comprising:

subjecting the ligase detection reaction mixture to

5 exonuclease digestion after said subjecting the ligase detection reaction mixture to one or more ligase detection reaction cycles, wherein exonuclease substantially destroys oligonucleotide probes which are not blocked, does not destroy a substantial portion of the ligation products, and substantially reduces the presence of original target nucleotide sequences and

10               inactivating the exonuclease, wherein said subjecting to  
exonuclease digestion reduces formation of ligation independent extension products  
during said subjecting the polymerase chain reaction mixture to one or more  
polymerase chain reaction cycles.

15 11. A method according to claim 10, wherein the ligation product sequence of the oligonucleotide probes in a particular set generates a unique length product, said method further comprising:

separating the extension products by size or electrophoretic mobility, wherein said distinguishing differentiates the extension products which differ in size.

12. A method according to claim 11, wherein the one or more of a plurality of sequences differing by one or more single-base changes, insertions, deletions, or translocations are present in the sample in unknown amounts with a plurality of target nucleotide sequences being quantified, said method further comprising:

providing a known amount of one or more marker target nucleotide sequences;



- 103 -

providing one or a plurality of oligonucleotide probe groups, each group comprised of two or more of the oligonucleotide probe sets, including probe sets specifically designed for the marker target nucleotide sequences, wherein one or both oligonucleotide probes in a particular set are blocked at their non-ligating ends, wherein oligonucleotide probe sets in the same group contain either the same 5' upstream primer-specific portion or the same 3' downstream primer-specific portion, or both the same 5' upstream primer-specific portion and the same 3' downstream primer-specific portion, said ligase detection reaction mixture further comprising the marker target nucleotide sequences and the probe sets including probe sets specifically designed for the marker target nucleotide sequences, said method further comprising:

providing one or a plurality of oligonucleotide primer groups, each group comprised of two or more oligonucleotide primer sets, wherein the oligonucleotide primer sets in each group contain either the same 5' upstream primer or the same 3' downstream primer or both the same 5' upstream primer and the same 3' downstream primer, a group of oligonucleotide primers being useful to amplify all ligation product sequences in a given group;

quantifying the amount of extension products after said distinguishing; and  
comparing the amounts of extension products generated from the unknown sample with the amount of extension products generated from known amounts of marker target nucleotide sequences to provide a quantitative measure of the level of one or more target nucleotide sequences in the sample.

13. A method according to claim 10, wherein one primer has a detectable reporter label and the other primer contains an addressable array-specific portion which is linked to the 5' end of that primer and remains single stranded after said subjecting the polymerase chain reaction mixture to one or more polymerase chain reaction cycles, said method further comprising:

providing a solid support with different capture oligonucleotides immobilized at different particular sites, wherein the capture oligonucleotides have nucleotide sequences complementary to the addressable array-specific portions;

- 5                                   contacting the polymerase chain reaction mixture, after said  
subjecting it to one or more polymerase chain reaction cycles, with the solid  
support under conditions effective to hybridize the extension products to the  
capture oligonucleotides in a base-specific manner, thereby capturing the  
addressable array-specific portions to the solid support at the site with the  
10   complementary capture oligonucleotide, wherein said detecting indicates the  
presence of extension products captured using the addressable array-specific  
portions and immobilized to the solid support at particular sites.

14.   A method according to claim 13, wherein the one or more of  
15   a plurality of sequences differing by one or more single-base changes, insertions,  
deletions, or translocations are present in the sample in unknown amounts with a  
plurality of target nucleotide sequences being quantified, one primer has a  
detectable reporter label and the other primer contains an addressable array-  
specific portion which is linked to the 5' end of that primer and remains single  
20   stranded after said subjecting the polymerase chain reaction mixture to one or  
more polymerase chain reaction cycles, and the oligonucleotide primer sets in the  
same group contain either the same 5' upstream primer or the same 3'  
downstream primer, wherein a group of oligonucleotide primers may be used to  
amplify all ligation product sequences in a given group, said method further  
25   comprising:

                                  providing a known amount of one or more marker target  
nucleotide sequences;

                                  providing one or a plurality of oligonucleotide probe groups,  
each group comprised of two or more of the oligonucleotide probe sets, including

- 105 -

probe sets specifically designed for the marker target nucleotide sequences, wherein one or both oligonucleotide probe sets in the same group contain either the same 5' upstream primer-specific portion or the same 3' downstream primer-specific portion;

5 providing one or a plurality of oligonucleotide primer groups, each group comprised of two or more oligonucleotide primer sets, wherein the oligonucleotide primer sets in the same group contain either the same 5' upstream primer or the same 3' downstream primer and a group of oligonucleotide primers are used to amplify all ligation product sequences in a group;

10 blending the marker target nucleotide sequences and probe sets specifically designed for the marker target nucleotide sequences to the ligase detection reaction mixture;

quantifying the amount of extension products, and

comparing the amounts of extension products generated from

15 the unknown sample with the amount of extension products generated from known amounts of marker target nucleotide sequences to provide a quantitative measure of the level of one or more target nucleotide sequences in the sample.

15. A method according to claim 1, wherein one or both  
20 oligonucleotide probes in a particular set contain deoxy-uracil in place of deoxy-thymidine with the deoxy-uracil rendering the oligonucleotide probes and their ligation product sequences substantially sensitive to uracil N-glycosylase, said method further comprising:

blending the ligase detection reaction mixture, after said  
25 subjecting the ligase detection reaction mixture to one or more ligase detection reaction cycles and before said subjecting the polymerase chain reaction mixture to one or more polymerase chain reaction cycles, with one or a plurality of the downstream primers complementary to the 3' downstream primer-specific portion

- 106 -

of the ligation product sequences, and the polymerase to form an extension mixture;

subjecting the extension mixture to a hybridization treatment, wherein the downstream primer hybridizes to the 3' downstream primer-specific portion of the ligation product sequence, and extends to form an extension product complementary to the ligation product sequence;

inactivating the polymerase;

blending the extension mixture, after said inactivating, with uracil N-glycosylase to form a uracil N-glycosylase digestion mixture;

subjecting the extension mixture to uracil-N-glycosylase digestion substantially to destroy oligonucleotide probes, ligation product sequences, and extension products off the original target which use the 5' upstream primer as primers, without destroying the 3' downstream primer extension product off the ligation product sequences;

inactivating the uracil N-glycosylase;

blending, after said inactivating the uracil N-glycosylase, a polymerase with the uracil N-glycosylase digestion mixture to form the polymerase chain reaction mixture;

subjecting the polymerase chain reaction mixture to the one or more polymerase chain reaction cycles to form an extension product in the first cycle which is substantially the same as the ligation product sequence except containing deoxy-thymidine in place of deoxy-uracil, and, in subsequent cycles, the 5' upstream primer hybridizes to the 5' upstream primer-specific portion of the extension product complementary to the ligation product sequence and the 3' downstream primer hybridizes to the 3' downstream portion of the extension product sequence which is substantially the same as the ligation product sequence, an extension treatment, whereby said subjecting the extension mixture to uracil N-glycosylase digestion substantially reduces the quantity of the ligation product sequences, either one or both oligonucleotide probes, and, thus, ligation

independent extension products from said subjecting the polymerase chain reaction mixture to one or more polymerase chain reaction cycles.

16. A method according to claim 15, wherein the ligation  
5 product sequences of oligonucleotide probes in a particular set generates a unique length product which is distinguishable from either probes or other ligation product sequence, said method further comprising:

separating the extension products by size or electrophoretic mobility, wherein said distinguishing differentiates the extension products which  
10 differ in size.

17. A method according to claim 16, wherein the one or more of a plurality of sequences differing by one or more single-base changes, insertions, deletions, or translocations are present in the sample in unknown amounts with a  
15 plurality of target nucleotide sequences being quantified, said method further comprising:

providing a known amount of one or more marker target nucleotide sequences;

providing one or more of marker-specific oligonucleotide  
20 probe sets, each set characterized by (a) a first oligonucleotide probe, having a target-specific portion and a 5' upstream primer-specific portion and (b) a second oligonucleotide probe, having a target-specific portion and a 3' downstream primer-specific portion, wherein one or both oligonucleotide probes in a particular set contain deoxy-uracil in place of deoxy-thymidine, wherein the oligonucleotide  
25 probes in a particular set are suitable for ligation together when hybridized adjacent to one another on a corresponding marker target nucleotide sequence, but, when hybridized to any other nucleotide sequence present in the sample or added marker sequences, have a mismatch which interferes with such ligation, said oligonucleotide probe sets and said marker-specific oligonucleotide sets forming a

- 108 -

plurality of oligonucleotide probe groups, wherein the ligation product sequence of oligonucleotide probes in a particular set generates a unique length product, and thus may be distinguished from either probes or other ligation product sequences in the same group or other groups;

5                               blending the marker target nucleotide sequences and the probe sets specifically designed for the marker target nucleotide sequences with the ligase detection mixture;

                              providing one or a plurality of oligonucleotide primer groups, each group comprised of two or more of the oligonucleotide primer sets,  
10                               wherein oligonucleotide primer sets in the same group contain either the same 5' upstream primer or the same 3' downstream primer, or both the same 5' upstream primer and the same 3' downstream primer, wherein a group of oligonucleotide primers may be used to amplify all the ligation product sequences in a given group;

15                               separating the extension products by size or electrophoretic mobility;

                              quantifying the amount of extension products after said distinguishing; and

                              comparing the amounts of extension products generated from  
20                               the unknown sample with the amount of extension products generated from known amounts of marker target nucleotide sequences to prove a quantitative measure of the level of one or more target nucleotide sequences in the sample.

18.       A method according to claim 15, wherein, in each primer  
25                               set, one primer has a detectable reporter label and the other primer contains an addressable array-specific portion which is linked to the 5' end of that primer and remains single stranded after said subjecting the polymerase chain reaction mixture of one or more polymerase chain reaction cycles, said method further comprising:

- 109 -

providing a solid support with different capture oligonucleotides immobilized at different particular sites, wherein the capture oligonucleotides have nucleotide sequences complementary to the array-specific portions and

- 5                                   contacting the polymerase chain reaction mixture, after said subjecting it to one or more polymerase chain reaction cycles, with the solid support under conditions effective to hybridize the extension products to the capture oligonucleotides in a base-specific manner, thereby capturing the addressable array-specific portions to the solid support at the site with the
- 10 complementary capture oligonucleotide, wherein said detecting indicates the presence of extension products captured using the addressable nucleotide sequence portions and immobilized to the solid support at particular sites.

19.     A method according to claim 18, wherein the one or more of
- 15 a plurality of sequences differing by one or more single-base changes, insertions, deletions, or translocations are present in the sample in unknown amounts with a plurality of target nucleotide sequences being quantified, said method further comprising:

- providing a known amount of one or more marker target
- 20 nucleotide sequences;

- providing one or a plurality of oligonucleotide probe groups, each group comprised of two or more of the oligonucleotide probe sets, including probe sets specifically designed for the marker target nucleotide sequences, wherein the ligation product sequences of oligonucleotide probes in a particular set
- 25 may be distinguished from either probes or other ligation product sequences in the same group or other groups;

- blending the marker target nucleotide sequences and the probe sets specifically designed for the marker target nucleotide sequences with the ligase detection mixture;

- 110 -

providing one or a plurality of oligonucleotide primer groups, each group comprised of two or more of the oligonucleotide primer sets, wherein oligonucleotide primer sets in the same group contain either the same 5' upstream primer or the same 3' downstream primer, wherein a group of  
5 oligonucleotide primers may be used to amplify all ligation product sequences in a given group;

quantifying the amount of extension products; and  
comparing the amounts of extension products generated from the unknown sample with the amount of extension products generated from known  
10 amounts of marker target nucleotide sequences to provide a quantitative measure of the level of one or more target nucleotide sequences in the sample.

20. A method according to claim 1, wherein each of the denaturation treatments is at a temperature of about 80°-105°C.  
15

21. A method according to claim 1, wherein each cycle of the ligase detection reaction, comprising a denaturation treatment and a hybridization treatment, is from about 30 seconds to about five minutes long.

20 22. A method according to claim 1, wherein said subjecting the ligase detection reaction mixture to one or more ligase detection reaction cycles is repeated for 2 to 50 cycles.

23. A method according to claim 1, wherein total time for said  
25 subjecting the ligase detection reaction mixture to one or more ligase detection reaction cycles is 1 to 250 minutes.



- 111 -

24. A method according to claim 1, wherein the ligase is selected from the group consisting of *Thermus aquaticus* ligase, *Thermus thermophilus* ligase, *E. coli* ligase, T4 ligase, and *Pyrococcus* ligase.

5                   25. A method according to claim 1, wherein the detectable reporter label is selected from the group consisting of chromophores, fluorescent moieties, enzymes, antigens, heavy metals, magnetic probes, dyes, phosphorescent groups, radioactive materials, chemiluminescent moieties, and electrochemical detecting moieties.

10

26. A method according to claim 1, wherein the target-specific portions of the oligonucleotide probes each have a hybridization temperature of 50-85°C.

15

27. A method according to claim 1, wherein the target-specific portions of the oligonucleotide probes are 20 to 28 nucleotides long.

28. A method according to claim 1, wherein the oligonucleotide probe sets are selected from the group consisting of ribonucleotides,  
20 deoxyribonucleotides, modified ribonucleotides, modified deoxyribonucleotides, modified phosphate-sugar backbone oligonucleotides, nucleotide analogues, and mixtures thereof.

29. A method for identifying two or more of a plurality of  
25 sequences differing by one or more single-base changes, insertions, deletions, or translocations in a plurality of target nucleotide sequences comprising:  
providing a sample potentially containing one or more target nucleotide sequences with a plurality of sequence differences;

- providing one or more of primary oligonucleotide primer groups, each group comprised of one or more primary oligonucleotide primer sets, each set characterized by (a) a first oligonucleotide primer, having a target-specific portion and a 5' upstream secondary primer-specific portion, and (b) a second
- 5 oligonucleotide primer, having a target-specific portion and a 5' upstream secondary primer-specific portion, wherein the first oligonucleotide primers of each set in the same group contain the same 5' upstream secondary primer-specific portion and the second oligonucleotide primers of each set in the same group contain the same 5' upstream secondary primer-specific portion, wherein the
- 10 oligonucleotide primers in a particular set are suitable for hybridization on complementary strands of a corresponding target nucleotide sequence to permit formation of a polymerase chain reaction product, but have a mismatch which interferes with formation of such a polymerase chain reaction product when hybridized to any other nucleotide sequence present in the sample, and wherein the
- 15 polymerase chain reaction products in a particular set may be distinguished from other polymerase chain reaction products in the same group or other groups;
- providing a polymerase;
- blending the sample, the primary oligonucleotide primers, and the polymerase to form a primary polymerase chain reaction mixture;
- 20 subjecting the primary polymerase chain reaction mixture to two or more polymerase chain reaction cycles comprising a denaturation treatment, wherein hybridized nucleic acid sequences are separated, a hybridization treatment, wherein the target-specific portions of the primary oligonucleotide primers hybridize to the target nucleotide sequences, and an extension treatment,
- 25 wherein the hybridized primary oligonucleotide primers are extended to form primary extension products complementary to the target nucleotide sequence to which the primary oligonucleotide primer is hybridized;
- providing one or a plurality of secondary oligonucleotide primer sets, each set characterized by (a) a first secondary primer containing the

- 113 -

same sequence as the 5' upstream portion of a first primary oligonucleotide primer, and (b) a second secondary primer containing the same sequence as the 5' upstream portion of a second primary oligonucleotide primer from the same primary oligonucleotide primer set as the first primary oligonucleotide contained  
5 by the first secondary primer, wherein a set of secondary oligonucleotide primers may be used to amplify all of the primary extension products in a given group;

blending the primary extension products, the secondary oligonucleotide primers, and the polymerase to form a secondary polymerase chain reaction mixture;

10                   subjecting the secondary polymerase chain reaction mixture to two or more polymerase chain reaction cycles comprising a denaturation treatment, wherein hybridized nucleic acid sequences are separated, a hybridization treatment, wherein the secondary oligonucleotide primers hybridize to the primary extension products, an extension treatment, wherein the hybridized  
15 secondary oligonucleotide primers are extended to form secondary extension products complementary to the primary extension products;

                  providing a plurality of oligonucleotide probe sets, each set characterized by (a) a first oligonucleotide probe, having a secondary extension product-specific portion and a detectable reporter label, and (b) a second  
20 oligonucleotide probe, having a secondary extension product-specific portion, wherein the oligonucleotide probes in a particular set are suitable for ligation together when hybridized adjacent to one another on a complementary secondary extension product-specific portion, but have a mismatch which interferes with such ligation when hybridized to any other nucleotide sequence present in the sample;

25                   providing a ligase;

                  blending the secondary extension products, the plurality of oligonucleotide probe sets, and the ligase to form a ligase detection reaction mixture;

- 114 -

subjecting the ligase detection reaction mixture to one or more ligase detection reaction cycles comprising a denaturation treatment, wherein any hybridized oligonucleotides are separated from the secondary extension product, and a hybridization treatment, wherein the oligonucleotide probe sets  
5 hybridize at adjacent positions in a base-specific manner to their respective secondary extension products, if present, and ligate to one another to form a ligation product sequence containing (a) the detectable reporter label and (b) the secondary extension product-specific portions connected together, wherein the oligonucleotide probe sets may hybridize to nucleotide sequences other than their  
10 respective complementary secondary extension products but do not ligate together due to a presence of one or more mismatches and individually separate during the denaturation treatment; and

detecting the reporter labels of the ligation product sequences, thereby indicating the presence of two or more target nucleotide  
15 sequences in the sample.

30. A method according to claim 29, wherein the ligation product sequences of the oligonucleotide probes in a particular set have a unique length so that they can be distinguished from other nucleic acids in the ligase  
20 detection reaction mixture, said method further comprising:

separating the ligation product sequences by size or electrophoretic mobility and

distinguishing, after said detecting, the ligation product sequences which differ in size.

25

31. A method according to claim 29, wherein the second oligonucleotide probe of each set has an addressable array-specific portion, said method further comprising:

- 115 -

providing a solid support with different capture oligonucleotides immobilized at different particular sites, wherein the capture oligonucleotides have nucleotide sequences complementary to the addressable array-specific portions and

- 5                   contacting the ligase detection reaction mixture, after said  
subjecting it to one or more ligase detection reaction cycles, with the solid support  
under conditions effective to hybridize the ligation product sequences to the  
capture oligonucleotides in a base-specific manner, thereby capturing the  
addressable array-specific portions to the solid support at the site with the  
10   complementary capture oligonucleotide, wherein said detecting indicates the  
presence of ligation product sequences captured using the addressable array-  
specific portions and immobilized to the solid support at particular sites, thereby  
indicating the presence of one or more target nucleotide sequences in the sample.

- 15                   32.   A method according to claim 29, wherein the relative  
amounts of one or more of a plurality of sequences, differing by one or more  
single-base changes, insertions, deletions, or translocations and present in a sample  
in unknown amounts with one or more target nucleotide sequences being  
quantified, said method further comprising:

- 20                   quantifying, after said subjecting the secondary polymerase  
chain reaction mixture to one or more polymerase chain reaction cycles, the  
amounts of secondary extension products;

                    providing a known amount of one or more marker target  
nucleotide sequences;

- 25                   providing one or more sequence-specific probe sets,  
including probe sets specifically designed for the marker target nucleotide  
sequences;

- 116 -

blending the marker target nucleotide sequences, and the probe sets specifically designed for the marker target nucleotide sequences with ligase detection reaction mixture;

quantifying the amount of ligation product sequences; and

5 comparing the amount of ligation product sequences generated from the unknown sample with the amount of ligation product sequences generated from known amounts of marker target nucleotide sequences to provide a quantitative measure of the relative level of one or more target nucleotide sequences in the sample.

10

33. A method according to claim 32, wherein the second oligonucleotide probe has an addressable array-specific portion, said method further comprising:

15 providing a solid support with different capture oligonucleotides immobilized at different particular sites, wherein the capture oligonucleotides have nucleotide sequences complementary to the addressable array-specific portions;

20 contacting the ligase detection reaction mixture, after said subjecting it to one or more ligase detection reaction cycles, with the solid support under conditions effective to hybridize the ligation product sequences to the capture oligonucleotides in a base-specific manner, thereby capturing the addressable array-specific portions to the solid support at the site with the complementary capture oligonucleotide; and

25 quantifying the amount of ligation product sequences captured using the addressable array-specific portions and immobilized to the solid support at particular sites;

comparing the amount of captured ligation product sequences generated from the unknown sample with the amount of captured ligation product sequences generated from known amounts of marker target nucleotide sequences to

- 117 -

provide a quantitative measure of the relative level of two or more target nucleotide sequences in the sample.

34. A method according to claim 32, wherein the one or more  
5 marker target nucleotide sequences differ from the target nucleotide sequences at one or more single nucleotide positions.

35. A method according to claim 32, wherein the oligonucleotide  
probe sets form a plurality of oligonucleotide probe groups, each group comprised  
10 of one or more oligonucleotide probe sets designed for distinguishing multiple allele differences at a single nucleotide position, wherein, in the oligonucleotide probe sets of each group, there is a common first oligonucleotide probe, having a secondary extension product-specific portion and a detectable reporter label, and a second oligonucleotide probe, having a secondary extension product-specific  
15 portion which hybridizes to a given allele or marker nucleotide sequence in a base-specific manner, each second oligonucleotide probe having a different length and, wherein the ligation product sequences of oligonucleotide probes in a particular set generate a unique length product, said method further comprising:

separating the ligation product sequences by size or  
20 electrophoretic mobility and

distinguishing the ligation product sequences which differ in size.

36. A method according to claim 32, wherein the oligonucleotide  
25 probe sets form a plurality of oligonucleotide probe groups, each group comprised of one or more oligonucleotide probe sets designed for distinguishing multiple allele differences at a single nucleotide position, wherein, in the oligonucleotide probe sets of each group, there is a common first oligonucleotide probe, having a secondary extension product-specific portion, and a second oligonucleotide probe,

having a secondary extension product-specific portion which hybridizes to a given allele or marker nucleotide sequence in a base-specific manner, each second oligonucleotide probe having a different detectable reporter label and wherein the ligation product sequences of oligonucleotide probes in a particular set generate a  
5 unique length product, said method further comprising:

separating the ligation product sequences by size or electrophoretic mobility and

distinguishing the ligation product sequences which differ in size.

10

37. A method according to claim 33, wherein the oligonucleotide probe sets form a plurality of oligonucleotide probe groups, each group comprised of one or more oligonucleotide probe sets designed for distinguishing multiple allele differences at a single nucleotide position, wherein, in the oligonucleotide  
15 probe sets of each group, there is a common first oligonucleotide probe, having a secondary extension product-specific portion and a detectable reporter label and a second oligonucleotide probe having a secondary extension product-specific portion which hybridizes to a given allele or a marker nucleotide sequence in a base-specific manner, each second oligonucleotide probe each having different  
20 addressable array-specific portions.

38. A method according to claim 33, wherein the oligonucleotide probe sets form a plurality of oligonucleotide probe groups, each group comprised of one or more oligonucleotide probe sets designed for distinguishing multiple  
25 allele differences at a single nucleotide position, wherein, in the oligonucleotide probe set of each group, there is a common first oligonucleotide probe, having a secondary extension product-specific portion and an addressable array-specific portion, and a second oligonucleotide probe, having a secondary extension product-specific portion which hybridizes to a given allele or a marker nucleotide



- 119 -

sequence in a base-specific manner, each second oligonucleotide probe having different detectable reporter label.

39. A method according to claim 29, wherein multiple allele  
5 differences at two or more nearby or adjacent nucleotide positions in a single target nucleotide sequence or multiple allele differences at two or more nearby or adjacent nucleotide positions in multiple target nucleotide sequences are distinguished with oligonucleotide probe sets having secondary extension-specific portions which may overlap.

10

40. A method according to claim 29, wherein a low abundance  
of multiple allele differences at multiple nearby or adjacent positions in a single target nucleotide sequence in the presence of an excess of normal sequence, or a low abundance of multiple allele differences at multiple nearby positions in  
15 multiple target nucleotide sequences in the presence of an excess of normal sequence in a sample are distinguished, the oligonucleotide probe set forming a plurality of oligonucleotide probe groups, each group comprised of one or more oligonucleotide probe sets designed for distinguishing multiple allele differences at a single nucleotide position, wherein one or more sets within a group share  
20 common first oligonucleotide probes, and the second oligonucleotide probes have secondary extension product-specific portions, which hybridize to a given allele excluding the normal allele in a base-specific manner, wherein, in said detecting, the labels of ligation product sequences are detected, thereby indicating a presence, in the sample, of one or more low abundance alleles at one or more nucleotide  
25 positions in one or more target nucleotide sequences.

41. A method according to claim 29, wherein a low abundance  
of multiple allele differences at multiple nearby or adjacent positions in a single target nucleotide sequence, in the presence of an excess of normal sequence, or a

- 120 -

low abundance of multiple allele differences at multiple nearby positions in multiple target nucleotide sequences, in the presence of an excess of normal sequence, in the sample in unknown amounts are quantified, said method further comprising:

- 5                               providing a known amount of one or more marker target nucleotide sequences;
  - providing one or more marker-specific oligonucleotide probe sets, each set characterized by (a) a first oligonucleotide probe having a target-specific portion complementary to a marker target nucleotide sequence and (b) a
  - 10   second oligonucleotide probe, having a target-specific portion complementary to a marker target nucleotide sequence and a detectable reporter label, wherein the oligonucleotide probes in a particular marker-specific oligonucleotide set are suitable for ligation together when hybridized adjacent to one another on a corresponding marker target nucleotide sequence, but, when hybridized to any
  - 15   other nucleotide sequence present in the sample or added marker sequences, have a mismatch which interferes with such ligation, wherein said plurality of oligonucleotide probe sets and said plurality of marker-specific oligonucleotide probe sets form oligonucleotide probe groups for distinguishing multiple allele differences at a single nucleotide position, including marker nucleotide sequences, wherein one or more sets within a group share a common first oligonucleotide
  - 20   probe and a second oligonucleotide probe, having a secondary extension product-specific portion, which hybridizes to a given allele excluding the normal allele in a base-specific manner, wherein said blending to form the ligase detection reaction mixture comprises blending the marker target nucleotide sequences and the probe
  - 25   sets specifically designed for the marker target nucleotide sequences with the ligase detection reaction mixture;
    - quantifying the amount of ligation product sequences; and
    - comparing the amount of ligation product sequences
- generated from the low abundance unknown sample with the amount of ligation

product sequences generated from known amounts of marker target nucleotide sequences, to provide a quantitative measure of the level of one or more low abundance target nucleotide sequences in the sample.

5                   42. A method according to claim 29, wherein each of the denaturation treatments is at a temperature of about 80°-105°C.

                  43. A method according to claim 29, wherein each cycle of the ligase detection reaction, comprising a denaturation treatment and a hybridization  
10 treatment, is from about 30 seconds to about five minutes long.

                  44. A method according to claim 29, wherein said subjecting the ligase detection reaction mixture to one or more ligase detection reaction cycles is repeated for 2 to 50 cycles.

15                   45. A method according to claim 29, wherein the total time for said subjecting the ligase detection reaction mixture to one or more ligase detection reaction cycles is 1 to 250 minutes.

20                   46. A method according to claim 29, wherein the ligase is selected from the group consisting of *Thermus aquaticus* ligase, *Thermus thermophilus* ligase, *E. coli* ligase, T4 ligase, and *Pyrococcus* ligase.

                  47. A method according to claim 29, wherein the detectable  
25 reporter label is selected from the group consisting of chromophores, fluorescent moieties, enzymes, antigens, heavy metals, magnetic probes, dyes, phosphorescent groups, radioactive materials, chemiluminescent moieties, and electrochemical detecting moieties.

48. A method according to claim 29, wherein the target-specific portions of the oligonucleotide probes each have a hybridization temperature of 50-85°C.

5 49. A method according to claim 29, wherein the target-specific portions of the oligonucleotide probes are 20 to 28 nucleotides long.

50. A method according to claim 29, wherein the oligonucleotide probe sets are selected from the group consisting of ribonucleotides,  
10 deoxyribonucleotides, modified ribonucleotides, modified deoxyribonucleotides, modified phosphate-sugar backbone oligonucleotides, nucleotide analogues, and mixtures thereof.

51. A method for identifying two or more of a plurality of  
15 sequences differing by one or more single-base changes, insertions, deletions, or translocations in a plurality of target nucleotide sequences comprising:  
providing a sample potentially containing one or more target nucleotide sequences with a plurality of sequence differences;  
providing one or more primary oligonucleotide primer  
20 groups, each group comprised of one or more primary oligonucleotide primer sets, each set characterized by (a) a first oligonucleotide primer, having a target-specific portion and a 5' upstream secondary primer-specific portion, and (b) a second oligonucleotide primer, having a target-specific portion and a 5' upstream secondary primer-specific portion, wherein the first oligonucleotide primers of  
25 each set in the same group contain the same 5' upstream secondary primer-specific portion and the second oligonucleotide primers of each set in the same group contain the same 5' upstream secondary primer-specific portion, wherein the oligonucleotide primers in a particular set are suitable for hybridization on complementary strands of a corresponding target nucleotide sequence to permit

- 123 -

- formation of a polymerase chain reaction product, but have a mismatch which interferes with formation of such a polymerase chain reaction product when hybridized to any other nucleotide sequence present in the sample, and wherein the polymerase chain reaction products in a particular set may be distinguished from
- 5 other polymerase chain reaction products in the same group or other groups;
- providing a polymerase;
- blending the sample, the primary oligonucleotide primers, and the polymerase to form a primary polymerase chain reaction mixture;
- subjecting the primary polymerase chain reaction mixture to
- 10 two or more polymerase chain reaction cycles comprising a denaturation treatment, wherein hybridized nucleic acid sequences are separated, a hybridization treatment, wherein the target-specific portion of the primary oligonucleotide primers hybridize to the target nucleotide sequences, and an extension treatment, wherein the hybridized primary oligonucleotide primers are extended to form
- 15 primary extension products complementary to the target nucleotide sequence to which the primary oligonucleotide primer is hybridized;
- providing one or a plurality of secondary oligonucleotide primer sets, each set characterized by (a) a first secondary primer, having a detectable reporter label and containing the same sequence as the 5' upstream
- 20 portion of a first primary oligonucleotide primer, and (b) a second secondary primer containing the same sequence as the 5' upstream portion of a second primary oligonucleotide primer from the same primary oligonucleotide primer set as the first primary oligonucleotide complementary to the first secondary primer, wherein a set of secondary oligonucleotide primers amplify the primary extension
- 25 products in a given group;
- blending the primary extension products, the secondary oligonucleotide primers, and the polymerase to form a secondary polymerase chain reaction mixture;

subjecting the secondary polymerase chain reaction mixture to two or more polymerase chain reaction cycles comprising a denaturation treatment, wherein hybridized nucleic sequences are separated, a hybridization treatment, wherein the secondary oligonucleotide primers hybridize to the primary extension products, an extension treatment, wherein the hybridized secondary oligonucleotide primers are extended to form secondary extension products complementary to the primary extension product; and

detecting the labeled secondary extension products, thereby indicating the presence of one or more target nucleotide sequences in the sample.

10

52. A method according to claim 51, wherein the polymerase chain reaction secondary oligonucleotide primers in a particular set produce secondary extension products of unique length so that they may be distinguished from other nucleic acids in the secondary polymerase chain reaction mixture, said method further comprising:

15

separating the extension products by size or electrophoretic mobility and

distinguishing the secondary extension products which differ in size.

20

53. A method according to claim 51, wherein the secondary extension products contain unique sequences so that they may be distinguished from other secondary extension products, said method further comprising:

25

providing a solid support with different capture oligonucleotides immobilized at different particular sites, wherein the capture oligonucleotides have nucleotide sequences complementary to the unique nucleotide sequences within the secondary extension products;

contacting the secondary polymerase chain reaction mixture, after said subjecting it to one or more polymerase chain reaction cycles, with the solid support under conditions effective to hybridize the secondary extension

- 125 -

products to the capture oligonucleotides in a base-specific manner, thereby capturing the secondary extension products to the solid support at the site with complementary capture oligonucleotides, wherein said detecting indicates the presence of secondary extension products captured using the unique nucleotide sequence portions within the secondary extension products and immobilized to the solid support at particular sites, thereby indicating the presence of one or more target nucleotide sequences in the sample.

54. A method according to claim 51, wherein the primary oligonucleotide primer sets in the same group contain either the same 5' upstream primer-specific portion on the first oligonucleotide primers or the same 5' upstream primer-specific portion on the second oligonucleotide primers and, the secondary oligonucleotide primer sets form one or a plurality of oligonucleotide primer groups, each group comprised of one or more oligonucleotide primer sets, wherein one secondary oligonucleotide primer has a detectable reporter label and the other secondary oligonucleotide primer contains an addressable array-specific portion linked to the 5' end of that primer which remains single stranded after said subjecting the secondary polymerase chain reaction mixture to one or more polymerase chain reaction cycles, the oligonucleotide primer sets in the same group contain either the same first secondary oligonucleotide primer or the same second secondary oligonucleotide primer, wherein a group of secondary oligonucleotide primers may be used to amplify all the primary extension products in a given groups, said method further comprising:

providing a solid support with different capture oligonucleotides immobilized at different particular sites, wherein the capture oligonucleotides have nucleotide sequences complementary to the addressable array-specific portions;

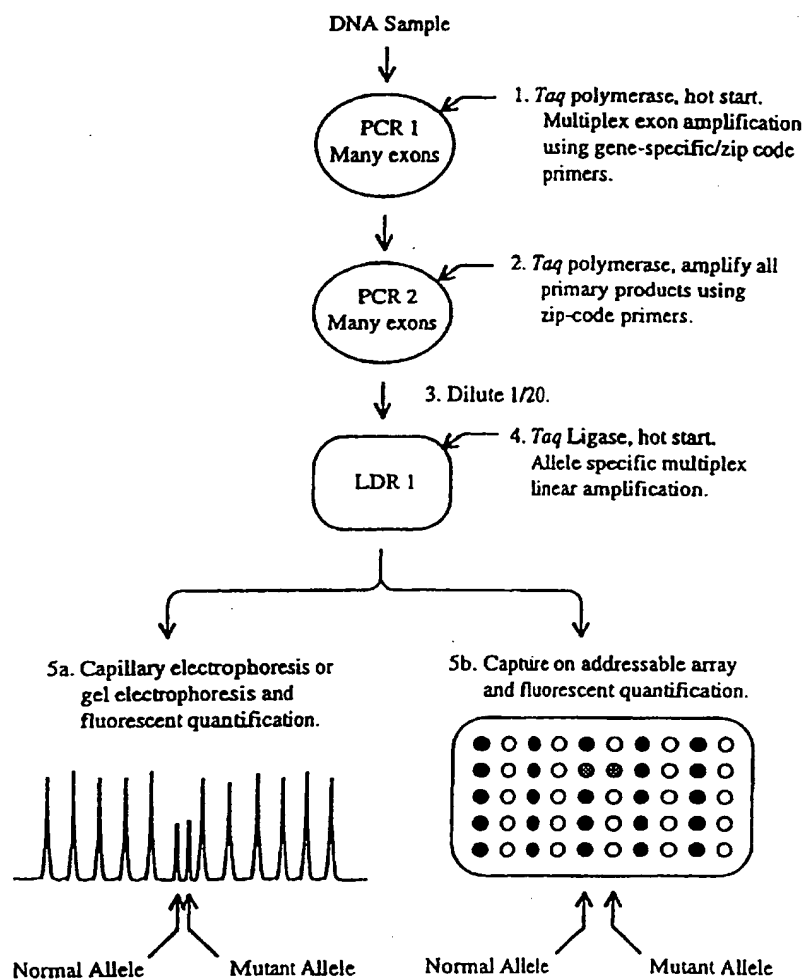
contacting the secondary polymerase chain reaction mixture, after said subjecting it to one or more polymerase chain reaction cycles, with the

- 126 -

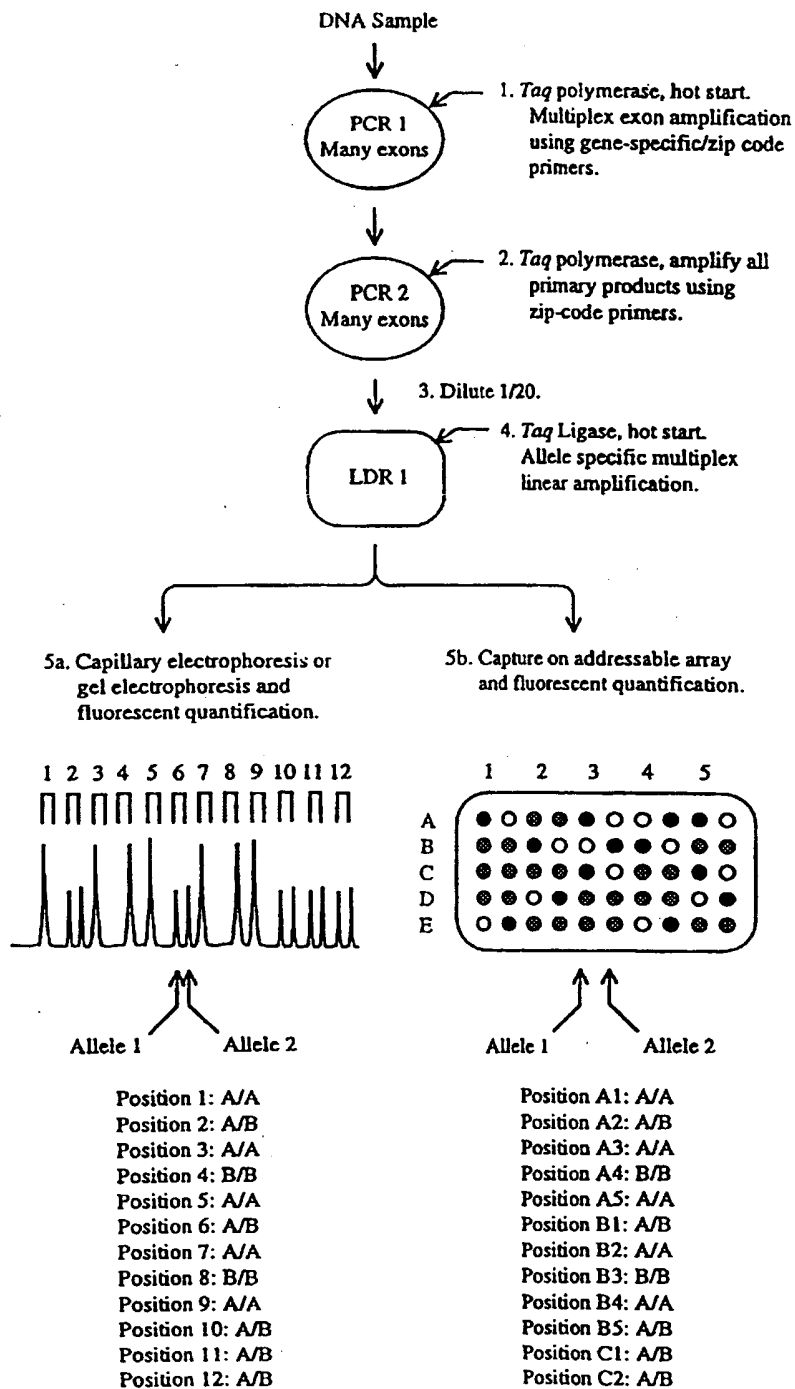
solid support under conditions effective to hybridize the secondary extension products to the capture oligonucleotides in a base-specific manner, thereby capturing the addressable array-specific portions to the solid support at the site with the complementary capture oligonucleotide, wherein said detecting indicates  
5 the presence of extension products captured using the addressable array-specific portions and immobilized to the solid support at particular sites, thereby indicating the presence of one or more target nucleotide sequences in the sample.



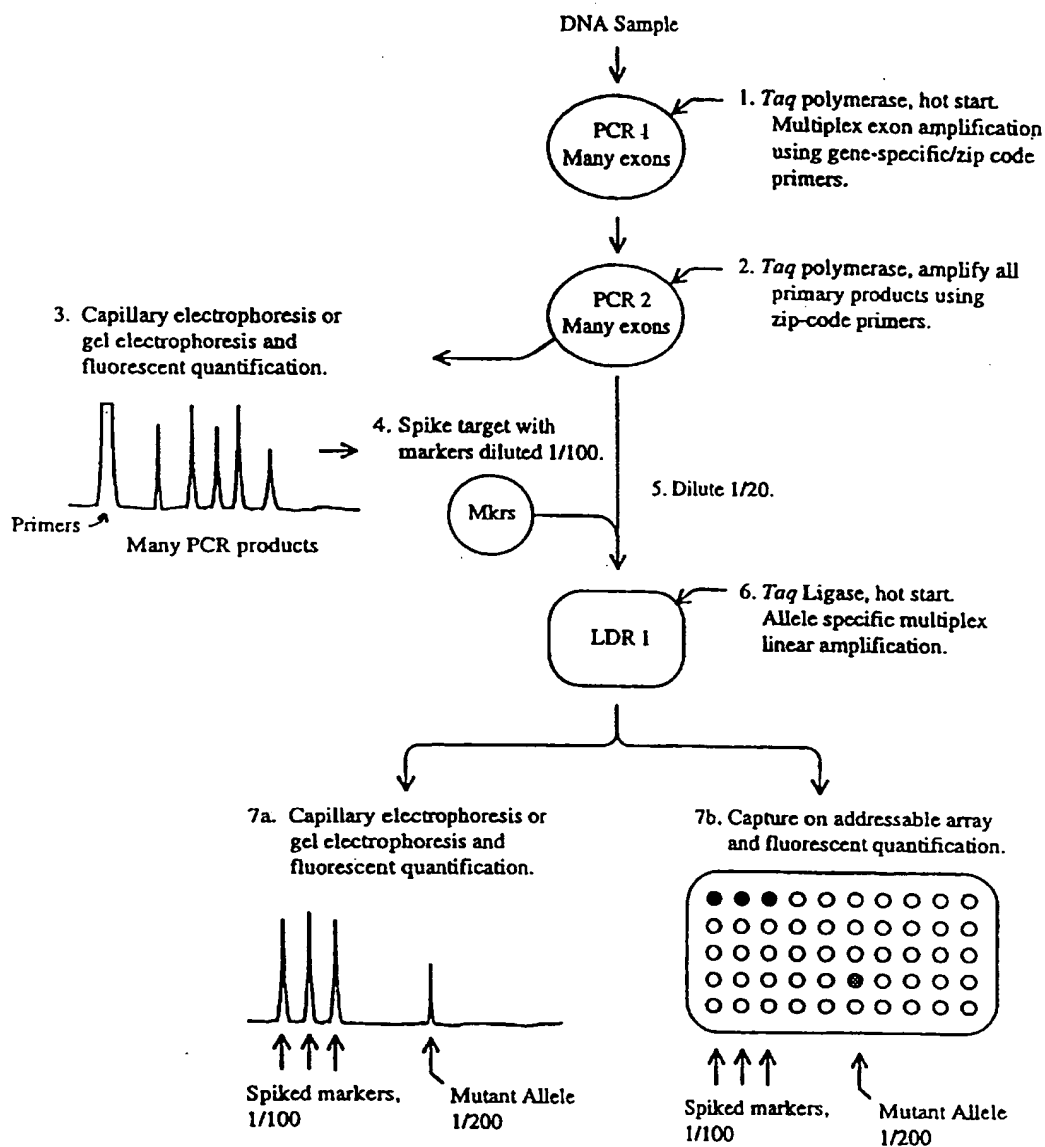
1/29

**FIG. 1**

2/29

**FIG. 2**

3/29

**FIG. 3**

4/29

PCR/ PCR/ LDR

1. PCR amplify regions containing allelic variations using gene-specific/zip code primers, dNTPs and Taq polymerase. ♦
2. PCR amplify all primary products using zip code primers, dNTPs and Taq polymerase.
3. Perform LDR using allele-specific LDR primers and thermostable ligase. ●  
Allele-specific oligonucleotides ligate to common oligonucleotides only when there is perfect complementarity at the junction.
4. Separate fluorescent products on a DNA sequencer and quantify each allele.

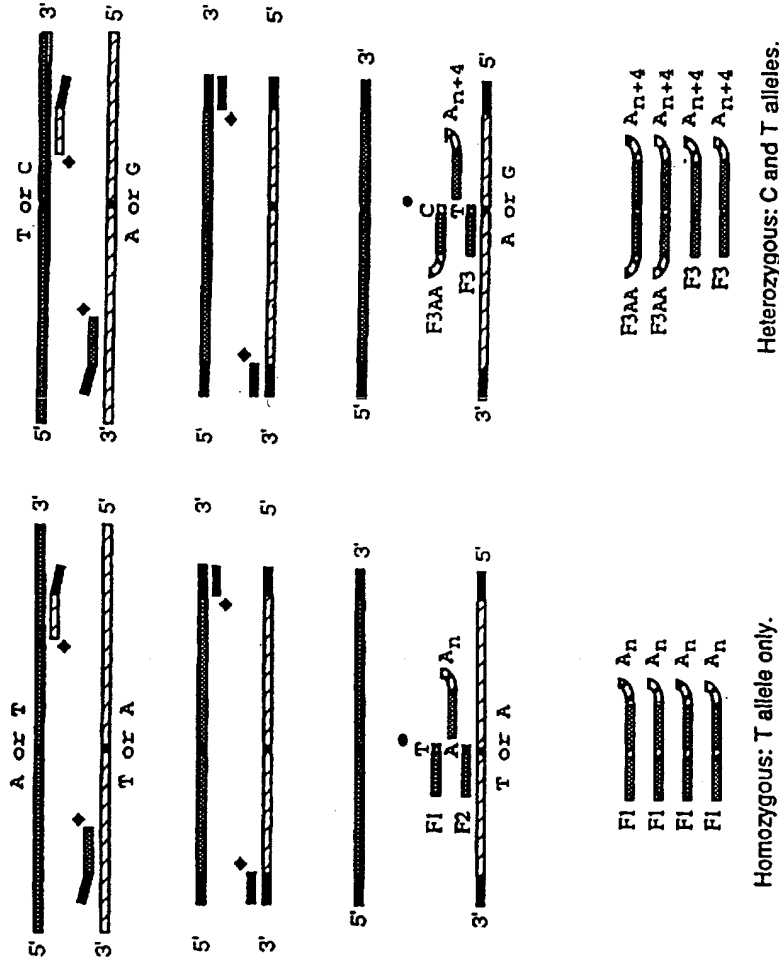


FIG. 4

5/29

PCR/PCR/LDR

1. PCR amplify regions containing allelic variations using gene-specific/zip code primers, dNTPs and *Taq* polymerase. ♦
2. PCR amplify all primary products using zip code primers, dNTPs and *Taq* polymerase.
3. Perform LDR using allele-specific LDR primers and thermostable ligase. ●  
Allele-specific oligonucleotides ligate to common oligonucleotides only when there is perfect complementarity at the junction.
4. Separate fluorescent products on a DNA sequencer and quantify each allele.

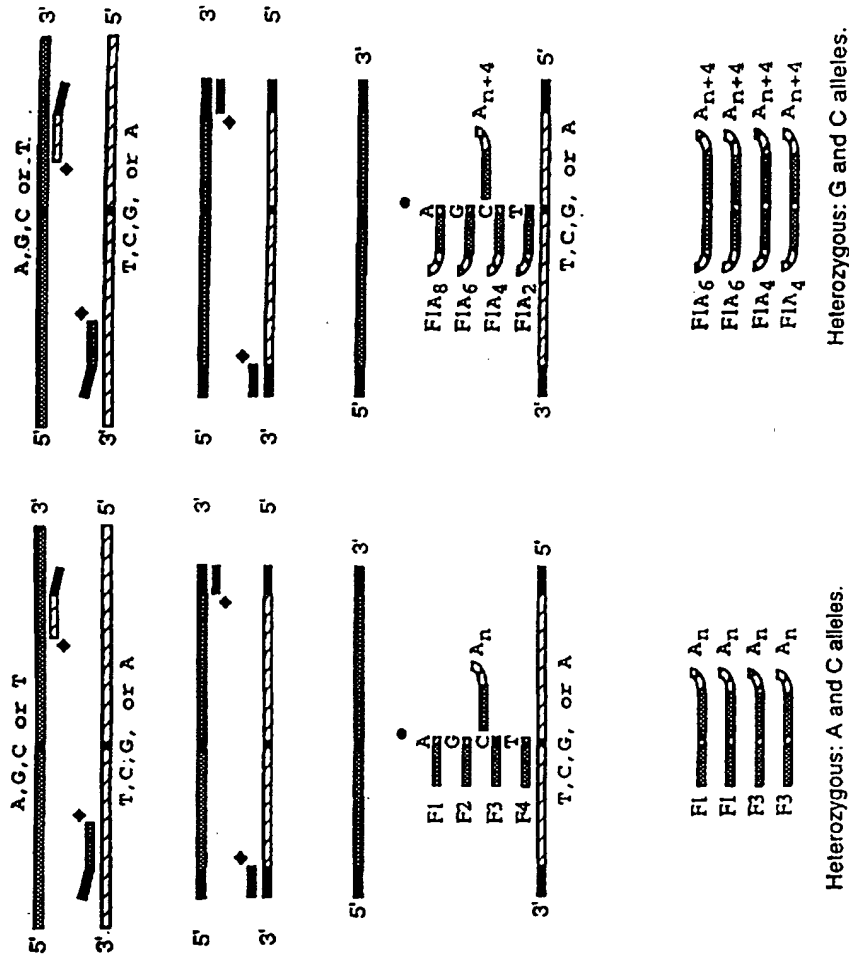


FIG. 5

6/29

PCR/ PCR/ LDR : Nearby alleles

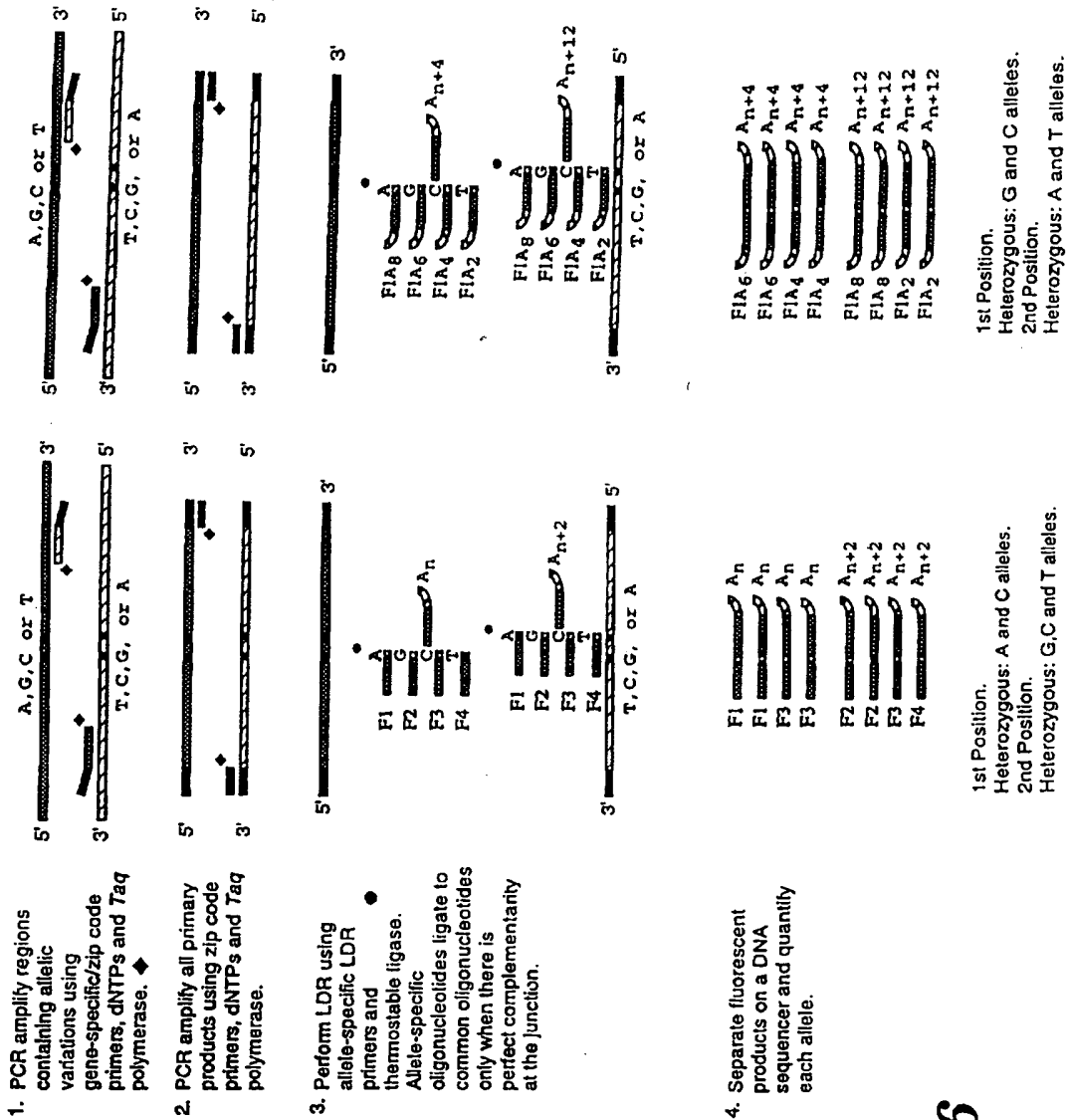


FIG. 6

7/29

# PCR/PCR/LDR : Adjacent alleles, cancer detection

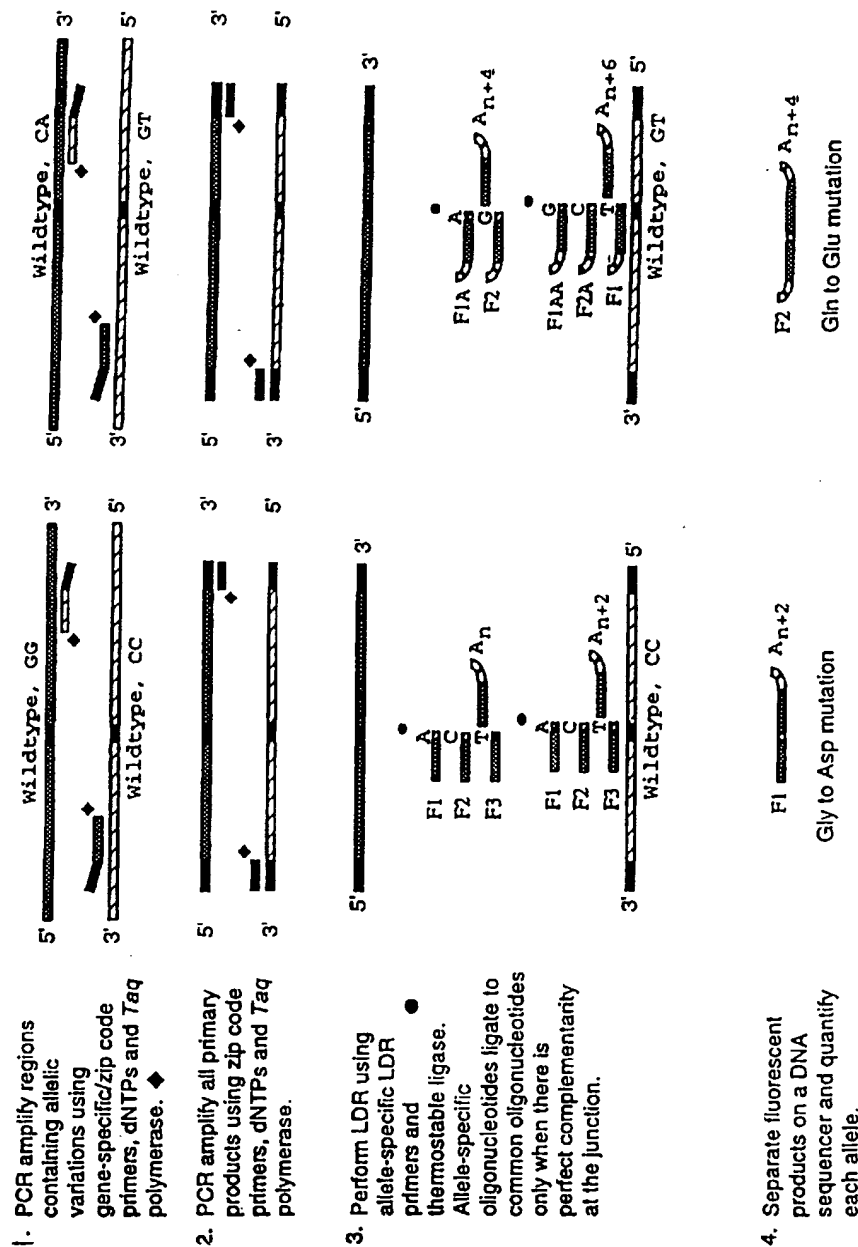
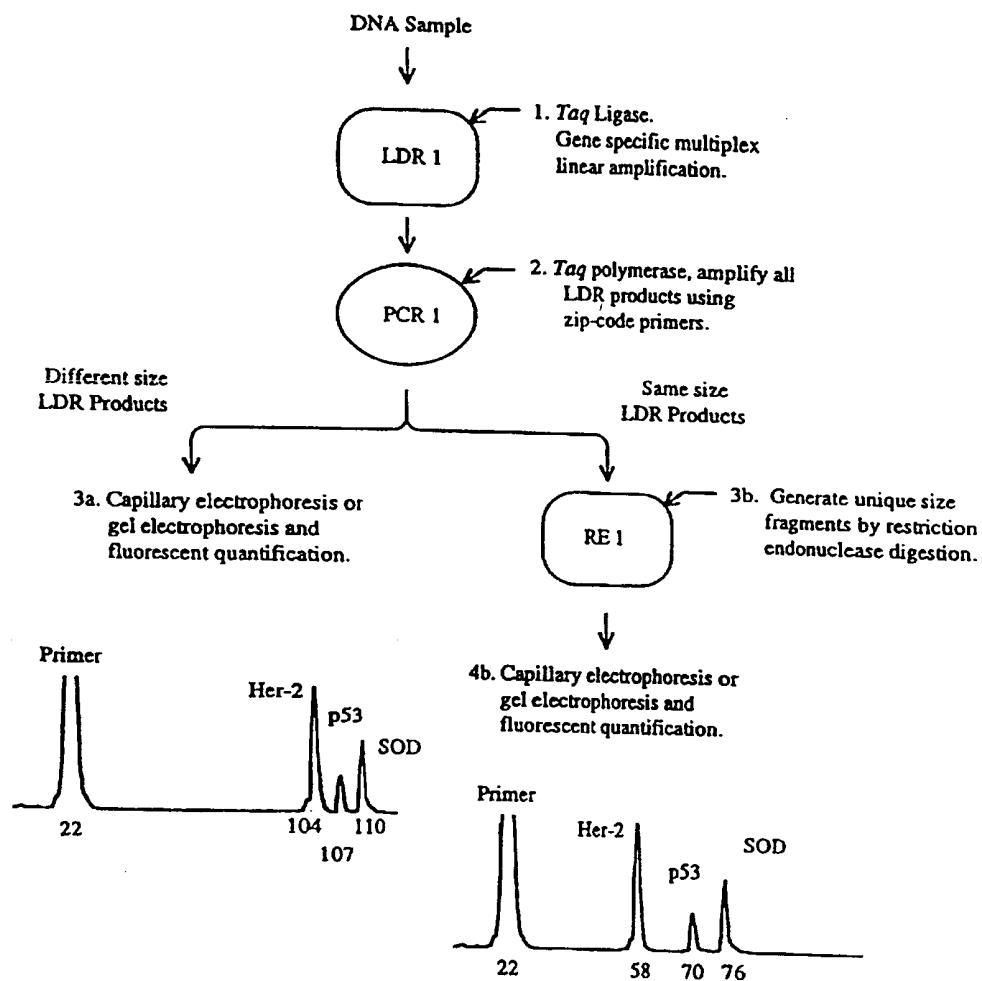


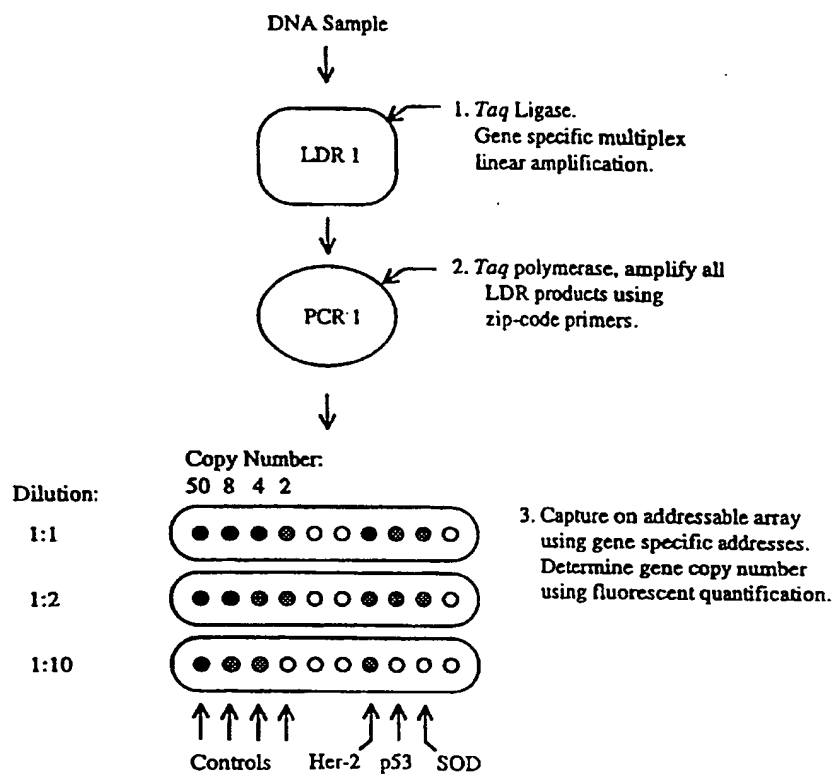
FIG. 7

8/29

**FIG. 8**



9/29

**FIG. 9**

10/29

## LDR / PCR: Multiplex detection of gene amplifications and deletions

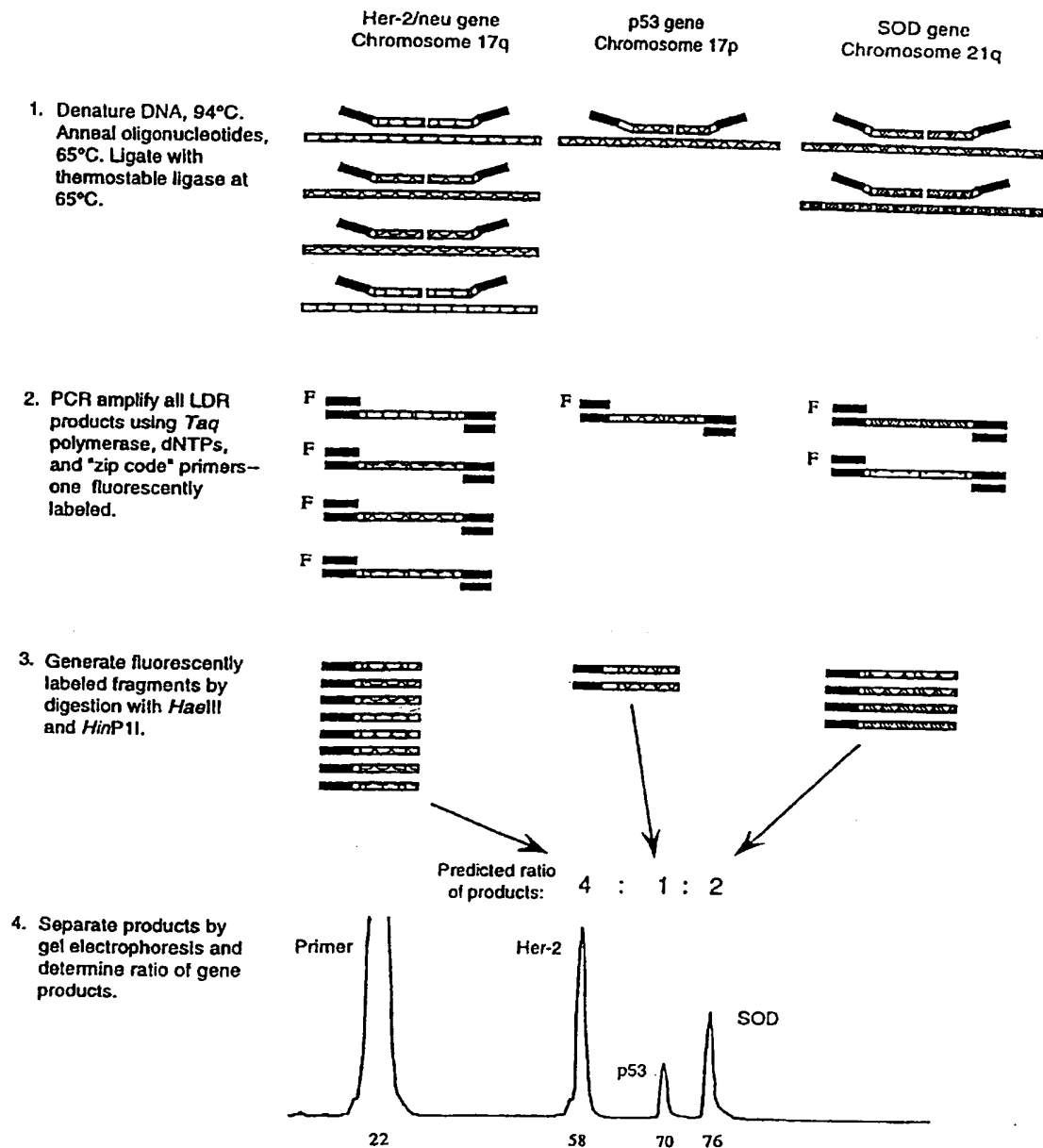


FIG. 10

11/29

## Allele specific LDR / PCR Problem

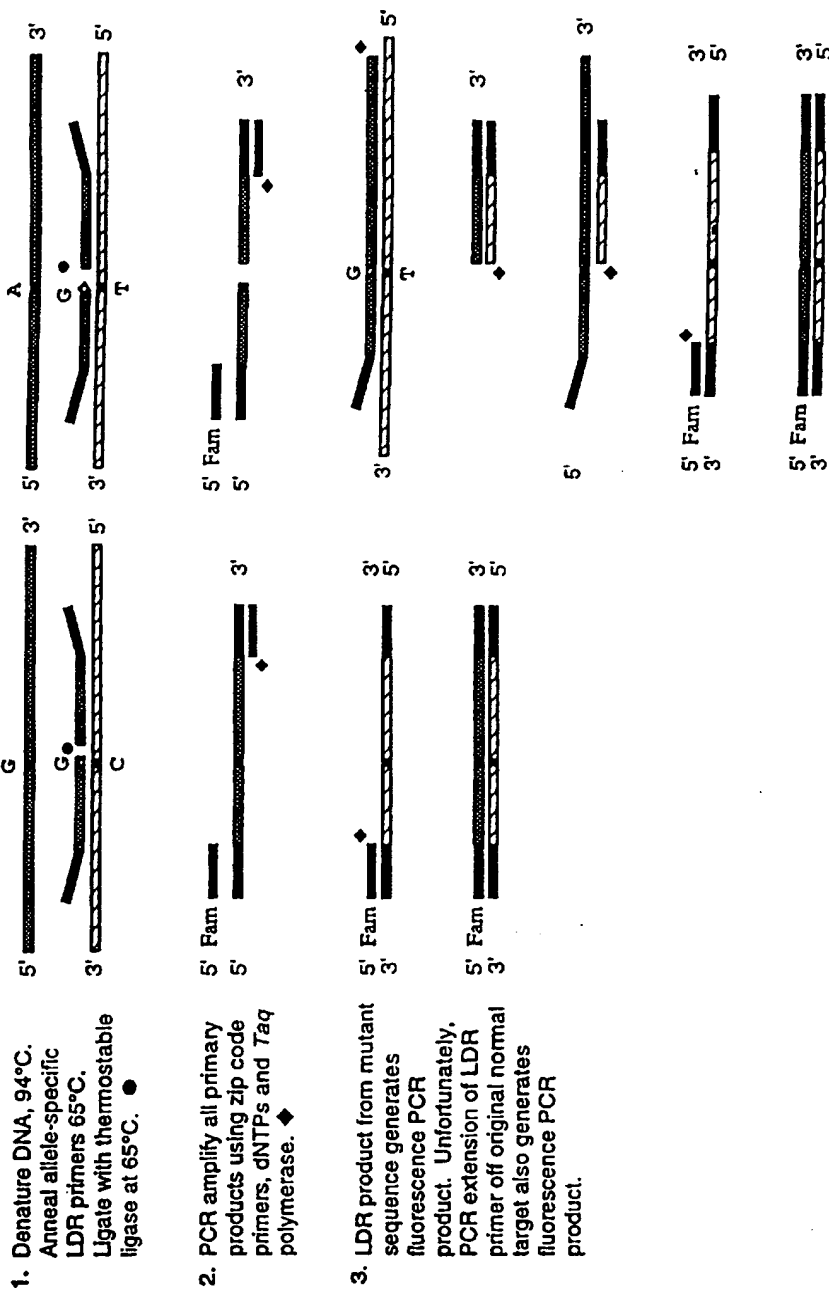


FIG. 11

12/29

# Solution to allele specific LDR / PCR problem

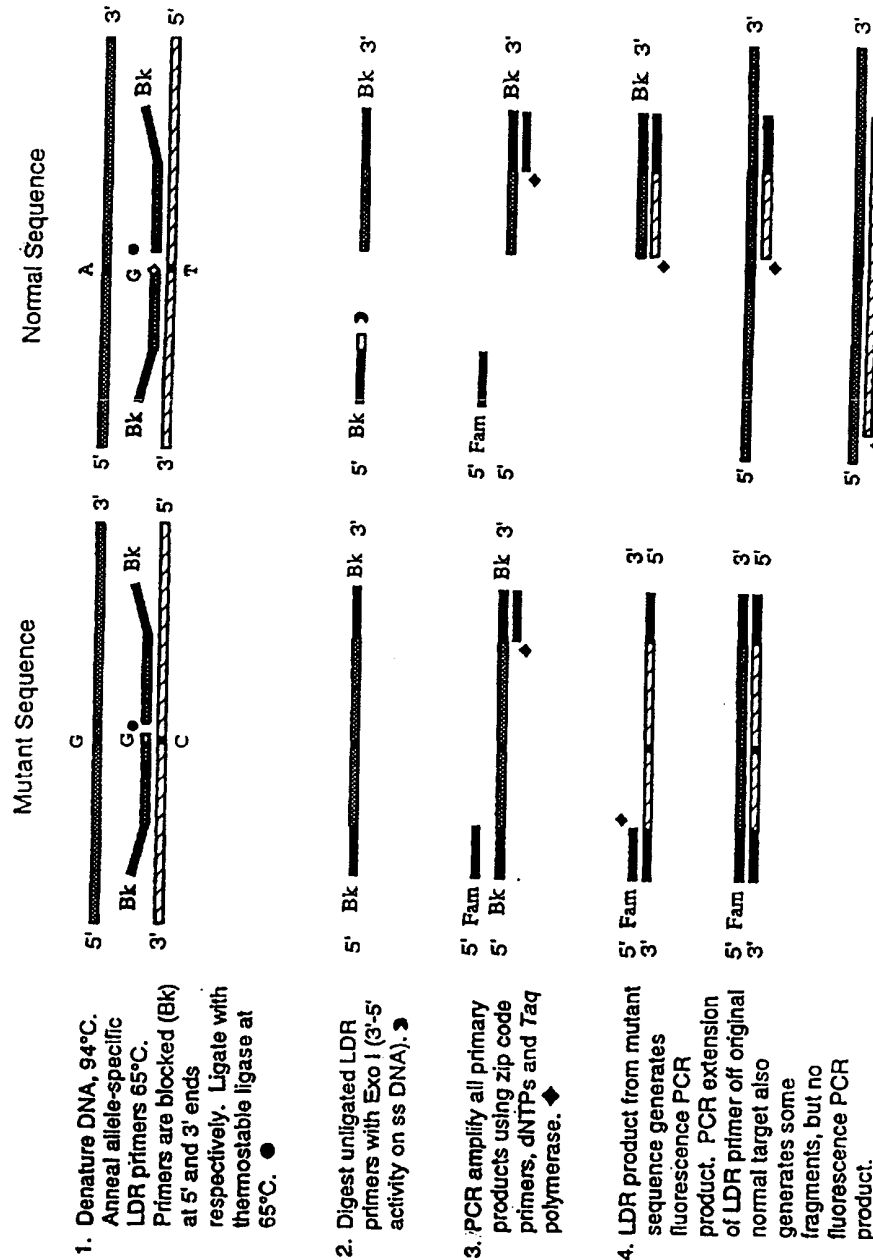
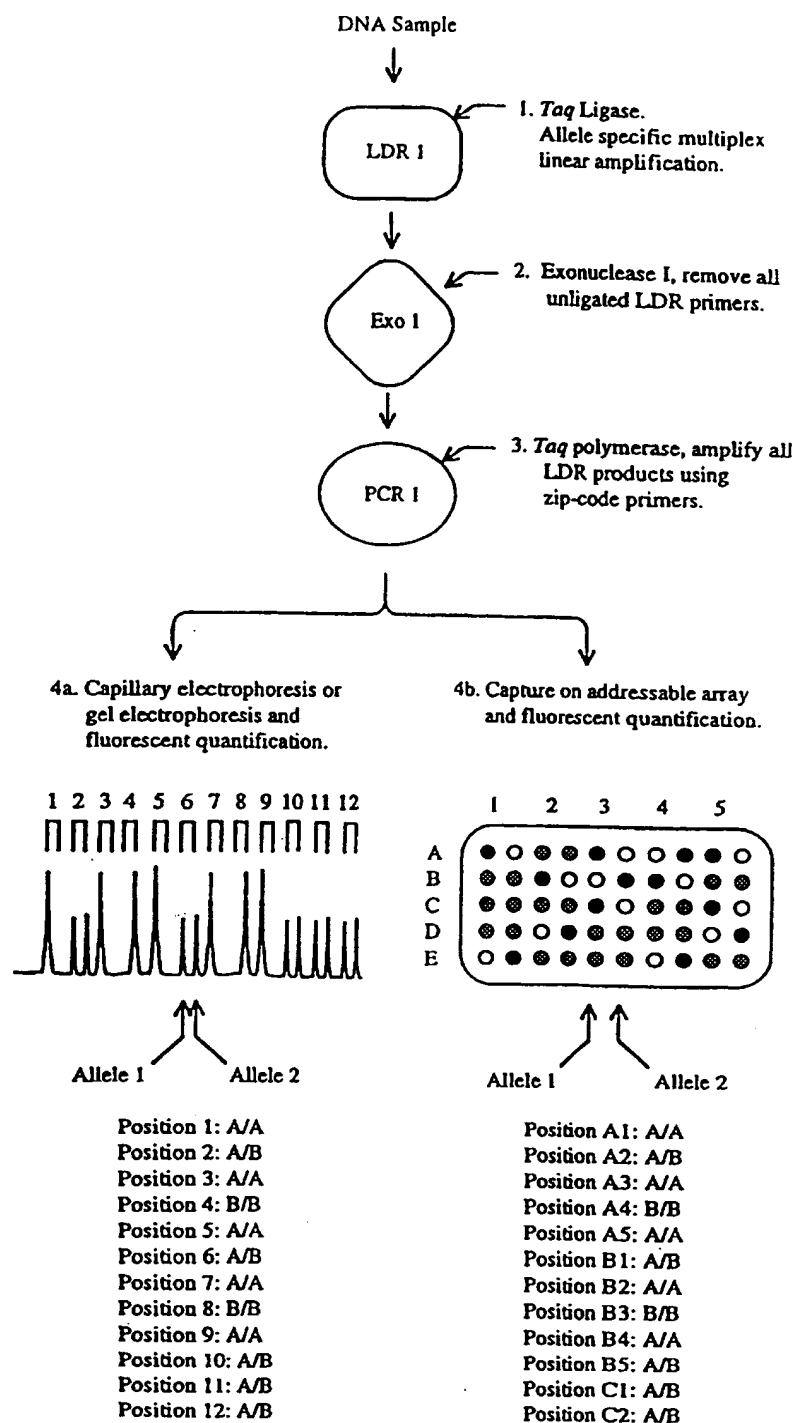
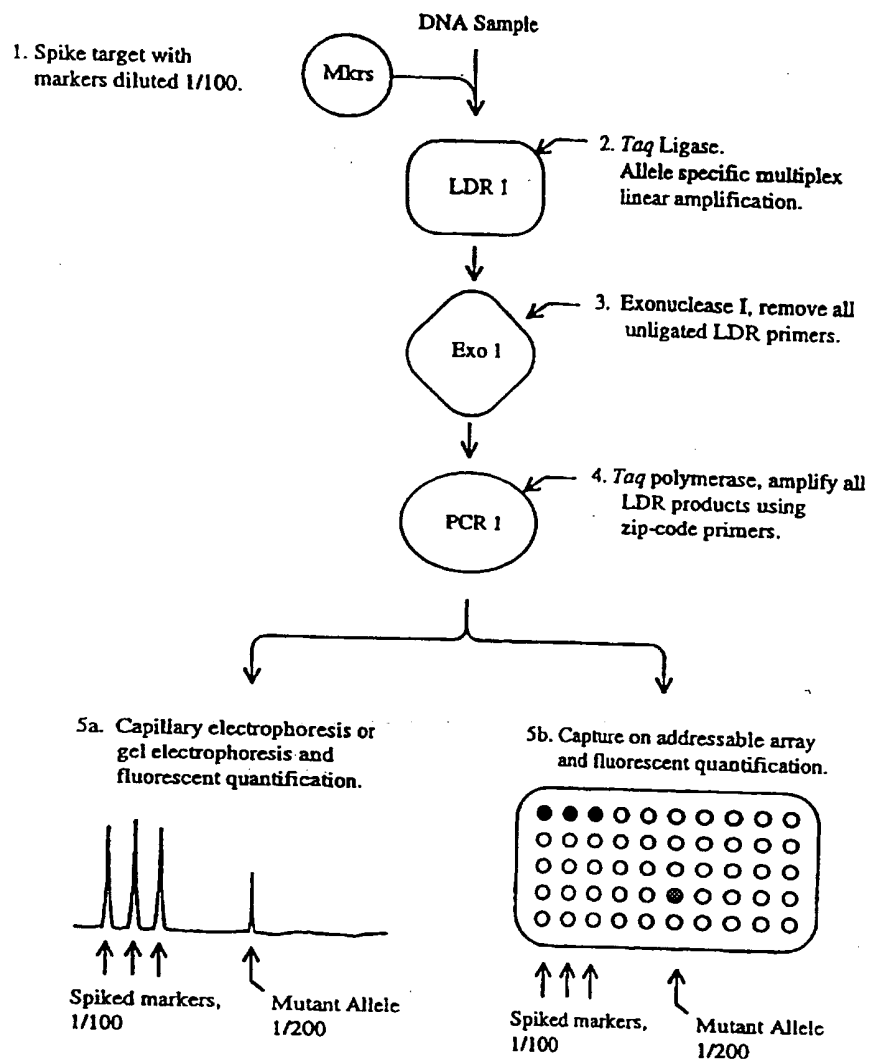


FIG. 12

13/29

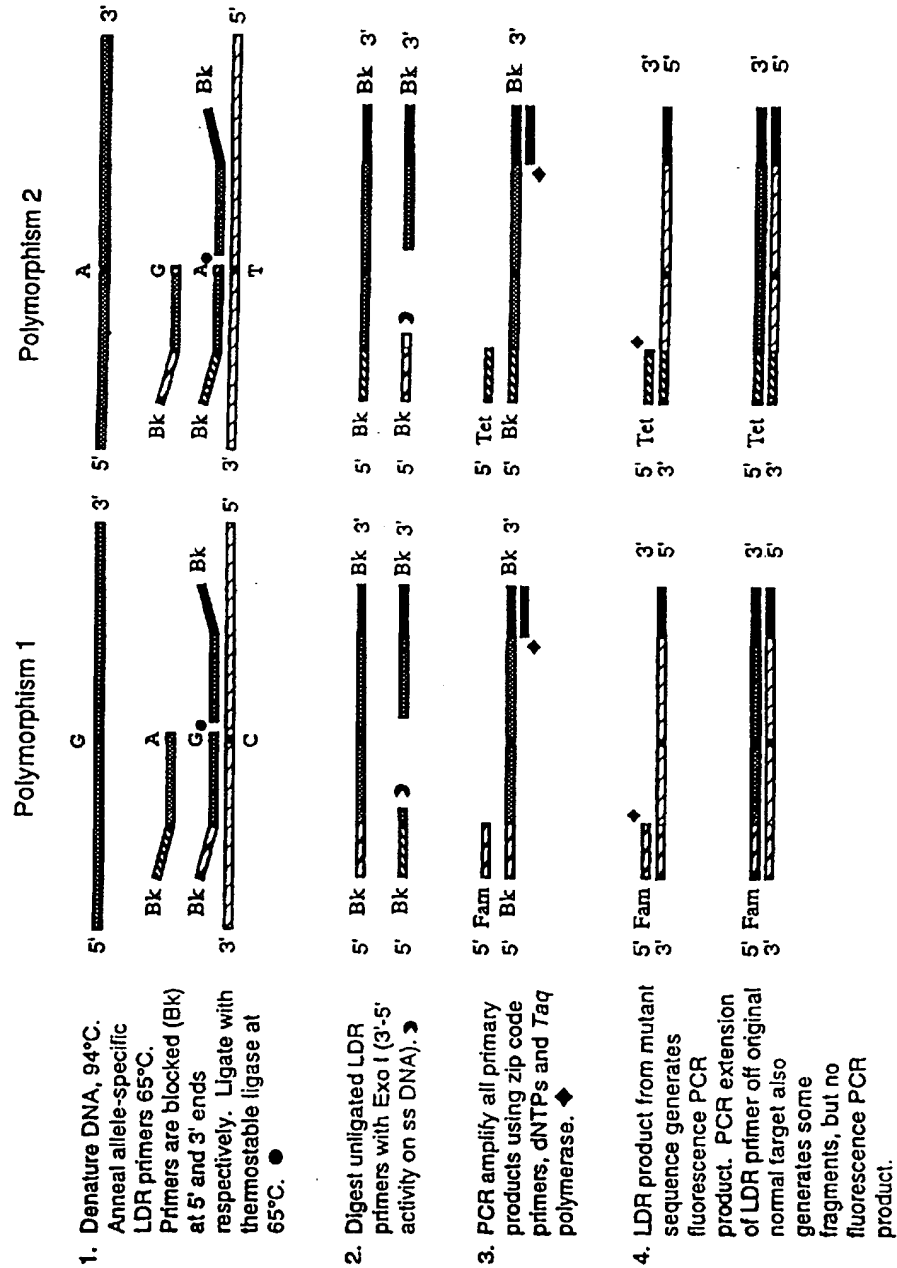
**FIG. 13**

14/29

**FIG. 14**

15/29

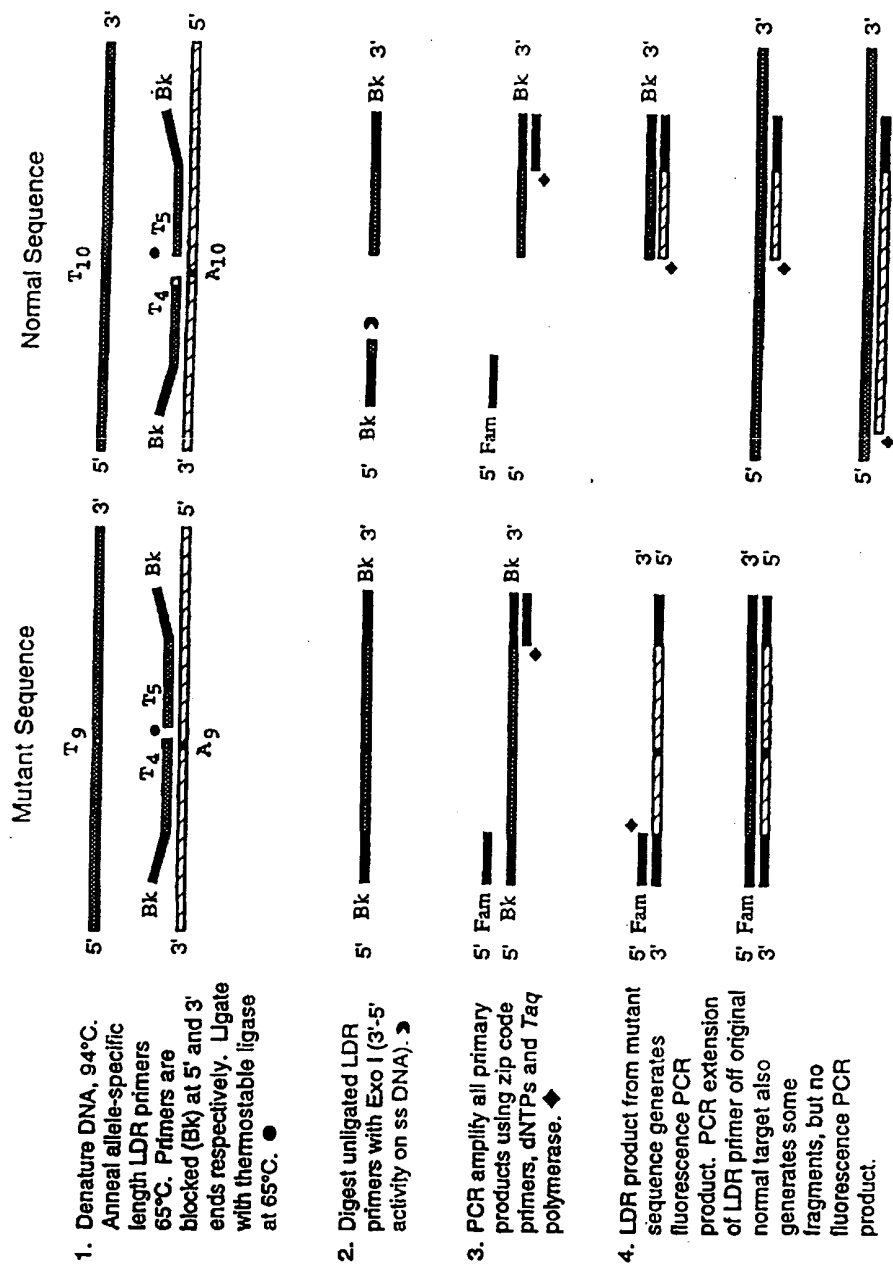
# Allele specific LDR / PCR for mutations or polymorphisms



**FIG. 15**

16/29

# LDR / PCR of mononucleotide repeats using exonuclease selection

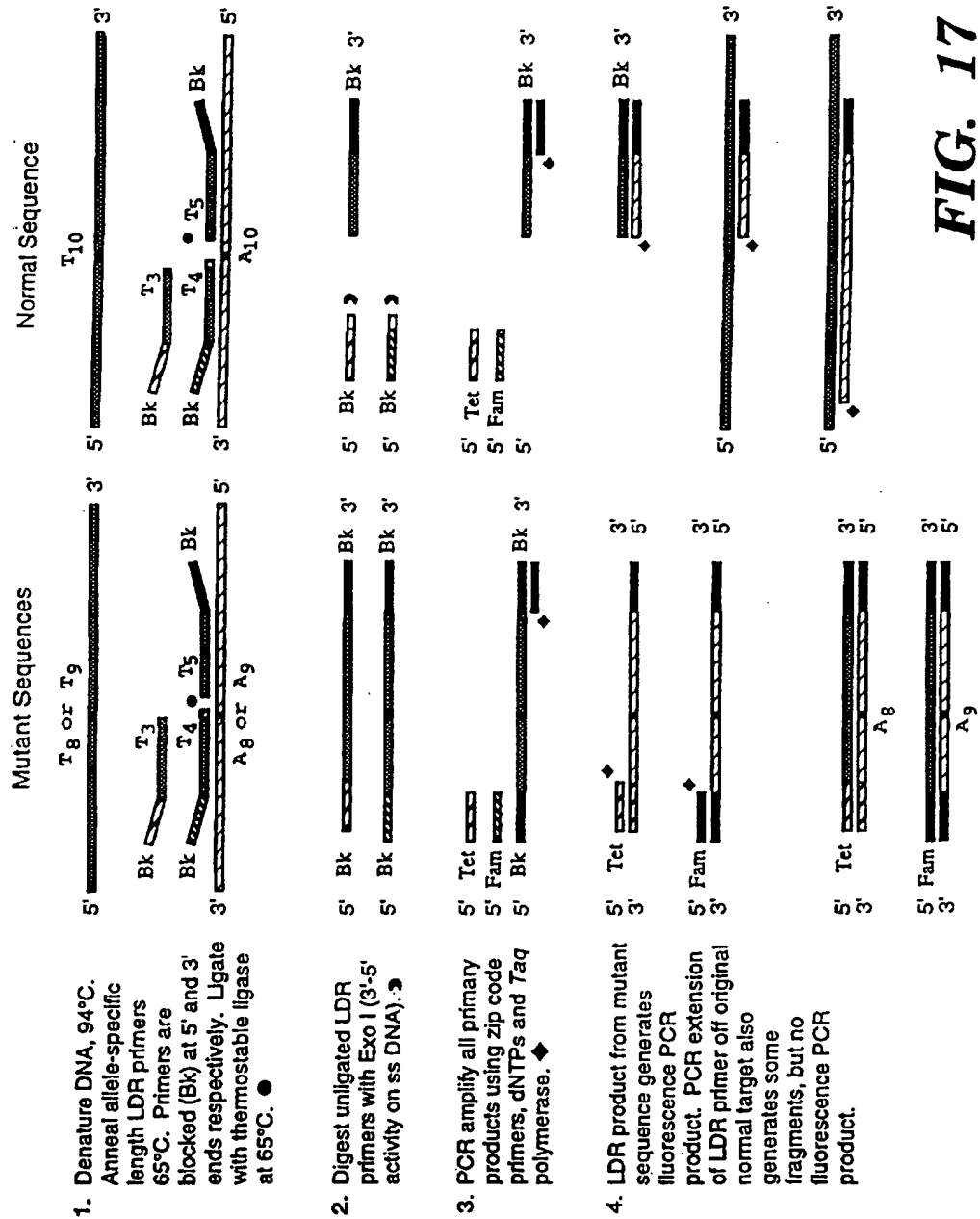


**FIG. 16**

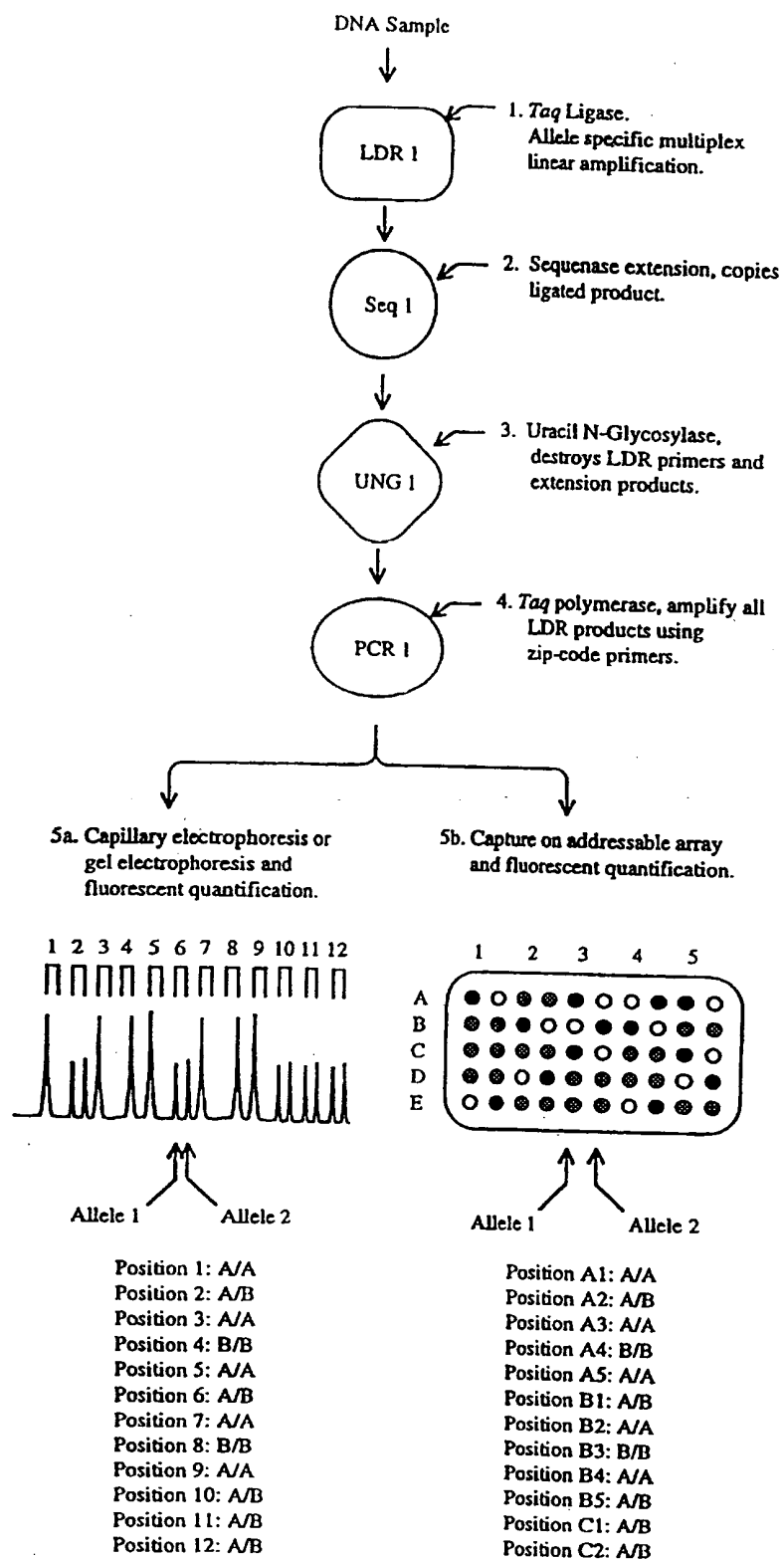


17/29

# LDR / PCR of mononucleotide repeat polymorphisms using exonuclease selection

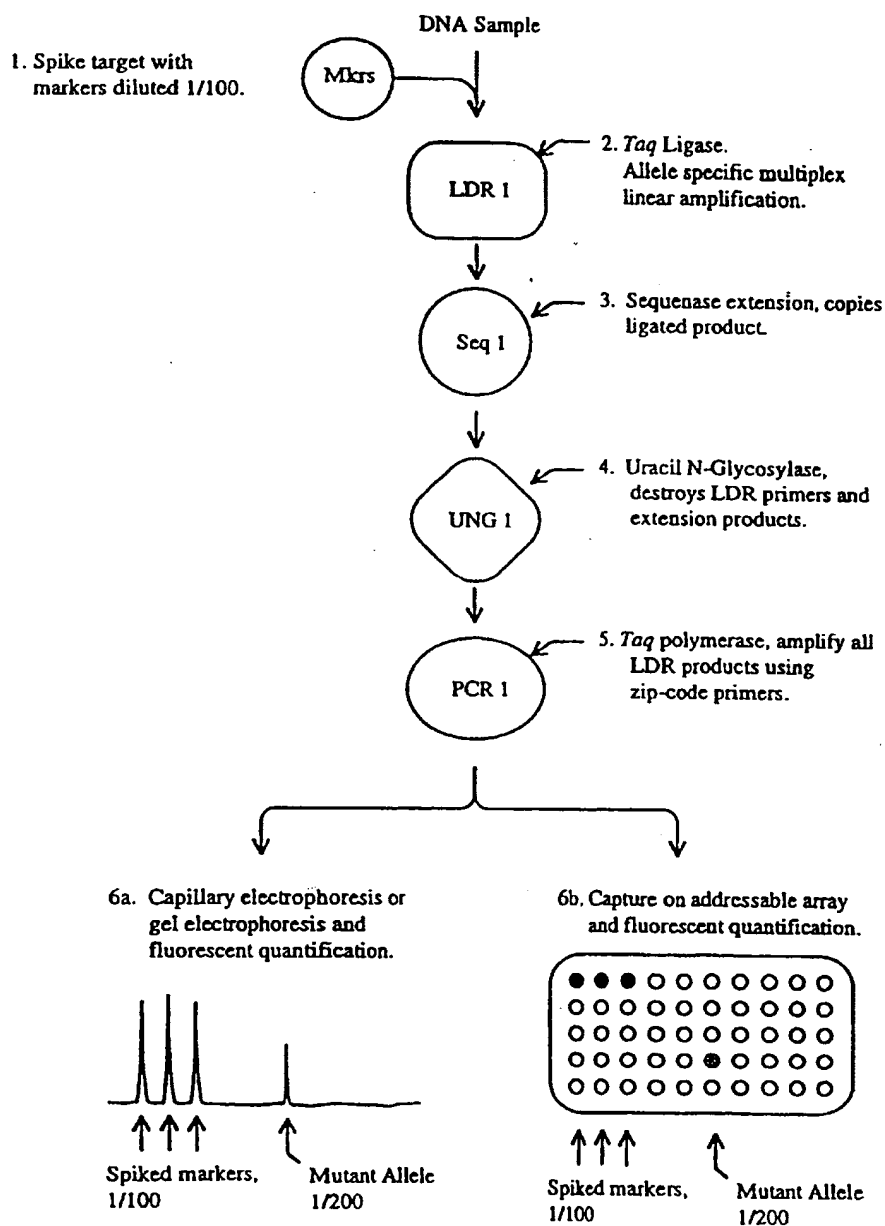


18/29

**FIG. 18**

SUBSTITUTE SHEET (RULE 26)

19/29

**FIG. 19**

20/29

# LDR / PCR of mononucleotide repeats using Uracil N-glycosylase selection

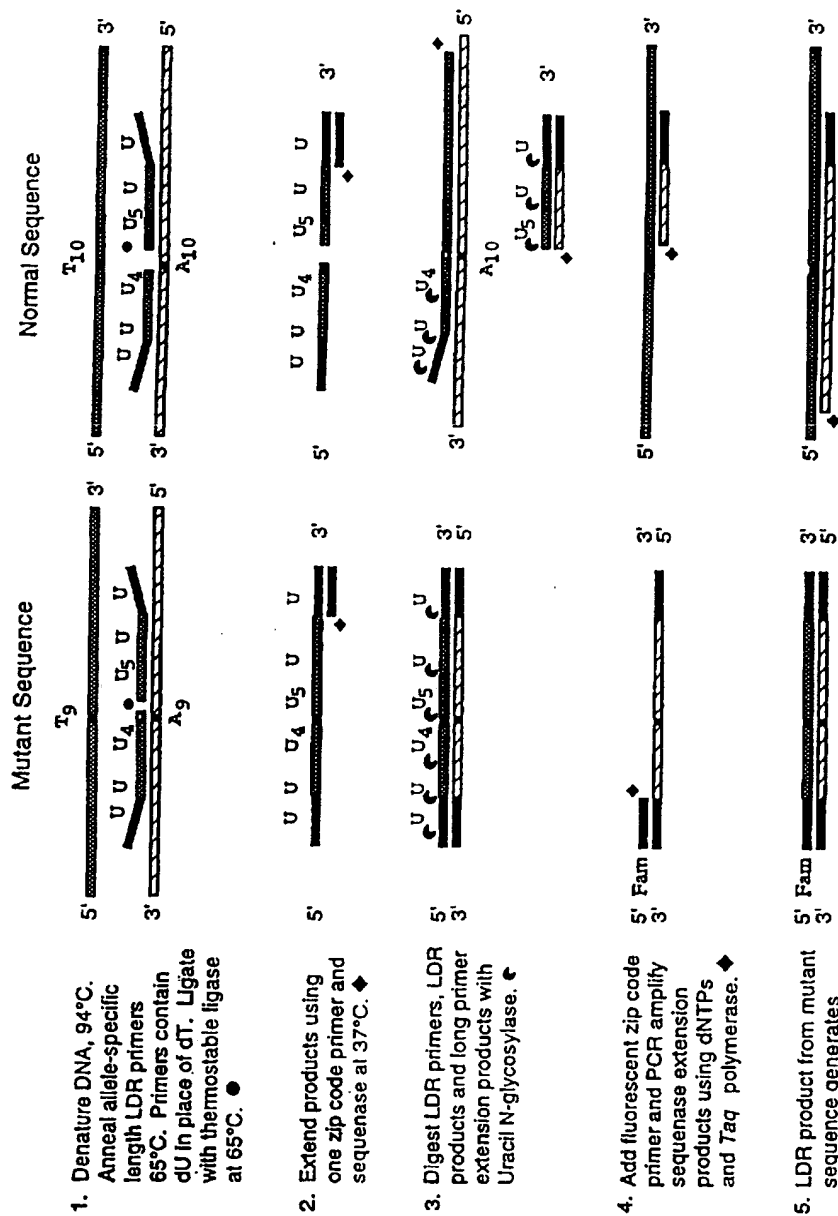


FIG. 20

21/29

## LDR / PCR of mononucleotide repeat polymorphisms using Uracil N-glycosylase selection

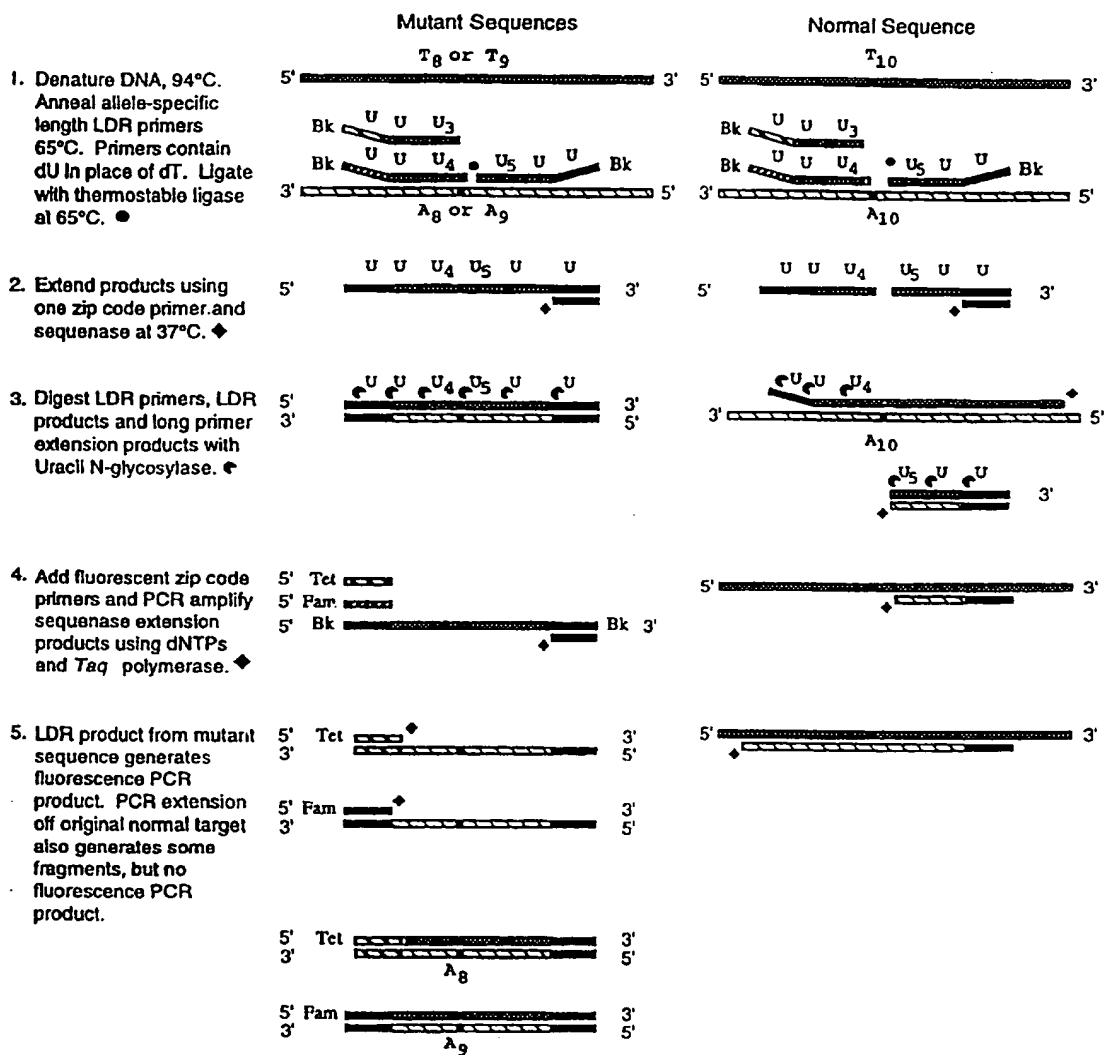
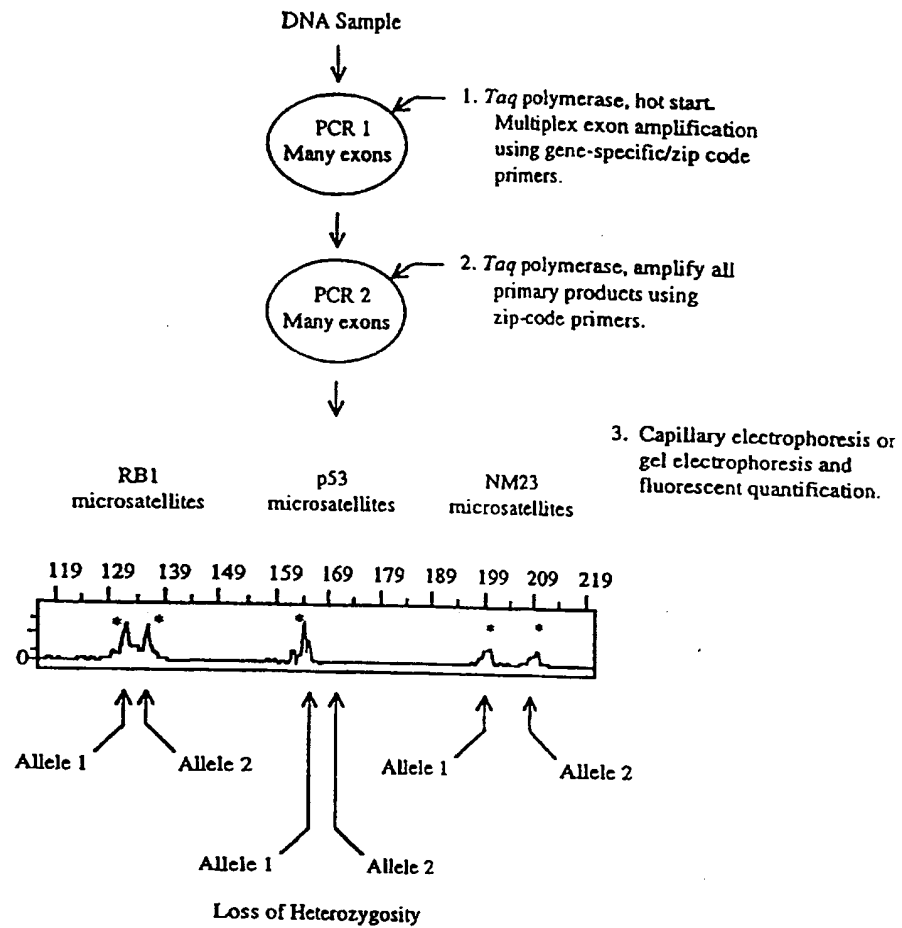


FIG. 21

22/29

**FIG. 22**

23/29

# PCR / PCR : Multiplex Microsatellite assays

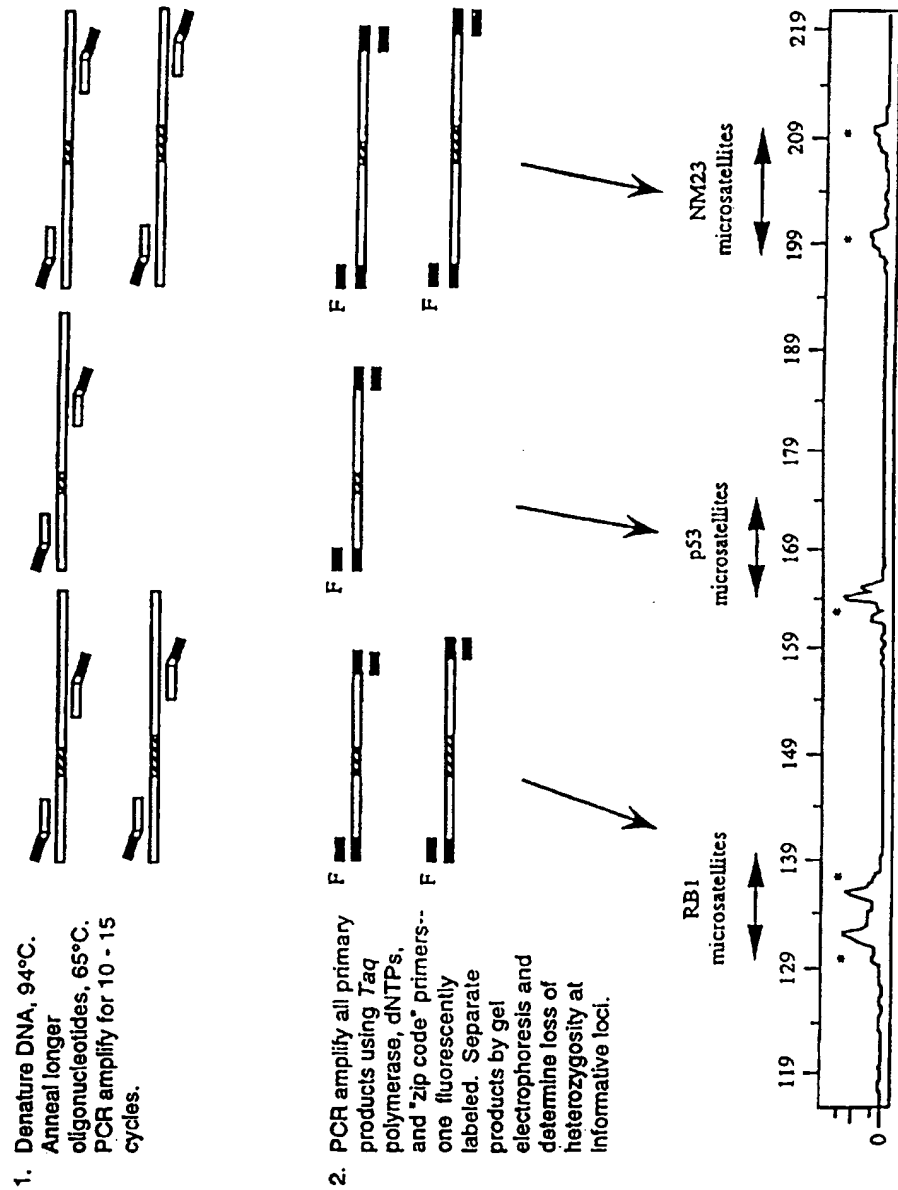


FIG. 23

24/29

Primer design for multiplex LDR / PCR

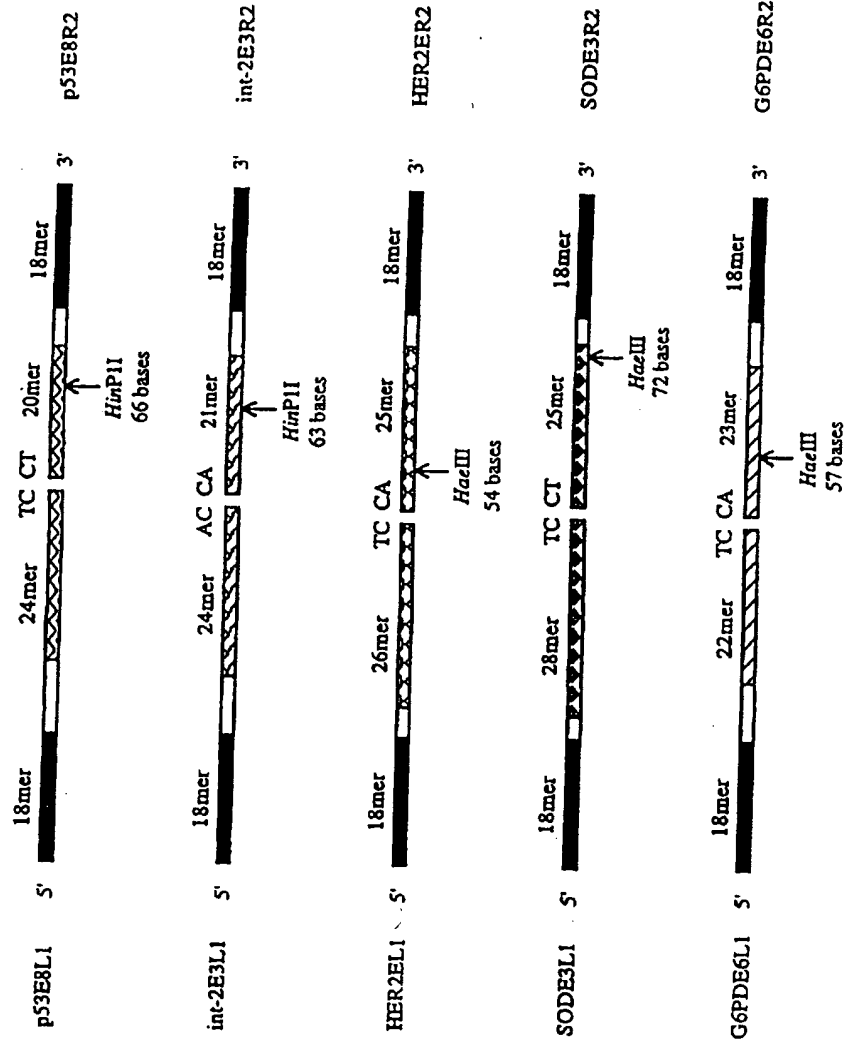
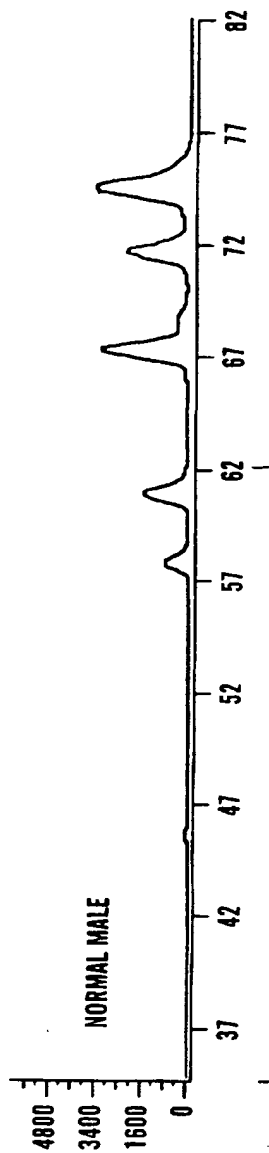


FIG. 24

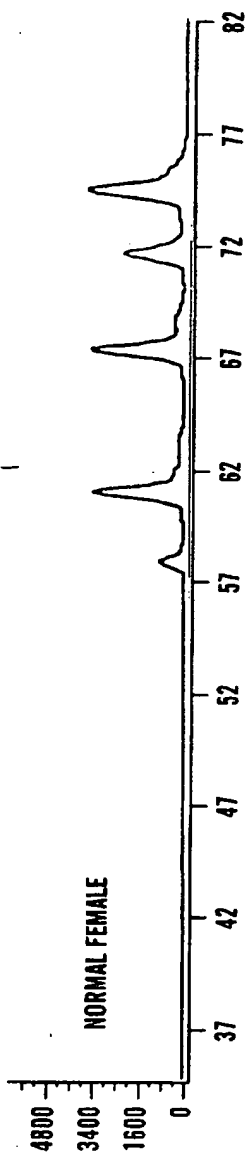


25/29

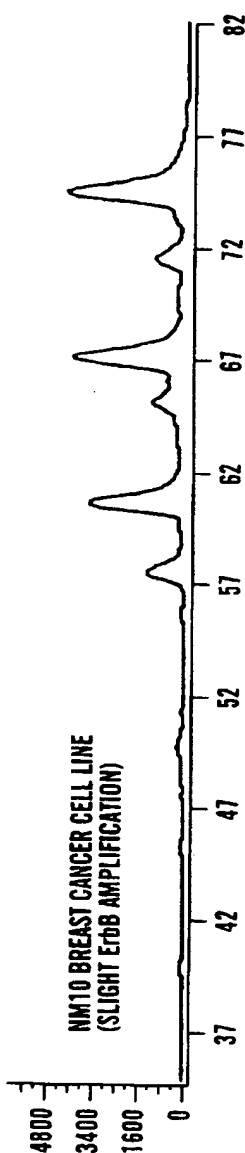
**FIG. 25A**



**FIG. 25B**



**FIG. 25C**



**FIG. 25D**

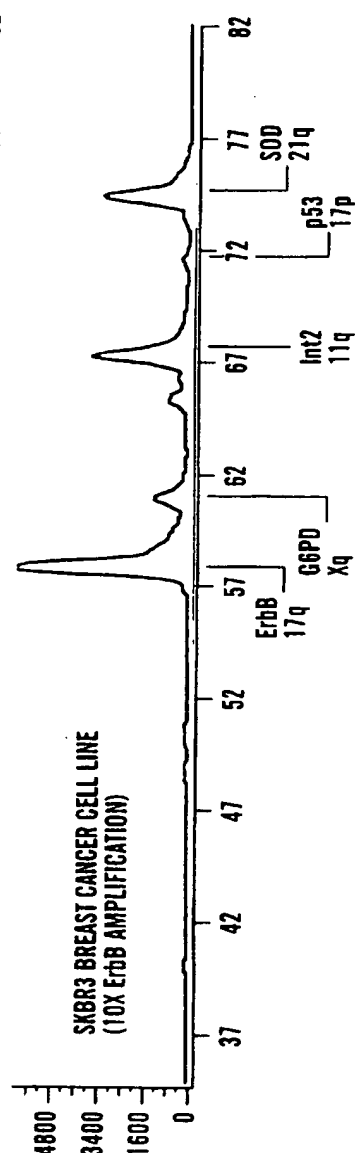


FIG. 26A

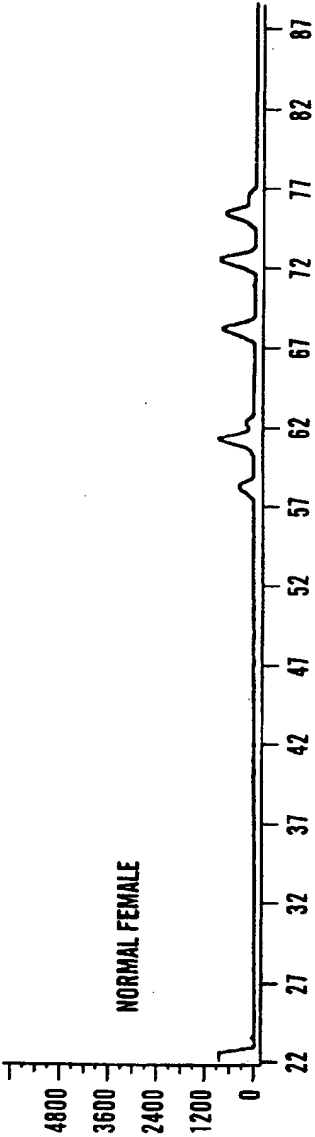


FIG. 26B

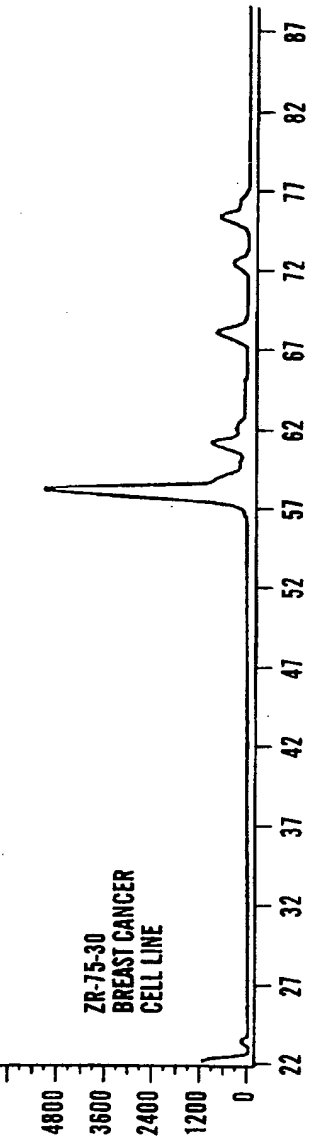
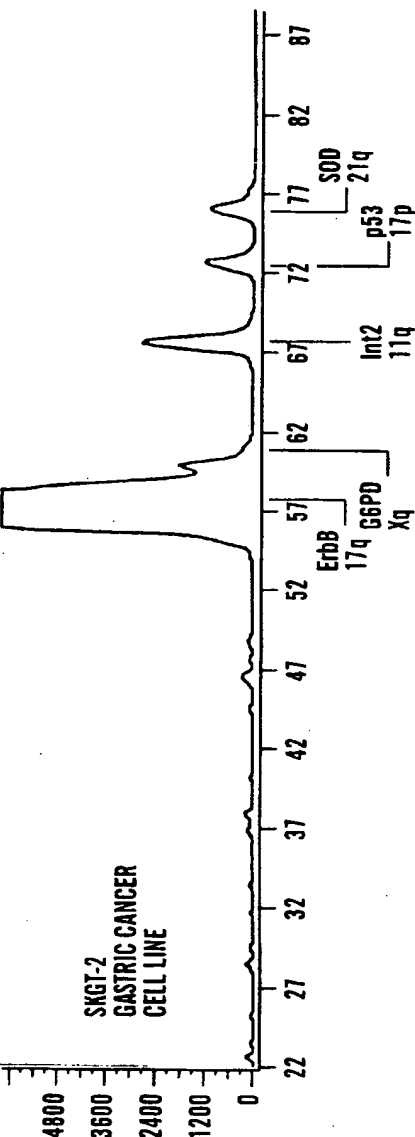
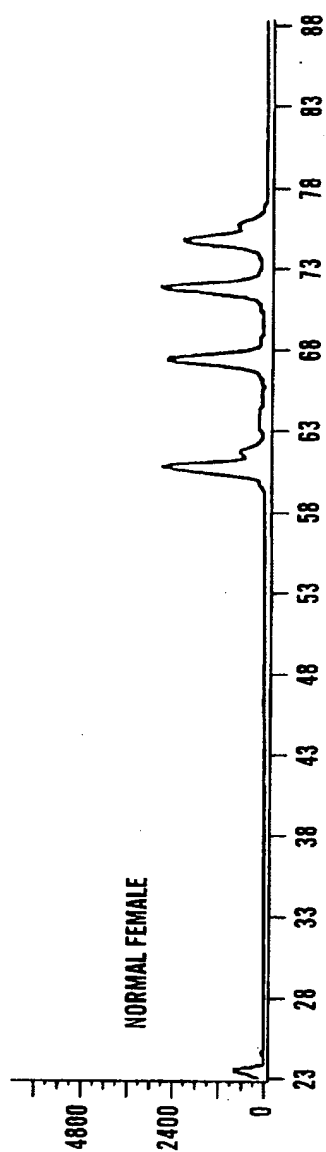
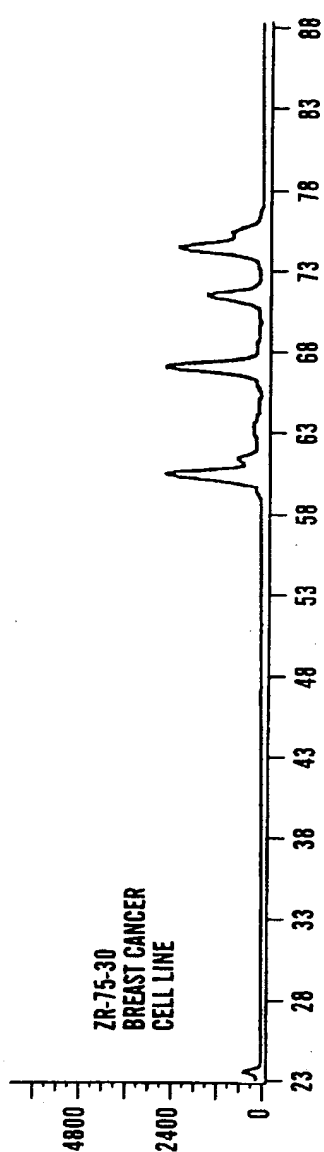
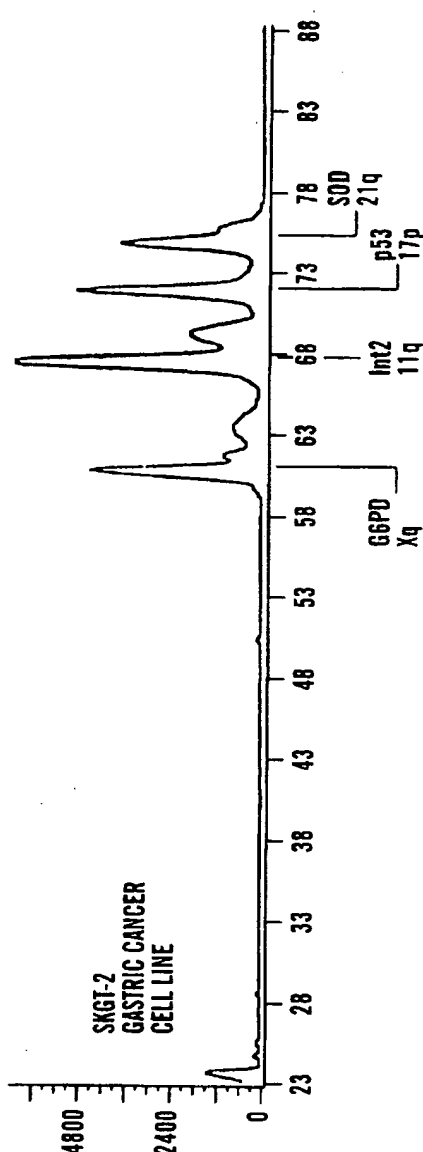


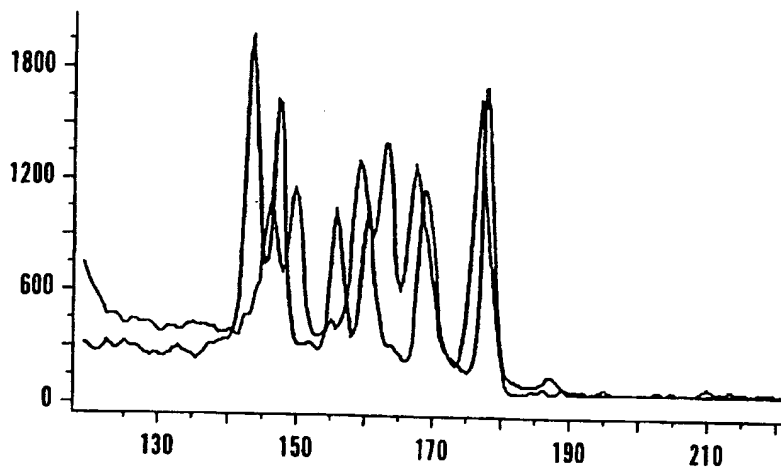
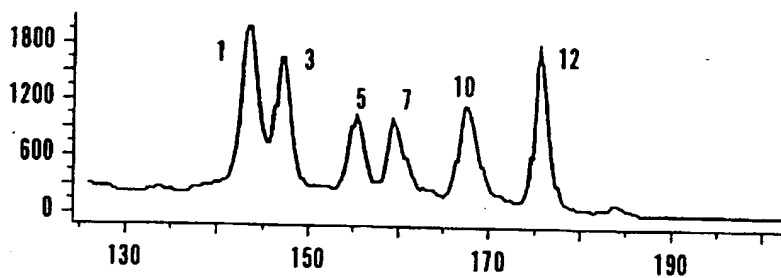
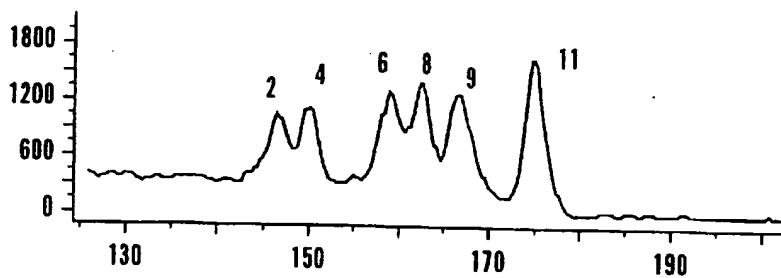
FIG. 26C



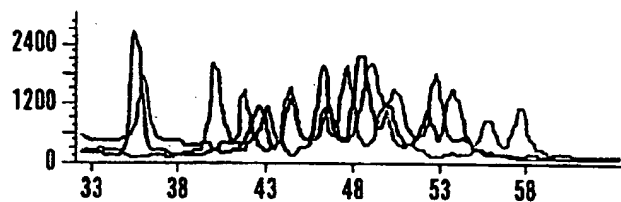
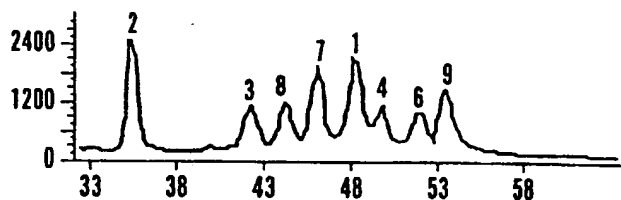
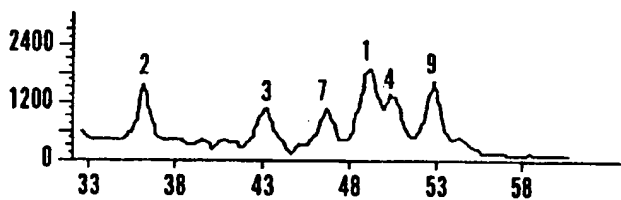
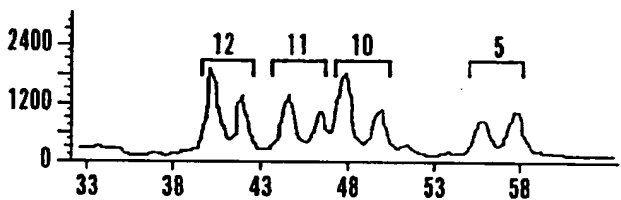
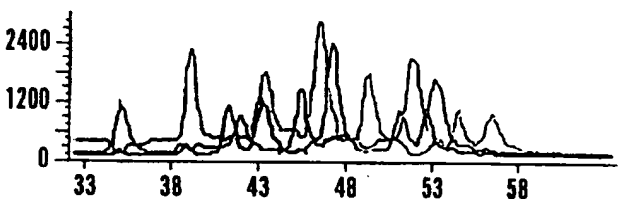
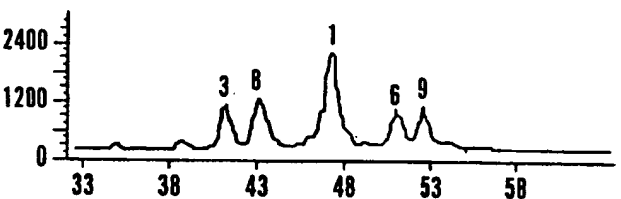
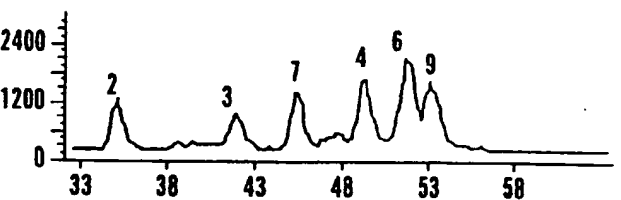
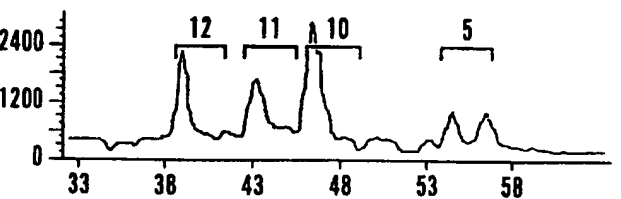
27/29

**FIG. 27A****FIG. 27B****FIG. 27C**

28/29

**FIG. 28A****FIG. 28B****FIG. 28C**

29/29

**FIG. 29A****FIG. 29B****FIG. 29C****FIG. 29D****FIG. 29E****FIG. 29F****FIG. 29G****FIG. 29H**

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/09012

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) : C12Q 1/68, 1/70; C12P 19/34; C12N 9/00; C07H 21/04

US CL : 435/6, 5, 91.2, 174; 536/24.3, 24.33, 24.32

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/6, 5, 91.2, 174; 536/24.3, 24.33, 24.32

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 93/17126 A1 (THE PUBLIC HEALTH RESEARCH INSTITUTE OF THE CITY OF NEW YORK, INC.) 02 September 1993, see entire document.	1-54
Y	US 4,988,617 A (LANDEGREN et al.) 29 January 1991, see entire document.	1-54
Y, P	US 5,593,840 A (BHATNAGAR et al.) 14 January 1997, see columns 8 and 9.	1-54
Y	US 5,407,798 A (MARTINELLI et al.) 18 April 1995, see entire document.	1-54
Y, P	US 5,536,649 A (FRAISER et al.) 16 July 1996, see abstract.	1-54

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*B* earlier document published on or after the international filing date	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z*	document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means		
*P* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

18 JULY 1997

Date of mailing of the international search report

11 AUG 1997

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

DIANNE REES

Telephone No. (703) 308-0196

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US97/09012

### B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

APS, BIOSIS, BIOTECHABS, BIOTECHDS, BIOBUSINESS, CABA, CAPLUS, CANCERLIT, DISSABS, EMBASE, JAPIO, EUROPATFULL, MEDLINE, TOXLINE, TOXLIT, SCISEARCH, USPATFULL, WPIDS  
search terms: ligation detection, LDR, LCR, OLA, padlock probes, ligase mediated detection, ligase dependent, PCR, multiplex, arrays, solid supports, primer binding sequences or regions, primer specific, multiple probes, probe sets, labels, restriction sites, capture probes, capture oligonucleotides, blocking groups, uracil-N-glycosylase, multiple mutations, multiple alleles, low abundance or rare alleles.

**THIS PAGE BLANK (USPTO)**



**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER: \_\_\_\_\_**

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**